

EXPONENTIAL MATRICES, $\mathbb{G}_a$ -ACTIONS ON PROJECTIVE SPACES AND MODULAR REPRESENTATIONS OF ELEMENTARY ABELIAN p -GROUPS

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ABSTRACT. Let k be an algebraically closed field of characteristic $p (\geq 0)$ and let $\mathbb{G}_a$ denote the additive group of k . In this article, we reveal the following:

In the first, we show that there exist one-to-one correspondences among the following sets (a), (b), (c), (d), (e), (f):

- (a) the set $\text{Mat}(n, k[T])^E$ of all exponential matrices of $\text{Mat}(n, k[T])$;
- (b) the set of all homomorphisms $h : k[\text{GL}(n, k)] \rightarrow k[T]$ of Hopf algebras;
- (c) the set of all homomorphisms $\varphi : \mathbb{G}_a \rightarrow \text{GL}(n, k)$ of algebraic groups;
- (d) the set of all homomorphisms $\theta : \mathbb{G}_a \rightarrow \text{PGL}(n, k)$ of algebraic groups;
- (e) the set of all homomorphisms $\vartheta : \mathbb{G}_a \rightarrow \text{Aut}(\mathbb{P}^{n-1})$ of algebraic groups;
- (f) the set of all $\mathbb{G}_a$ -actions μ on $\mathbb{P}^{n-1}$.

These correspondences are given up to equivalences.

In the second, assuming $p > 0$, we build a relationship between modular representations of elementary abelian p -groups and exponential matrices. We show that there exists a one-to-one correspondence between the following sets (α) and (β) :

- (α) the set of all group homomorphisms from $(\mathbb{Z}/p\mathbb{Z})^r$ to $\text{GL}(n, k)$, where r ranges over all non-negative integers.
- (β) the set $\text{Mat}(n, k[T])^E \times \mathbb{Z}_{\geq 0}$.

0. Introduction

In this article, we investigate relationships among exponential matrices, $\mathbb{G}_a$ -actions on projective spaces and modular representations of elementary abelian p -groups. These three objects belong to different research areas. At present, there seems no interactive result among these objects. We are in the following research situations:

In characteristic zero, exponential matrices are classified (see [5]). In positive characteristic, exponential matrices of size n -by- n for $1 \leq n \leq 5$ are described (see [5, 6]).

In characteristic zero, $\mathbb{G}_a$ -actions on the n -dimensional projective space are classified (see [3]). Perhaps, in positive characteristic, we need to discover p -techniques for exploring $\mathbb{G}_a$ -actions on projective spaces.

Modular representations of elementary abelian p -groups are wild except for few cases (see [2]). We refer to [1] about various results of modular representations of elementary abelian p -groups.

Toward collaborative developments of exponential matrices, $\mathbb{G}_a$ -actions on projective spaces and modular representations of elementary abelian p -groups, we build relationships among these objects. Our main results are the following Theorems 0.1 and 0.2, where k is an algebraically closed field of characteristic $p (\geq 0)$ and $\mathbb{G}_a$ denotes the additive group of k :

Theorem 0.1. *There exist one-to-one correspondences among the following sets (a), (b), (c), (d), (e), (f):*

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- (a) the set $\text{Mat}(n, k[T])^E$ of all exponential matrices of $\text{Mat}(n, k[T])$;
- (b) the set of all homomorphisms $h : k[\text{GL}(n, k)] \rightarrow k[T]$ of Hopf algebras;
- (c) the set of all homomorphisms $\varphi : \mathbb{G}_a \rightarrow \text{GL}(n, k)$ of algebraic groups;
- (d) the set of all homomorphisms $\theta : \mathbb{G}_a \rightarrow \text{PGL}(n, k)$ of algebraic groups;
- (e) the set of all homomorphisms $\vartheta : \mathbb{G}_a \rightarrow \text{Aut}(\mathbb{P}^{n-1})$ of algebraic groups;
- (f) the set of all $\mathbb{G}_a$ -actions μ on $\mathbb{P}^{n-1}$.

These correspondences are given up to equivalences.

The following theorem works provided that $p > 0$.

Theorem 0.2. *Assume $p > 0$. There exists a one-to-one correspondence between the following sets (α) and (β) :*

- (α) the set of all group homomorphisms from $(\mathbb{Z}/p\mathbb{Z})^r$ to $\text{GL}(n, k)$, where r ranges over all non-negative integers;
- (β) the set $\text{Mat}(n, k[T])^E \times \mathbb{Z}_{\geq 0}$.

We employ the following notation and a definition:

For any commutative ring R , we denote by $\text{Mat}(n, R)$ the set of all $n \times n$ matrices whose entries belong to R and denote by $R[T]$ the polynomial ring in one variable over R .

For any field k , a matrix $A(T)$ of $\text{Mat}(n, k[T])$ is said to be an *exponential matrix* of $\text{Mat}(n, k[T])$ if $A(T)$ satisfies the following conditions (1) and (2):

- (1) $A(T)A(T') = A(T + T')$ in $\text{Mat}(n, k[T, T'])$, where $k[T, T']$ denotes the polynomial ring in two variables over k .
- (2) $A(0) = I_n$.

We denote by $\text{Mat}(n, k[T])^E$ the set of all exponential matrices $A(T)$ of $\text{Mat}(n, k[T])$.

1. Correspondences

1.1. Equivalences

- (a) **Equivalence of exponential matrices of $\text{Mat}(n, k[T])$.**

For any regular matrix P of $\text{GL}(n, k)$, we can define a map $\text{inn}_P^{(a)} : \text{Mat}(n, k[T])^E \rightarrow \text{Mat}(n, k[T])^E$ as

$$\text{inn}_P^{(a)}(A(T)) := P A(T) P^{-1}.$$

Let $A_1(T)$ and $A_2(T)$ be exponential matrices of $\text{Mat}(n, k[T])$. We say that $A_1(T)$ and $A_2(T)$ are *equivalent* if there exists a regular matrix P of $\text{GL}(n, k)$ such that

$$A_2(T) = \text{inn}_P^{(a)}(A_1(T)).$$

- (b) **Equivalence of homomorphisms $k[\text{GL}(n, k)] \rightarrow k[T]$ of Hopf algebras.**

Let

$$(k[\text{GL}(n, k)], \Delta_{k[\text{GL}(n, k)]}, \epsilon_{k[\text{GL}(n, k)]}, S_{k[\text{GL}(n, k)]})$$

be the commutative Hopf algebra corresponding to the linear algebraic group $\text{GL}(n, k)$, where $k[\text{GL}(n, k)]$ denotes the coordinate ring of $\text{GL}(n, k)$, i.e.,

$$k[\text{GL}(n, k)] = k[x_{i,j} \ (1 \leq i, j \leq n), 1/d], \quad d := \det((x_{i,j})_{1 \leq i, j \leq n}),$$

and where $\Delta_{k[\mathrm{GL}(n,k)]}$ is a comultiplication, $\epsilon_{k[\mathrm{GL}(n,k)]}$ is a counit and $S_{k[\mathrm{GL}(n,k)]}$ is an antipode, i.e.,

$$\begin{aligned}\Delta_{k[\mathrm{GL}(n,k)]}(x_{i,j}) &= \sum_{\ell=1}^n x_{i,\ell} \otimes x_{\ell,j} \quad \text{for all } 1 \leq i, j \leq n, \\ \epsilon_{k[\mathrm{GL}(n,k)]}(x_{i,j}) &= \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j, \end{cases} \\ S_{k[\mathrm{GL}(n,k)]}(x_{i,j}) &= (-1)^{i+j} d^{-1} \det((x_{r,s})_{r \neq j, s \neq i}) \quad \text{for all } 1 \leq i, j \leq n.\end{aligned}$$

Let $(k[T], \Delta_{k[T]}, \epsilon_{k[T]}, S_{k[T]})$ be the Hopf algebra corresponding to the additive group $\mathbb{G}_a$, where $\Delta_{k[T]}$ is a comultiplication, $\epsilon_{k[T]}$ is a counit and $S_{k[T]}$ is an antipode. So, $\Delta_{k[T]}(T) = T \otimes 1 + 1 \otimes T$, $\epsilon_{k[T]}(T) = 0$ and $S_{k[T]}(T) = -T$.

For any regular matrix P of $\mathrm{GL}(n, k)$, we denote by $\widehat{p}_{i,j}$ the (i, j) -th entry of P^{-1} ($1 \leq i, j \leq n$), i.e., $P^{-1} = (\widehat{p}_{i,j})_{1 \leq i, j \leq n}$. We can define a homomorphism $\mathrm{inn}_P^{(b)} : k[\mathrm{GL}(n, k)] \rightarrow k[\mathrm{GL}(n, k)]$ as

$$\mathrm{inn}_P^{(b)}(x_{i,j}) = \sum_{1 \leq \lambda, \mu \leq n} p_{i,\lambda} \cdot x_{\lambda,\mu} \cdot \widehat{p}_{\mu,j} \quad \text{for all } 1 \leq i, j \leq n.$$

Let $h_1 : k[\mathrm{GL}(n, k)] \rightarrow k[T]$ and $h_2 : k[\mathrm{GL}(n, k)] \rightarrow k[T]$ be homomorphisms of Hopf algebras. We say that h_1 and h_2 are *equivalent* if there exists a regular matrix P of $\mathrm{GL}(n, k)$ such that

$$h_2 = h_1 \circ \mathrm{inn}_P^{(b)}.$$

(c) **Equivalence of homomorphisms $\mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ of algebraic groups.**

For any regular matrix P of $\mathrm{GL}(n, k)$, we can define a homomorphism $\mathrm{inn}_P^{(c)} : \mathrm{GL}(n, k) \rightarrow \mathrm{GL}(n, k)$ as

$$\mathrm{inn}_P^{(c)}(Q) := P Q P^{-1}.$$

Let $\varphi_1 : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ and $\varphi_2 : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ be homomorphisms of algebraic groups. We say that φ_1 and φ_2 are *equivalent* if there exists a regular matrix P of $\mathrm{GL}(n, k)$ such that

$$\varphi_2 = \mathrm{inn}_P^{(c)} \circ \varphi_1.$$

(d) **Equivalence of homomorphisms $\mathbb{G}_a \rightarrow \mathrm{PGL}(n, k)$ of algebraic groups.**

For any regular matrix P of $\mathrm{GL}(n, k)$, we can define a homomorphism $\mathrm{inn}_P^{(d)} : \mathrm{PGL}(n, k) \rightarrow \mathrm{PGL}(n, k)$ as

$$\mathrm{inn}_P^{(d)}([Q]) := [P Q P^{-1}].$$

Let $\theta_1 : \mathbb{G}_a \rightarrow \mathrm{PGL}(n, k)$ and $\theta_2 : \mathbb{G}_a \rightarrow \mathrm{PGL}(n, k)$ be homomorphisms of algebraic groups. We say that θ_1 and θ_2 are *equivalent* if there exists a regular matrix P of $\mathrm{GL}(n, k)$ such that

$$\theta_2 = \mathrm{inn}_P^{(d)} \circ \theta_1.$$

(e) **Equivalence of homomorphisms $\mathbb{G}_a \rightarrow \mathrm{Aut}(\mathbb{P}^{n-1})$ of algebraic groups.**

For any $\sigma \in \mathrm{Aut}(\mathbb{P}^{n-1})$, we can define a homomorphism $\mathrm{inn}_\sigma^{(e)} : \mathrm{Aut}(\mathbb{P}^{n-1}) \rightarrow \mathrm{Aut}(\mathbb{P}^{n-1})$ as

$$\mathrm{inn}_\sigma^{(e)}(f) := \sigma \circ f \circ \sigma^{-1}.$$

Let $\vartheta_1 : \mathbb{G}_a \rightarrow \text{Aut}(\mathbb{P}^{n-1})$ and $\vartheta_2 : \mathbb{G}_a \rightarrow \text{Aut}(\mathbb{P}^{n-1})$ be homomorphisms of algebraic groups. We say that ϑ_1 and ϑ_2 are *equivalent* if there exists an element σ of $\text{Aut}(\mathbb{P}^{n-1})$ such that $\vartheta_2 = \text{inn}_\sigma^{(e)} \circ \vartheta_1$.

(f) **Equivalence of $\mathbb{G}_a$ -actions on $\mathbb{P}^{n-1}$.**

Two $\mathbb{G}_a$ -actions $\mu_1 : \mathbb{G}_a \times \mathbb{P}^{n-1} \rightarrow \mathbb{P}^{n-1}$ and $\mu_2 : \mathbb{G}_a \times \mathbb{P}^{n-1} \rightarrow \mathbb{P}^{n-1}$ are said to be *equivalent* if there exists an automorphism $\sigma : \mathbb{P}^{n-1} \rightarrow \mathbb{P}^{n-1}$ such that $\mu_2 \circ (\text{id}_{\mathbb{G}_a} \times \sigma) = \sigma \circ \mu_1$, i.e., the following diagram is commutative, where $\text{id}_{\mathbb{G}_a} : \mathbb{G}_a \rightarrow \mathbb{G}_a$ denotes the identity map:

$$\begin{array}{ccc} \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\mu_1} & \mathbb{P}^{n-1} \\ \text{id}_{\mathbb{G}_a} \times \sigma \downarrow & & \downarrow \sigma \\ \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\mu_2} & \mathbb{P}^{n-1} \end{array}$$

1.2. Proof of Theorem 0.1

1.2.1. (a) $\cong$ (b) $\cong$ (c)

We shall give one-to-one correspondences among sets (a), (b), (c).

We denote by $\mathcal{A}^+$ the set of all matrices $A(T)$ of $\text{Mat}(n, k[T])$ such that $\det A(T) \in k \setminus \{0\}$, i.e.,

$$\mathcal{A}^+ := \{ A(T) \in \text{Mat}(n, k[T]) \mid \det A(T) \in k \setminus \{0\} \}.$$

Clearly, $\text{Mat}(n, k[T])^E \subset \mathcal{A}^+$ (see [5, Lemmas 1.8 and 1.9]).

We denote by $\mathcal{B}^+$ the set of all homomorphisms $h : k[\text{GL}(n, k)] \rightarrow k[T]$ of k -algebras, i.e.,

$$\mathcal{B}^+ := \text{Hom}_{k\text{-alg}}(k[\text{GL}(n, k)], k[T]).$$

Clearly, $\text{Hom}_{\text{Hopf}}(k[\text{GL}(n, k)], k[T]) \subset \mathcal{B}^+$, where Hom_{Hopf} denotes homomorphisms of Hopf algebras.

We denote by $\mathcal{C}^+$ the set of all homomorphisms $\varphi : \mathbb{G}_a \rightarrow \text{GL}(n, k[T])$ of affine varieties, i.e.,

$$\mathcal{C}^+ := \text{Hom}_{\text{aff.var}}(\mathbb{G}_a, \text{GL}(n, k)).$$

Clearly, $\text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{GL}(n, k)) \subset \mathcal{C}^+$, where $\text{Hom}_{\text{alg.gr}}$ denotes homomorphisms of algebraic groups.

Let $F_{\mathcal{B}^+, \mathcal{A}^+} : \mathcal{A}^+ \rightarrow \mathcal{B}^+$ be the map defined, as follows: To a matrix $A(T) = (a_{i,j}(T))_{1 \leq i,j \leq n}$ of $\mathcal{A}^+$, we assign an element $h : k[\text{GL}(n, k)] \rightarrow k[T]$ of $\mathcal{B}^+$ as

$$h(x_{i,j}) := a_{i,j}(T) \quad \text{for all } 1 \leq i, j \leq n.$$

Let $F_{\mathcal{C}^+, \mathcal{B}^+} : \mathcal{B}^+ \rightarrow \mathcal{C}^+$ be the map defined, as follows: To an element $h : k[\text{GL}(n, k)] \rightarrow k[T]$ of $\mathcal{B}^+$, we naturally assign an element $\varphi : \mathbb{G}_a \rightarrow \text{GL}(n, k)$ of $\mathcal{C}^+$.

We have the following diagram:

$$\begin{array}{ccccc} \mathcal{A}^+ & \xrightarrow{F_{\mathcal{B}^+, \mathcal{A}^+}} & \mathcal{B}^+ & \xrightarrow{F_{\mathcal{C}^+, \mathcal{B}^+}} & \mathcal{C}^+ \\ \uparrow & & \uparrow & & \uparrow \\ \text{Mat}(n, k[T])^E & & \text{Hom}_{\text{Hopf}}(k[\text{GL}(n, k)], k[T]) & & \text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{GL}(n, k)) \end{array}$$

Lemma 1.1. *The following assertions (1) and (2) hold true:*

- (1) *The map $F_{\mathcal{B}^+, \mathcal{A}^+}$ gives a one-to-one coresspondence between the sets $\mathcal{A}^+$ and $\mathcal{B}^+$.*
- (2) *The map $F_{\mathcal{C}^+, \mathcal{B}^+}$ gives a one-to-one correspondence between the sets $\mathcal{B}^+$ and $\mathcal{C}^+$.*

Proof. The proofs of assertions (1) and (2) are straightforward. □

Lemma 1.2. *Let*

$$A(T) = (a_{i,j}(T))_{1 \leq i,j \leq n} \in \mathcal{A}^+, \quad h := F_{\mathcal{B}^+, \mathcal{A}^+}(A(T)) \in \mathcal{B}^+, \quad \varphi := F_{\mathcal{C}^+, \mathcal{B}^+}(h) \in \mathcal{C}^+.$$

Then the following conditions (1), (2), (3), (4) are equivalent:

- (1) $A(T) \in \text{Mat}(n, k[T])^E$.
- (2) *We have*

$$a_{i,j}(T \otimes 1 + 1 \otimes T) = \sum_{\ell=1}^n a_{i,\ell}(T) \otimes a_{\ell,j}(T) \quad \text{for all } 1 \leq i, j \leq n$$

and

$$a_{i,j}(0) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

- (3) $h \in \text{Hom}_{\text{Hopf}}(k[\text{GL}(n, k)], k[T])$. *That is to say, the following two diagrams are commutative:*

$$\begin{array}{ccc} k[\text{GL}(n, k)] \otimes_k k[\text{GL}(n, k)] & \xrightarrow{h \otimes h} & k[T] \otimes_k k[T] \\ \uparrow \Delta_{k[\text{GL}(n, k)]} & & \uparrow \Delta_{k[T]} \\ k[\text{GL}(n, k)] & \xrightarrow{h} & k[T] \\ k[\text{GL}(n, k)] & \xrightarrow{h} & k[T] \\ \searrow \epsilon_{k[\text{GL}(n, k)]} & & \swarrow \epsilon_{k[T]} \\ & k & \end{array}$$

- (4) $\varphi \in \text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{GL}(n, k))$.

Proof. We first prove (1) $\iff$ (2). Condition (1) holds true if and only if

$$a_{i,j}(T + T') = \sum_{\ell=1}^n a_{i,\ell}(T) \cdot a_{\ell,j}(T') \quad \text{for all } 1 \leq i, j \leq n$$

and

$$a_{i,j}(0) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

Consider the k -algebra isomorphsim $\xi : k[T, T'] \rightarrow k[T] \otimes_k k[T]$ defined by

$$\xi(T) := T \otimes 1, \quad \xi(T') := 1 \otimes T.$$

The above two conditions hold true if and only if

$$a_{i,j}(T \otimes 1 + 1 \otimes T) = \sum_{\ell=1}^n a_{i,\ell}(T) \otimes a_{\ell,j}(T) \quad \text{for all } 1 \leq i, j \leq n$$

and

$$a_{i,j}(0) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

We next prove (2) $\iff$ (3). For all $1 \leq i, j \leq n$, we have

$$\begin{aligned} ((h \otimes h) \circ \Delta_{k[\mathrm{GL}(n,k)]})(x_{i,j}) &= (h \otimes h) \left(\sum_{\ell=1}^n x_{i,\ell} \otimes x_{\ell,j} \right) = \sum_{\ell=1}^n a_{i,\ell}(T) \otimes a_{\ell,j}(T), \\ (\Delta_{k[T]} \circ h)(x_{i,j}) &= \Delta_{k[T]}(a_{i,j}(T)) = a_{i,j}(T \otimes 1 + 1 \otimes T), \\ (\epsilon_{k[T]} \circ h)(x_{i,j}) &= \epsilon_{k[T]}(a_{i,j}(T)) = a_{i,j}(0), \\ \epsilon_{k[\mathrm{GL}(n,k)]}(x_{i,j}) &= \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases} \end{aligned}$$

So, the implication (3) $\implies$ (2) is clear. Conversely, if condition (2) holds true, then we have $((h \otimes h) \circ \Delta_{k[\mathrm{GL}(n,k)]})(x_{i,j}) = (\Delta_{k[T]} \circ h)(x_{i,j})$ and $(\epsilon_{k[T]} \circ h)(x_{i,j}) = \epsilon_{k[\mathrm{GL}(n,k)]}(x_{i,j})$ for all $1 \leq i, j \leq n+1$, and thereby have

$$\begin{aligned} ((h \otimes h) \circ \Delta_{k[\mathrm{GL}(n,k)]}) \left(\frac{1}{d} \right) &= \frac{1}{((h \otimes h) \circ \Delta_{k[\mathrm{GL}(n,k)]})(d)} = \frac{1}{(\Delta_{k[T]} \circ h)(d)} = (\Delta_{k[T]} \circ h) \left(\frac{1}{d} \right), \\ (\epsilon_{k[T]} \circ h) \left(\frac{1}{d} \right) &= \frac{1}{(\epsilon_{k[T]} \circ h)(d)} = \frac{1}{\epsilon_{k[\mathrm{GL}(n,k)]}(d)} = \epsilon_{k[\mathrm{GL}(n,k)]} \left(\frac{1}{d} \right). \end{aligned}$$

Thus condition (3) holds true.

The equivalence (3) $\iff$ (4) is clear. □

Lemma 1.3. *The following assertions (1) and (2) hold true:*

- (1) *The map $F_{\mathcal{B}^+, \mathcal{A}^+}$ gives a one-to-one correspondence between the sets $\mathrm{Mat}(n, k[T])^E$ and $\mathrm{Hom}_{\mathrm{Hopf}}(k[\mathrm{GL}(n, k)], k[T])$.*
- (2) *The map $F_{\mathcal{C}^+, \mathcal{B}^+}$ gives a one-to-one correspondence between the sets $\mathrm{Hom}_{\mathrm{Hopf}}(k[\mathrm{GL}(n, k)], k[T])$ and $\mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{GL}(n, k))$.*

Proof. See Lemmas 1.1 and 1.2. □

Lemma 1.4. *For each $i = 1, 2$, let $A_i(T)$ be an exponential matrix of $\mathrm{Mat}(n, k[T])^E$, let $h_i : k[\mathrm{GL}(n, k)] \rightarrow k[T]$ be a homomorphism of Hopf algebras such that*

$$h_i := F_{\mathcal{B}^+, \mathcal{A}^+}(A_i(T)),$$

and let $\varphi_i : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ be a homomorphism of algebraic groups over k such that

$$\varphi_i := F_{\mathcal{C}^+, \mathcal{B}^+}(h_i(T)).$$

Then the following conditions (1), (2), (3) are equivalent:

- (1) $A_1(T)$ and $A_2(T)$ are equivalent.
- (2) h_1 and h_2 are equivalent.
- (3) φ_1 and φ_2 are equivalent.

Proof. We first prove (1) $\iff$ (2). Let $P = (p_{i,j})_{1 \leq i,j \leq n}$ be a regular matrix of $\mathrm{GL}(n, k)$. Write $P^{-1} = (\widehat{p}_{i,j})_{1 \leq i,j \leq n}$, $A_1(T) = (a_{i,j}^{(1)})_{1 \leq i,j \leq n}$ and $A_2(T) = (a_{i,j}^{(2)})_{1 \leq i,j \leq n}$. So, $A_2(T) = \mathrm{inn}_P^{(a)} \circ A_1(T)$ if and only if

$$a_{i,j}^{(2)} = \sum_{1 \leq \lambda, \mu \leq n} p_{i,\lambda} \cdot a_{\lambda,\mu}^{(1)} \cdot \widehat{p}_{\mu,j} \quad \text{for all } 1 \leq i, j \leq n.$$

We have

$$h_2(x_{i,j}) = a_{i,j}^{(2)}$$

and

$$h_1(\text{inn}_P^{(b)}(x_{i,j})) = h_1\left(\sum_{1 \leq \lambda, \mu \leq n} p_{i,\lambda} \cdot x_{\lambda,\mu} \cdot \widehat{p}_{\mu,j}\right) = \sum_{1 \leq \lambda, \mu \leq n} p_{i,\lambda} \cdot a_{\lambda,\mu}^{(1)} \cdot \widehat{p}_{\mu,j}$$

for all $1 \leq i, j \leq n$.

Thus $A_2(T) = \text{inn}_P^{(a)}(A_1(T))$ if and only if $h_2(x_{i,j}) = (h_1 \circ \text{inn}_P^{(b)})(x_{i,j})$ for all $1 \leq i, j \leq n$. This equivalence implies the equivalence (1) $\iff$ (2).

The proof of equivalence (2) $\iff$ (3) is straightforward. $\square$

1.2.2. (c) $\cong$ (d)

We shall give a one-to-one correspondence between sets (c) and (d).

Let $\pi : \text{GL}(n, k) \rightarrow \text{PGL}(n, k)$ be the natural surjection. Clearly, π is a homomorphism of algebraic groups.

Lemma 1.5. *For any homomorphism $\theta : \mathbb{G}_a \rightarrow \text{PGL}(n, k)$, there exists a unique homomorphism $\varphi : \mathbb{G}_a \rightarrow \text{GL}(n, k)$ such that $\pi \circ \varphi = \theta$, i.e., the following diagram is commutative:*

$$\begin{array}{ccc} & & \text{GL}(n, k) \\ & \nearrow \varphi & \downarrow \pi \\ \mathbb{G}_a & \xrightarrow{\theta} & \text{PGL}(n, k) \end{array}$$

Proof. If θ is trivial, i.e., $\theta(\mathbb{G}_a) = \{e_{\text{PGL}(n,k)}\}$, we are done. So, assume that θ is nontrivial. The homomorphism θ factors into a composite of homomorphisms

$$\mathbb{G}_a \xrightarrow{q} X \xrightarrow{i} \text{PGL}(n, k)$$

with q a faithfully flat morphism and i a closed embedding (see [4, Theorem 5.39]). The image $X = \theta(\mathbb{G}_a)_{\text{red}}$ is smooth (see [4, Proposition 1.28 and Corollary 5.26]). Thus X is isomorphic to the affine line, i.e., $X \cong \mathbb{A}^1$. We consider the inverse image $E := \pi^{-1}(X)_{\text{red}}$ of X under π . So, $\pi|_E : E \rightarrow X$ is a $\mathbb{G}_m$ -bundle over X , where $\pi|_E$ is the restriction of π to E . Note that $\pi|_E$ is a trivial $\mathbb{G}_m$ -bundle over X . In fact, there exist a line bundle $\pi' : L \rightarrow X$ and an open embedding $\iota : E \rightarrow L$ so that $\pi' \circ \iota = \pi|_E$. Since $\text{Pic}(X) = 0$, the line bundle $\pi' : L \rightarrow X$ is trivial, and thereby $\pi|_E$ is a trivial $\mathbb{G}_m$ -bundle over X . We have the following commutative diagram:

$$\begin{array}{ccccc} E & \xhookrightarrow{\iota} & L & \xrightarrow{\cong} & X \times \mathbb{A}^1 \\ \pi|_E \downarrow & & \nearrow \pi' & & \searrow (x,a) \mapsto x \\ & & X & & \end{array}$$

Since $E \cong X \times \mathbb{G}_m$, there exists a section s of $\pi|_E$. We can define a morphism $f : \mathbb{G}_a \rightarrow \text{GL}(n, k)$ as

$$f := \iota_E \circ s \circ q,$$

where $\iota_E : E \rightarrow \mathrm{GL}(n, k)$ is the natural closed immersion. Clearly,

$$(1.5.1) \quad \pi \circ f = \theta.$$

Let $\nu : \mathrm{GL}(n, k) \rightarrow \mathrm{GL}(n, k)$ be the homomorphism defined by

$$\nu(A) := \frac{1}{\det A} A.$$

Clearly,

$$(1.5.2) \quad \pi \circ \nu = \pi.$$

Since θ is a homomorphism, we have

$$(1.5.3) \quad m_{\mathrm{PGL}(n, k)} \circ (\theta \times \theta) = \theta \circ m_{\mathbb{G}_a},$$

i.e., the following diagram is commutative:

$$\begin{array}{ccc} \mathbb{G}_a \times \mathbb{G}_a & \xrightarrow{\theta \times \theta} & \mathrm{PGL}(n, k) \times \mathrm{PGL}(n, k) \\ m_{\mathbb{G}_a} \downarrow & & \downarrow m_{\mathrm{PGL}(n, k)} \\ \mathbb{G}_a & \xrightarrow{\theta} & \mathrm{PGL}(n, k) \end{array}$$

We have

$$\pi \circ m_{\mathrm{GL}(n, k)} \circ (\nu \times \nu) \circ (f \times f) = \pi \circ \nu \circ f \circ m_{\mathbb{G}_a}$$

since

$$\begin{aligned} & \pi \circ m_{\mathrm{GL}(n, k)} \circ (\nu \times \nu) \circ (f \times f) \\ &= m_{\mathrm{PGL}(n, k)} \circ (\pi \times \pi) \circ (\nu \times \nu) \circ (f \times f) \\ &\stackrel{(1.5.2)}{=} m_{\mathrm{PGL}(n, k)} \circ (\pi \times \pi) \circ (f \times f) \\ &\stackrel{(1.5.1)}{=} m_{\mathrm{PGL}(n, k)} \circ (\theta \times \theta) \\ &\stackrel{(1.5.3)}{=} \theta \circ m_{\mathbb{G}_a} \\ &\stackrel{(1.5.1)}{=} \pi \circ f \circ m_{\mathbb{G}_a} \\ &\stackrel{(1.5.2)}{=} \pi \circ \nu \circ f \circ m_{\mathbb{G}_a}. \end{aligned}$$

Now, we have

$$m_{\mathrm{GL}(n, k)} \circ (\nu \times \nu) \circ (f \times f) = \nu \circ f \circ m_{\mathbb{G}_a}.$$

Letting $\varphi := \nu \circ f$, we have a homomorphism $\varphi : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ so that $\pi \circ \varphi = \theta$.

Let $\varphi : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ and let $\phi : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ be homomorphisms so that $\pi \circ \varphi = \theta$ and $\pi \circ \phi = \theta$. So, we have $\pi \circ \nu \circ \varphi = \pi \circ \nu \circ \phi$, which implies $\nu \circ \varphi = \nu \circ \phi$. We can show $\nu \circ \varphi = \varphi$ and $\nu \circ \phi = \phi$ since $\det \varphi(t) = \det \phi(t) = 1$ for all $t \in \mathbb{G}_a$ (see [5, Lemmas 1.8 and 1.9]). Thus $\varphi = \phi$. $\square$

We can define a map $\varpi : \mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{GL}(n, k)) \rightarrow \mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{PGL}(n, k))$ as

$$\varpi(\varphi) := \pi \circ \varphi.$$

Lemma 1.6. *The map $\varpi : \mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{GL}(n, k)) \rightarrow \mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{PGL}(n, k))$ is bijective.*

Proof. See Lemma 1.5. $\square$

Lemma 1.7. *Let $\theta_i : \mathbb{G}_a \rightarrow \mathrm{PGL}(n, k)$ ($i = 1, 2$) be homomorphisms and let $\varphi_i : \mathbb{G}_a \rightarrow \mathrm{GL}(n, k)$ ($i = 1, 2$) be homomorphisms such that $\theta_i = \pi \circ \varphi_i$ for $i = 1, 2$. Then the following conditions (1) and (2) are equivalent:*

- (1) θ_1 and θ_2 are equivalent.
- (2) φ_1 and φ_2 are equivalent.

Proof. Let $P \in \mathrm{PGL}(n, k)$ and $Q \in \mathrm{GL}(n, k)$ satisfy $P = \pi(Q)$. Clearly, $\mathrm{inn}_P^{(d)} \circ \pi = \pi \circ \mathrm{inn}_Q^{(c)}$, i.e., the following diagram is commutative:

$$\begin{array}{ccc} \mathrm{GL}(n, k) & \xrightarrow{\mathrm{inn}_Q^{(c)}} & \mathrm{GL}(n, k) \\ \pi \downarrow & & \downarrow \pi \\ \mathrm{PGL}(n, k) & \xrightarrow{\mathrm{inn}_P^{(d)}} & \mathrm{PGL}(n, k) \end{array}$$

If $\theta_2 = \mathrm{inn}_P^{(d)} \circ \theta_1$, then

$$\pi \circ \varphi_2 = \theta_2 = \mathrm{inn}_P^{(d)} \circ \theta_1 = \mathrm{inn}_P^{(d)} \circ \pi \circ \varphi_1 = \pi \circ \mathrm{inn}_Q^{(c)} \circ \varphi_1,$$

which implies $\varphi_2 = \mathrm{inn}_Q^{(c)} \circ \varphi_1$ (see Lemma 1.6). Conversely, if $\varphi_2 = \mathrm{inn}_Q^{(c)} \circ \varphi_1$, it is clear that $\theta_2 = \mathrm{inn}_P^{(d)} \circ \theta_1$. □

1.2.3. (d) $\cong$ (e)

We shall give a one-to-one correspondence between sets (d) and (e).

We can define an isomorphism $j : \mathrm{PGL}(n, k) \rightarrow \mathrm{Aut}(\mathbb{P}^{n-1})$ of algebraic groups as

$$P \mapsto \left((x_0 : x_1 : \cdots : x_{n-1}) \mapsto (x_0 : x_1 : \cdots : x_{n-1})^t Q \right),$$

where $P = \pi(Q)$ and $\pi : \mathrm{GL}(n, k) \rightarrow \mathrm{PGL}(n, k)$ is the natural surjection.

Lemma 1.8. *The map $\mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{PGL}(n, k)) \rightarrow \mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{Aut}(\mathbb{P}^{n-1}))$ defined by $\theta \mapsto j \circ \theta$ is a bijection.*

Proof. The proof is straightforward. □

Lemma 1.9. *Let θ_i ($i = 1, 2$) be elements of $\mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{PGL}(n, k))$ and let $\vartheta_i := j \circ \theta_i$ ($i = 1, 2$) be elements of $\mathrm{Hom}_{\mathrm{alg.gr}}(\mathbb{G}_a, \mathrm{Aut}(\mathbb{P}^{n-1}))$. Then the following conditions (1) and (2) are equivalent:*

- (1) θ_1 and θ_2 are equivalent.
- (2) ϑ_1 and ϑ_2 are equivalent.

Proof. For any element $P \in \mathrm{PGL}(n, k)$, we have $\mathrm{inn}_{j(P)}^{(e)} = j \circ \mathrm{inn}_P^{(d)} \circ j^{-1}$. So, the following diagram is commutative:

$$\begin{array}{ccc} \mathrm{PGL}(n, k) & \xrightarrow{j} & \mathrm{Aut}(\mathbb{P}^{n-1}) \\ \mathrm{inn}_P^{(d)} \downarrow & & \downarrow \mathrm{inn}_{j(P)}^{(e)} \\ \mathrm{PGL}(n, k) & \xrightarrow{j} & \mathrm{Aut}(\mathbb{P}^{n-1}) \end{array}$$

This diagram implies the equivalence (1) $\iff$ (2). □

1.2.4. (e) $\cong$ (f)

We shall give a one-to-one correspondence between sets (e) and (f).

We can define a map $\Phi : \text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{Aut}(\mathbb{P}^{n-1})) \rightarrow \{ \mathbb{G}_a\text{-actions on } \mathbb{P}^{n-1} \}$ as

$$\Phi(\vartheta) := \mu_\vartheta, \quad \mu_\vartheta(t, x) := \vartheta(t)(x).$$

So, the following diagram is commutative, where $\mu_{\mathbb{P}^{n-1}}(f, x) := f(x)$, where $\text{id}_{\mathbb{P}^{n-1}} : \mathbb{P}^{n-1} \rightarrow \mathbb{P}^{n-1}$ denotes the identity map:

$$\begin{array}{ccc} \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\mu_\vartheta} & \mathbb{P}^{n-1} \\ \vartheta \times \text{id}_{\mathbb{P}^{n-1}} \downarrow & \nearrow \mu_{\mathbb{P}^{n-1}} & \\ \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & & \end{array}$$

We can define a map $\Psi : \{ \mathbb{G}_a\text{-actions on } \mathbb{P}^{n-1} \} \rightarrow \text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{Aut}(\mathbb{P}^{n-1}))$ as

$$\Psi(\mu) := \vartheta_\mu, \quad \vartheta_\mu(t) := (x \mapsto \mu(t, x)) \in \text{Aut}(\mathbb{P}^{n-1}).$$

Lemma 1.10. $\Psi \circ \Phi = \text{id}$ and $\Phi \circ \Psi = \text{id}$.

Proof. The proof is straightforward. □

Lemma 1.11. Let ϑ_i ($i = 1, 2$) be elements of $\text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{Aut}(\mathbb{P}^{n-1}))$. For any $\sigma \in \text{Aut}(\mathbb{P}^{n-1})$, the following conditions (1) and (2) are equivalent:

- (1) $\vartheta_2 = \text{inn}_\sigma^{(e)} \circ \vartheta_1$.
- (2) The following diagram is commutative:

$$\begin{array}{ccccc} \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_1 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \\ \text{id}_{\mathbb{G}_a} \times \sigma \downarrow & & & & \downarrow \sigma \\ \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_2 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \end{array}$$

Proof. The proof is straightforward. □

Lemma 1.12. Let ϑ_i ($i = 1, 2$) be elements of $\text{Hom}_{\text{alg.gr}}(\mathbb{G}_a, \text{Aut}(\mathbb{P}^{n-1}))$. Let $\mu_i := \Phi(\vartheta_i)$ ($i = 1, 2$) be $\mathbb{G}_a$ -actions on $\mathbb{P}^{n-1}$. Then the following conditions (1) and (2) are equivalent:

- (1) μ_1 and μ_2 are equivalent.
- (2) ϑ_1 and ϑ_2 are equivalent.

Proof. The implication (1) $\implies$ (2) follows from the following diagram:

$$\begin{array}{ccccc} \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_1 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \\ \downarrow \text{id}_{\mathbb{G}_a} \times \sigma & \searrow \mu_1 & \nearrow \mu_2 & \searrow \sigma & \\ \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_2 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \end{array}$$

The implication (2) $\implies$ (1) follows from the following diagram:

$$\begin{array}{ccccc}
 & & \mu_1 & & \\
 & \nearrow & & \searrow & \\
 \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_1 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \\
 \downarrow \text{id}_{\mathbb{G}_a} \times \sigma & & & & \downarrow \sigma \\
 \mathbb{G}_a \times \mathbb{P}^{n-1} & \xrightarrow{\vartheta_2 \times \text{id}_{\mathbb{P}^{n-1}}} & \text{Aut}(\mathbb{P}^{n-1}) \times \mathbb{P}^{n-1} & \xrightarrow{\mu_{\mathbb{P}^{n-1}}} & \mathbb{P}^{n-1} \\
 & \nwarrow & & \nearrow & \\
 & & \mu_2 & &
 \end{array}$$

□

2. Modular representations of elementary abelian p -groups and exponential matrices

From now on, we assume that the characteristic p of k is positive.

2.1. Preparations for proving Theorem 0.2

2.1.1. $\mathbb{E}_r(n, k)$, $\mathbb{E}_{\geq 0}(n, k)$

For any $r \geq 0$ and $n \geq 1$, we denote by $\mathbb{E}_r(n, k)$ the set of all group homomorphisms from $(\mathbb{Z}/p\mathbb{Z})^r$ to $\text{GL}(n, k)$ and denote by $\mathbb{E}_{\geq 0}(n, k)$ the direct sum of $\mathbb{E}_r(n, k)$, where r ranges over all non-negative integers, i.e.,

$$\begin{cases} \mathbb{E}_r(n, k) := \text{Hom}_{\text{gr}}((\mathbb{Z}/p\mathbb{Z})^r, \text{GL}(n, k)) & \text{for all } r \geq 0, \\ \mathbb{E}_{\geq 0}(n, k) := \coprod_{r \geq 0} \mathbb{E}_r(n, k). \end{cases}$$

Clearly, $\mathbb{E}_0(n, k)$ consists of one element, which we denote by ρ_0 .

2.1.2. $\mathcal{N}_r(n, k)$, $\rho_{N_1, \dots, N_r}$

For any $r \geq 1$ and $n \geq 1$, we let

$$\mathcal{N}_r(n, k) := \left\{ (N_1, \dots, N_r) \in \text{Mat}(n, k)^r \mid \begin{array}{l} N_i^p = O_n \text{ for all } 1 \leq i \leq r, \\ N_i N_j = N_j N_i \text{ for all } 1 \leq i, j \leq r \end{array} \right\}.$$

For any element $(N_1, \dots, N_r)$ of $\mathcal{N}_r(n, k)$, we can define an element $\rho_{N_1, \dots, N_r}$ of $\mathbb{E}_r(n, k)$ as

$$\rho_{N_1, \dots, N_r}(a_1, \dots, a_r) := \prod_{i=1}^r (I_n + N_i)^{a_i}.$$

Lemma 2.1. *Assume $r \geq 1$. The map $\mathcal{N}_r(n, k) \rightarrow \mathbb{E}_r(n, k)$ defined by $(N_1, \dots, N_r) \mapsto \rho_{N_1, \dots, N_r}$ is a bijection.*

Proof. The proof is straightforward. □

2.1.3. π_r, π

For any $r \geq 0$, we can define a map

$$\pi_r : \mathbb{E}_r(n, k) \rightarrow \text{Mat}(n, k[T])^E$$

by separating the cases $r = 0$ and $r \geq 1$. In the case where $r = 0$, we let $\pi_0(\rho_0) := I_n$. In the case where $r \geq 1$, for any element ρ of $\mathbb{E}_r(n, k)$, there exists a unique element $(N_1, \dots, N_r)$ of $\mathcal{N}_r(n, k)$ such that $\rho = \rho_{N_1, \dots, N_r}$. Let $A_\rho(T)$ be the matrix of $\text{Mat}(n, k[T])^E$ defined by

$$A_\rho(T) := \prod_{i=1}^r \text{Exp}(T^{p^{i-1}} N_i).$$

Clearly, $A_\rho(T)$ is an exponential matrix. Thus we can define $\pi_r(\rho)$ as

$$\pi_r(\rho) := A_\rho(T).$$

Now, let $\pi : \mathbb{E}_{\geq 0}(n, k) \rightarrow \text{Mat}(n, k[T])^E$ be the map defined by

$$\pi(\rho) := \pi_r(\rho) \quad \text{if } \rho \in \mathbb{E}_r(n, k).$$

Lemma 2.2. *π is surjective.*

Proof. Let $A(T)$ be an exponential matrix of $\text{Mat}(n, k[T])^E$. We can express $A(T)$ as

$$A(T) = \prod_{i=1}^r \text{Exp}(T^{p^{i-1}} N_i)$$

for some $r \geq 1$ and $(N_1, \dots, N_r) \in \mathcal{N}_r(n, k)$ (see [5, Lemma 1.5]). So, $\rho_{N_1, \dots, N_r} \in \mathbb{E}_r(n, k)$ and

$$\pi(\rho_{N_1, \dots, N_r}) = \pi_r(\rho_{N_1, \dots, N_r}) = A_{\rho_{N_1, \dots, N_r}}(T) = A(T).$$

□

2.1.4. $l(\rho), \rho_{\min}$

Let $\rho \in \mathbb{E}_{\geq 0}(n, k)$. If $\rho \in \mathbb{E}_r(n, k)$ for some $r \geq 1$, there exists a unique element $(N_1, \dots, N_r)$ of $\mathcal{N}_r(n, k)$ such that $\rho = \rho_{N_1, \dots, N_r}$. Let

$$l(\rho) := \begin{cases} \min\{i \in \mathbb{Z}_{\geq 0} \mid N_j = O_n \text{ for all } j \geq i+1\} & \text{if } r \geq 1, \\ 0 & \text{if } r = 0. \end{cases}$$

We can define a homomorphism $\rho_{\min} : (\mathbb{Z}/p\mathbb{Z})^{l(\rho)} \rightarrow \text{GL}(n, k)$ as

$$\rho_{\min} := \begin{cases} \rho_{N_1, \dots, N_{l(\rho)}} & \text{if } l(\rho) \geq 1, \\ \rho_0 & \text{if } l(\rho) = 0. \end{cases}$$

Clearly, the equality $l(\rho) = 0$ holds true if and only if ρ is trivial.

Lemma 2.3. *For any $\rho \in \mathbb{E}_{\geq 0}(n, k)$, we have*

$$\pi(\rho) = \pi(\rho_{\min}).$$

Proof. If $l(\rho) \geq 1$, we can express ρ as $\rho = \rho_{N_1, \dots, N_r}$ for some $r \geq 1$ and $(N_1, \dots, N_r) \in \mathcal{N}_r(n, k)$. We have $(N_1, \dots, N_r) = (N_1, \dots, N_{l(\rho)}, O_n, \dots, O_n)$, which implies

$$\pi(\rho) = A_{\rho_{N_1, \dots, N_r}}(T) = \prod_{i=1}^r \text{Exp}(T^{p^{i-1}} N_i) = \prod_{i=1}^{l(\rho)} \text{Exp}(T^{p^{i-1}} N_i) = A_{\rho_{N_1, \dots, N_{l(\rho)}}}(T) = \pi(\rho_{\min}).$$

If $l(\rho) = 0$, then ρ is trivial. So, $\pi(\rho) = I_n$. Since $\rho_{\min} = \rho_0$, we have $\pi(\rho_{\min}) = I_n$. □

2.2. Proof of Theorem 0.2

Let

$$\mathbb{E}_{\min}(n, k) := \{ \rho \in \mathbb{E}_{\geq 0}(n, k) \mid \rho = \rho_{\min} \}.$$

Clearly, $\rho_0 \in \mathbb{E}_{\min}(n, k)$. Let $\pi|_{\mathbb{E}_{\min}(n, k)} : \mathbb{E}_{\min}(n, k) \rightarrow \text{Mat}(n, k[T])^E$ be the restriction of $\pi : \mathbb{E}_{\geq 0}(n, k) \rightarrow \text{Mat}(n, k[T])^E$ to the subset $\mathbb{E}_{\min}(n, k)$ of $\mathbb{E}_{\geq 0}(n, k)$.

Claim 2.4. $\pi|_{\mathbb{E}_{\min}(n, k)}$ is a bijection.

Proof. The map $\pi|_{\mathbb{E}_{\min}(n, k)}$ is surjective (see Lemmas 2.2 and 2.3).

We shall show that $\pi|_{\mathbb{E}_{\min}(n, k)}$ is injective by separating two cases. Let $\rho_1, \rho_2 \in \mathbb{E}_{\min}(n, k)$ and assume $\pi(\rho_1) = \pi(\rho_2)$.

Case $l(\rho_1) = 0$. In this case, ρ_1 is trivial and thereby $\pi(\rho_1) = I_n$. So, $\pi(\rho_2) = I_n$, and thereby ρ_2 is trivial. Thus $\rho_1 = \rho_2$.

Case $l(\rho_1) \geq 1$. From the above case, we know $l(\rho_2) \geq 1$. Let $r := l(\rho_1)$ and $s := l(\rho_2)$. So, we can express ρ_1 and ρ_2 as

$$\begin{cases} \rho_1 = \rho_{N_1, \dots, N_r} & \text{for some } (N_1, \dots, N_r) \in \mathcal{N}_r(n, k), \\ \rho_2 = \rho_{M_1, \dots, M_s} & \text{for some } (M_1, \dots, M_s) \in \mathcal{N}_s(n, k). \end{cases}$$

We may assume $r \geq s$. Since $A_{\rho_1}(T) = \pi(\rho_1) = \pi(\rho_2) = A_{\rho_2}(T)$, we have

$$(N_1, \dots, N_r) = (M_1, \dots, M_s, O_n, \dots, O_n).$$

Since $N_r \neq O_n$, we must have $r = s$. Thus $\rho_1 = \rho_2$. □

For $i \geq 1$, we denote by $\mathbb{E}_{\min}^{+i}(n, k)$ the set of all homomorphisms

$$\rho_{N_1, \dots, N_{r+i}} : (\mathbb{Z}/p\mathbb{Z})^{r+i} \rightarrow \text{GL}(n, k), \quad (N_1, \dots, N_{r+i}) \in \mathcal{N}_{r+i}(n, k)$$

such that the following conditions (1) and (2) hold true:

- (1) $\rho_{N_1, \dots, N_r} \in \mathbb{E}_{\min}(n, k)$.
- (2) $N_{r+j} = O_n$ for all $1 \leq j \leq i$.

Claim 2.5. We have

$$\mathbb{E}_{\geq 0}(n, k) = \coprod_{i \geq 0} \mathbb{E}_{\min}^{+i}(n, k),$$

where $\mathbb{E}_{\min}^{+0}(n, k) := \mathbb{E}_{\min}(n, k)$.

Proof. Choose any element ρ of $\mathbb{E}_{\geq 0}(n, k)$. If $\rho \in \mathbb{E}_r(n, k)$ for some $r \geq 1$, we can express ρ as $\rho = \rho_{N_1, \dots, N_r}$ for some $(N_1, \dots, N_r) \in \mathcal{N}_r(n, k)$. Let $i := r - l(\rho)$. We have $\rho \in \mathbb{E}_{\min}^{+i}(n, k)$. Thus $\mathbb{E}_{\geq 0}(n, k) \subset \bigcup_{i \geq 0} \mathbb{E}_{\min}^{+i}(n, k)$. Clearly, $\mathbb{E}_{\min}^{+i}(n, k) \cap \mathbb{E}_{\min}^{+j}(n, k) = \emptyset$ for all integers $i, j \geq 0$ with $i \neq j$. □

Claim 2.6. There exists a natural one-to-one correspondence between the sets $\mathbb{E}_{\geq 0}(n, k)$ and $\mathbb{E}_{\min}(n, k) \times \mathbb{Z}_{\geq 0}$, i.e.,

$$\mathbb{E}_{\geq 0}(n, k) \cong \mathbb{E}_{\min}(n, k) \times \mathbb{Z}_{\geq 0}.$$

Proof. For any $i \geq 1$, there exists a natural one-to-one correspondence between the sets $\mathbb{E}_{\min}^{+i}(n, k)$ and $\mathbb{E}_{\min}(n, k)$. Thus we have the desired bijection (see Claim 2.5). □

We can obtain from Claims 2.6 and 2.4 the natural bijection

$$\mathbb{E}_{\geq 0}(n, k) \cong \text{Mat}(n, k[T])^E \times \mathbb{Z}_{\geq 0}.$$

Now, we complete the proof of Theorem 0.2.

From the above proof, we have the following commutative diagram, where $p_1 : \text{Mat}(n, k[T])^E \times \mathbb{Z}_{\geq 0} \rightarrow \text{Mat}(n, k[T])^E$ is the projection onto the first component:

$$\begin{array}{ccc} \mathbb{E}_{\geq 0}(n, k) & \xrightarrow{\cong} & \text{Mat}(n, k[T])^E \times \mathbb{Z}_{\geq 0} \\ \pi \downarrow & \swarrow p_1 & \\ \text{Mat}(n, k[T])^E & & \end{array}$$

We can conclude that π is a trivial $\mathbb{Z}_{\geq 0}$ -fibration.

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