

# FEJÉR–RIESZ FACTORIZATION FOR POSITIVE NONCOMMUTATIVE TRIGONOMETRIC POLYNOMIALS

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ABSTRACT. We prove a Fejér–Riesz type factorization for positive matrix-valued noncommutative trigonometric polynomials on  $\mathcal{W} \times \mathfrak{Q}$ , where  $\mathcal{W}$  is either the free semigroup  $\langle x \rangle_{\mathfrak{g}}$  or the free product group  $\mathbb{Z}_2^{*\mathfrak{g}}$ , and  $\mathfrak{Q}$  is a discrete group. More precisely, using the shortlex order, if  $A$  has degree at most  $w$  in the  $\mathcal{W}$  variables and is strictly positive on all unitary representations of  $\mathcal{W} \times \mathfrak{Q}$ , then  $A = B^*B$  with  $B$  analytic and of  $\mathcal{W}$ -degree at most  $w$ ; this degree bound is optimal, and strict positivity is essential. As an application, we obtain degree-bounded sums-of-squares certificates for Bell-type inequalities in  $\mathbb{C}[\mathbb{Z}_2^{*\mathfrak{g}} \times \mathbb{Z}_2^{*\mathfrak{h}}]$  from quantum information theory.

In the special case  $\mathfrak{Q} = \mathbb{Z}^{\mathfrak{h}}$  we recover, in the matrix-valued setting, the classical commutative multivariable Fejér–Riesz factorization. For trivial  $\mathfrak{Q}$  we obtain a “perfect” group-algebra Positivstellensatz on  $\mathbb{Z}_2^{*\mathfrak{g}}$  that does not require strict positivity; this result is sharp in the sense that no such perfect degree bound can hold on  $\mathbb{Z}_2 * \mathbb{Z}_3$  and  $\mathbb{Z}_3^{*2}$  as demonstrated by counterexamples.

To establish our main results two novel ingredients of independent interest are developed: (a) a positive semidefinite Parrott theorem with entries given by functions on a group; and (b) solutions to positive semidefinite matrix completion problems for  $\langle x \rangle_{\mathfrak{g}}$  or  $\mathbb{Z}_2^{*\mathfrak{g}}$  indexed by words of length  $\leq w$ .

## 1. INTRODUCTION

The classical Fejér–Riesz theorem asserts that a univariate trigonometric polynomial that is positive on the unit circle factors as the modulus square of an analytic polynomial. Due to its importance across many disciplines (e.g., minimum-phase filter design, prediction theory, and the analysis of Toeplitz operators and moment problems)

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it has been generalized in many directions: to matrix- and operator-valued polynomials [Ro68, DR10], and to multivariate settings [GW05, Dr04, DW05]. The Fejér–Riesz theorem is also a particular instance of a Positivstellensatz [Smü91, Pu93], a pillar of real algebraic geometry [BCR98, Ma08, Sc24].

During this century, motivated by linear systems theory [SIG98, dOHMP09], quantum physics [BCPSW14, KMP22] and free probability [MiSp17, Gu16], a noncommutative version of function theory [KVV14, MuSo11, AM15, BMV16, PTD22], also known as free analysis [Vo10], is under development. This program includes noncommutative Fejér–Riesz–type factorizations and nc Positivstellensätze. See for instance [Mc01, He02, HM04, HMP04, Po95, JM12, JMS21] and the references cited therein. We also note inherent limitations on algorithmic detection of noncommutative positivity: in certain tensor-product settings positivity is undecidable [MSZ+].

In this paper we prove a Fejér–Riesz factorization for positive, matrix-valued *noncommutative trigonometric* polynomials  $A$  on a mixed domain  $\mathcal{W} \times \mathfrak{Q}$ , where  $\mathcal{W}$  is either a free semigroup or a free product of copies of  $\mathbb{Z}_2$  and  $\mathfrak{Q}$  is a discrete group. Along the way we develop two tools of independent interest—a positive semidefinite (psd) Parrott theorem with entries given by functions on a group, and degree-controlled psd matrix completions [GJSW84, BW11, CJRW89, GW05, GKW89, AHML88, Mc88] indexed by words. To explain our contribution in more detail, we require some notation.

**1.1. Notation.** Fix a positive integer  $\mathfrak{g}$  and let  $\mathbb{F}_{\mathfrak{g}}$  denote the free group on the alphabet  $x = \{x_1, \dots, x_{\mathfrak{g}}\}$ . The group  $\mathbb{F}_{\mathfrak{g}}$  contains the free semigroup  $\langle x \rangle_{\mathfrak{g}} = \langle x_1, \dots, x_{\mathfrak{g}} \rangle$  generated by  $x$  as well as the free semigroup  $\langle x^{-1} \rangle_{\mathfrak{g}}$  generated by  $x^{-1} = \{x_1^{-1}, \dots, x_{\mathfrak{g}}^{-1}\}$ . The set of *left fractions*

$$\ell\text{-Frac}\langle x \rangle_{\mathfrak{g}} = \{u^{-1}v : u, v \in \langle x \rangle_{\mathfrak{g}}\} \subset \mathbb{F}_{\mathfrak{g}}.$$

plays an important role.

Let  $\mathbb{Z}_2^{*\mathfrak{g}}$  denote the free product of  $\mathbb{Z}_2$  with itself  $\mathfrak{g}$  times. Thus  $\mathbb{Z}_2^{*\mathfrak{g}}$  is the group with generators  $x = \{x_1, \dots, x_{\mathfrak{g}}\}$  and relations  $x_j^2 = e$ , where  $e$  is the identity of  $\mathbb{Z}_2^{*\mathfrak{g}}$ . Note that  $x_j^{-1} = x_j$  for  $1 \leq j \leq \mathfrak{g}$ .

Let  $\mathfrak{Q}$  denote a group with  $\mathfrak{h}$  generators  $y = \{y_1, \dots, y_{\mathfrak{h}}\}$  with the property that

$$(1.1) \quad \mathfrak{Q} = \ell\text{-Frac } \mathcal{Y} = \{a^{-1}b : a, b \in \mathcal{Y}\},$$

where  $\mathcal{Y}$  denotes the semigroup generated by  $y$ . For instance, the additive group  $\mathbb{Z}^{\mathfrak{h}}$  and  $\mathbb{Z}_2^{*\mathfrak{h}}$  both have this property, in the first case with each generator  $y_j \in \mathbb{Z}^{\mathfrak{h}}$  being the

element with a 1 in the  $j$ -th position and a 0 elsewhere and in the second case with each generator  $y_j \in \mathbb{Z}_2^{*\text{h}}$  being one of the usual generators of  $\mathbb{Z}_2^{*\text{h}}$  (meaning  $y_j$  doesn't commute with  $y_i$  for  $i \neq j$  and  $y_j^2 = e$ ).

Let  $\mathcal{W}$  denote either  $\mathbb{Z}_2^{*\text{g}}$  or  $\langle x \rangle_{\mathbf{g}}$ . Elements of the direct product  $\ell\text{-Frac } \mathcal{W} \times \mathfrak{Y}$  have the form  $u a$  for  $u \in \ell\text{-Frac } \mathcal{W}$  and  $a \in \mathfrak{Y}$ . Note that  $\mathbb{Z}_2^{*\text{g}}$  and  $\mathfrak{Y}$  are naturally subgroups of  $\mathbb{Z}_2^{*\text{g}} \times \mathfrak{Y}$ . Likewise  $\mathfrak{Y}$  is naturally a subgroup of  $\ell\text{-Frac } \langle x \rangle_{\mathbf{g}} \times \mathfrak{Y}$ ; whereas  $\ell\text{-Frac } \langle x \rangle_{\mathbf{g}}$  is naturally left  $\langle x^{-1} \rangle_{\mathbf{g}}$ -invariant and right  $\langle x \rangle_{\mathbf{g}}$ -invariant.

A unitary representation  $\pi$  of  $\mathbb{Z}_2^{*\text{g}} \times \mathfrak{Y}$  on a Hilbert space  $\mathcal{F}$  is given by a unitary representation  $\rho$  of  $\mathfrak{Y}$  on  $\mathcal{F}$  and a tuple of  $(U_1, \dots, U_{\mathbf{g}})$  of unitary operators on  $\mathcal{F}$  such that  $U_j^2 = I_{\mathcal{F}}$  and  $U_j$  commutes with  $\rho$  for each  $j$  (meaning  $U_j \rho(\mathbf{u}) = \rho(\mathbf{u}) U_j$  for all  $\mathbf{u} \in \mathfrak{Y}$ ), with

$$(1.2) \quad \pi(x_{i_1} \cdots x_{i_k} \mathbf{u}) = U_{i_1} \cdots U_{i_k} \rho(\mathbf{u}).$$

For the purposes here, a unitary representation  $\pi$  of  $\langle x \rangle_{\mathbf{g}} \times \mathfrak{Y}$  or  $\ell\text{-Frac } \langle x \rangle_{\mathbf{g}} \times \mathfrak{Y}$  on a Hilbert space  $\mathcal{F}$  is a unitary representation of  $\mathbb{F}_{\mathbf{g}} \times \mathfrak{Y}$  on  $\mathcal{F}$ ; that is,  $\pi$  is given by a unitary representation  $\rho$  of  $\mathfrak{Y}$  on  $\mathcal{F}$  and a tuple of unitaries  $U = (U_1, \dots, U_{\mathbf{g}})$  acting on  $\mathcal{F}$  that commute with  $\rho$  via

$$(1.3) \quad \pi(\mathbf{u}^{-1} \mathbf{v} \mathbf{u}) = (U^{\mathbf{v}})^* U^{\mathbf{u}} \rho(\mathbf{u}),$$

where  $U^{\mathbf{v}}$  is defined in the canonical fashion. If we are considering  $\langle x \rangle_{\mathbf{g}} \times \mathfrak{Y}$ , then  $\mathbf{u}^{-1}$  in (1.3) is the empty word.

We order the reduced words in  $\mathcal{W}$  (either  $\langle x \rangle_{\mathbf{g}}$  or  $\mathbb{Z}_2^{*\text{g}}$ ) by the *shortlex order* (length and then dictionary). The shortlex order is a well-ordering; it is a total ordering on  $\mathcal{W}$  with the property that every non-empty subset of  $\mathcal{W}$  has a least element. Given a word  $\mathbf{w} \in \mathcal{W}$ , let  $\mathcal{W}_{\leq \mathbf{w}} = \{\mathbf{v} \in \mathcal{W} : \mathbf{v} \leq \mathbf{w}\}$  and

$$\ell\text{-Frac } \mathcal{W}_{\leq \mathbf{w}} = \{\mathbf{u}^{-1} \mathbf{v} : \mathbf{u}, \mathbf{v} \in \mathcal{W}_{\leq \mathbf{w}}\}.$$

For the group  $\mathfrak{Y}$  as in (1.1), let  $\mathcal{Y}_{\leq M}$  denote those words in  $\mathfrak{y}$  of length at most  $M$ .

Given  $\mathbf{w} \in \mathcal{W}$ , positive integers  $K$  and  $M$  and  $A_g \in M_K(\mathbb{C})$  for each  $g \in \ell\text{-Frac } \mathcal{W}_{\leq \mathbf{w}} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}$ , the expression,

$$(1.4) \quad A = \sum \{A_g g : g \in \ell\text{-Frac } \mathcal{W}_{\leq \mathbf{w}} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}\}$$

is an analog of an  $M_K(\mathbb{C})$ -valued *trigonometric polynomial*, or simply *polynomial* for short, whose *bidegree* is at most  $(\mathbf{w}, M)$ . Similarly, now with also  $K', M'$  as positive

integers, given  $B_g \in M_{K',K}$  for  $g \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M'}$ , the expression

$$(1.5) \quad B = \sum \{B_g g : g \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M'}\}$$

is the analog of an *analytic polynomial*. In this case, the *bidegree* of  $B$  is at most  $(w, M')$ .

For  $B$  as in equation (1.5), let

$$B^* = \sum_{h \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M'}} B_h^* h^{-1}$$

and interpret  $B^*B$  in the canonical way. In particular,  $B^*B$  is a  $M_K(\mathbb{C})$ -valued trigonometric polynomial of bidegree at most  $(w, M')$ .

Given a unitary representation  $\pi$  of  $\ell$ -Frac  $\mathcal{W} \times \mathfrak{Y}$ , the *value* of  $A$  as in (1.4) at  $\pi$  is

$$(1.6) \quad A(\pi) = \sum \{A_g \otimes \pi(g) : g \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}\}.$$

The evaluation  $B(\pi)$  of an analytic polynomial  $B$  at  $\pi$  is defined similarly. In particular,

$$B^*B(\pi) = B(\pi)^*B(\pi).$$

**1.2. Main results.** In this section we state our main results and provide some context. An operator  $T$  on a complex Hilbert space  $\mathcal{G}$  is *positive definite*, abbreviated *pd* and denoted  $T > 0$ , if  $\langle Tg, g \rangle > 0$  for all  $0 \neq g \in \mathcal{G}$ . An operator  $T$  is *positive semidefinite* (*psd*), denoted  $T \geq 0$ , if  $\langle Tg, g \rangle \geq 0$  for all  $g \in \mathcal{G}$ .

**Theorem 1.1** (Noncommutative Fejér–Riesz theorem). *Let  $\mathcal{W}$  denote either the free semigroup  $\langle x \rangle_{\mathfrak{g}}$  or the free product group  $\mathbb{Z}_2^{*\mathfrak{g}}$ . Fix  $w \in \mathcal{W}$  and positive integers  $M$  and  $K$  and let  $A$  denote a given  $M_K(\mathbb{C})$ -valued trigonometric polynomial of bidegree at most  $(w, M)$ . If*

$$A(\pi) > 0$$

*for each separable Hilbert space  $\mathcal{F}$  and unitary representation  $\pi : \ell\text{-Frac } \mathcal{W} \times \mathfrak{Y} \rightarrow B(\mathcal{F})$ , then there exist positive integers  $K'$  and  $M'$  and an  $M_{K',K}(\mathbb{C})$ -valued analytic polynomial  $B$  of bidegree at most  $(w, M')$  such that*

$$(1.7) \quad A = B^*B.$$

The proof of Theorem 1.1 is given in Section 7, see Theorem 7.2.

**Remark 1.2.** The conclusion (1.7) of Theorem 1.1, as well as that of Corollary 1.11 and Theorem 1.6 below, can be interpreted as a sums of squares (sos) Positivstellensatz-type

certificate. Namely, there exist positive integers  $M'$  and  $r$  and  $M_K(\mathbb{C})$ -valued analytic polynomials  $B_j$  of bidegree at most  $(w, M')$  such that

$$A = \sum_{j=1}^r B_j^* B_j. \quad \square$$

**Remark 1.3.** Because our groups are at most countable, it suffices to consider representations on separable Hilbert space for our results; e.g., Theorems 1.1.

Let  $G$  be a countable group and let  $\mathcal{H}$  be a Hilbert space. Write  $B(\mathcal{H})[G] = B(\mathcal{H}) \otimes \mathbb{C}[G]$  for the (algebraic) tensor product, so every  $A \in B(\mathcal{H})[G]$  has the form

$$A = \sum_{g \in F} T_g \otimes g \quad (F \subseteq G \text{ finite, } T_g \in B(\mathcal{H})).$$

Suppose there exists a Hilbert space  $\mathcal{K}$  and a unitary representation  $\pi : \mathbb{C}[G] \rightarrow B(\mathcal{K})$  such that

$$(I \otimes \pi)(A) = \sum_{g \in F} T_g \otimes \pi(g) \in B(\mathcal{H} \otimes \mathcal{K})$$

is not positive semidefinite. Then there exists a separable Hilbert space  $\mathcal{K}_0$  and a unitary representation  $\pi_0 : \mathbb{C}[G] \rightarrow B(\mathcal{K}_0)$  such that  $(I \otimes \pi_0)(A)$  is not positive semidefinite on  $\mathcal{H} \otimes \mathcal{K}_0$ .

Indeed, suppose  $(I \otimes \pi)(A)$  fails to be positive semidefinite on  $\mathcal{H} \otimes \mathcal{K}$ . Hence there exists  $v \in \mathcal{H} \otimes \mathcal{K}$  with

$$\langle (I \otimes \pi)(A)v, v \rangle < 0.$$

The quadratic form  $q(x) = \langle (I \otimes \pi)(A)x, x \rangle$  is continuous, and the algebraic tensor product  $\mathcal{H} \odot \mathcal{K}$  is dense in  $\mathcal{H} \otimes \mathcal{K}$ . Therefore we may choose a finite sum

$$\check{v} = \sum_{j=1}^m h_j \otimes k_j \in \mathcal{H} \odot \mathcal{K}$$

such that  $\langle (I \otimes \pi)(A)\check{v}, \check{v} \rangle < 0$ .

Define

$$\mathcal{K}_0 = \overline{\text{span}}\{\pi(g)k_j : g \in G, 1 \leq j \leq m\} \subseteq \mathcal{K}.$$

Because  $G$  is countable and there are only finitely many  $k_j$ , the space  $\mathcal{K}_0$  is separable. It is invariant under  $\pi(G)$ , so the restriction  $\pi_0 := \pi|_{\mathcal{K}_0}$  is a unitary representation of  $G$  on  $\mathcal{K}_0$ . Clearly  $\check{v} \in \mathcal{H} \otimes \mathcal{K}_0$ . Moreover,

$$\langle (I \otimes \pi_0)(A)\check{v}, \check{v} \rangle = \langle (I \otimes \pi)(A)\check{v}, \check{v} \rangle < 0.$$

Hence  $(I \otimes \pi_0)(A)$  is not positive semidefinite on  $\mathcal{H} \otimes \mathcal{K}_0$ , as claimed.  $\square$

**Remark 1.4.** While there is no degree bound for  $B$  in (1.7) relative to the  $y$  variables, the degree bound relative to the variables of  $\mathscr{W}$  is best possible. The conclusion of Theorem 1.1 without any degree bounds or analyticity on  $B$  is due to Helton-McCullough [HM04].

The proof of Theorem 1.1 gives a bit more than stated. It turns out, if  $A$  satisfies the hypotheses of Theorem 1.1, then there is an  $\epsilon > 0$  such that for each separable Hilbert space  $\mathcal{F}$  and unitary representation  $\pi : \ell\text{-Frac } \mathscr{W} \times \mathfrak{Q} \rightarrow B(\mathcal{F})$  the inequality

$$A(\pi) \geq \epsilon(I_{\mathcal{F}} \otimes I_K)$$

holds. See Proposition 2.1. Moreover, with the normalization  $A_{e,e} = I_K$ , for a fixed  $\epsilon$ , there is an  $M'$  that depends only on  $\epsilon, K, M$  and  $|\mathscr{W}_{\leq w}|$ , that suffices for the conclusion of Theorem 1.1, though we do not have a concrete estimate for the size of  $M'$ . See Theorem 7.2.  $\square$

**Remark 1.5.** The choice of  $\mathfrak{Q}$  equal the direct product  $\mathbb{Z}^{\mathfrak{h}}$  of  $\mathbb{Z}$  with itself  $\mathfrak{h}$  times in Theorem 1.1 is of particular interest. Since irreducible unitary representations of the group  $\mathbb{Z}^{\mathfrak{h}}$  are one-dimensional determined by points in the torus, it suffices to assume that  $A(\pi) > 0$  for representations  $\pi$  determined by unitaries  $U = (U_1, \dots, U_{\mathfrak{g}})$  along with tuples  $(\zeta_1, \dots, \zeta_{\mathfrak{h}})$  satisfying  $|\zeta_j| = 1$ . Moreover, in this case, choosing  $\mathscr{W} = \mathbb{N}$  and thus  $\ell\text{-Frac } \mathscr{W} = \mathbb{Z}$ , reduces to the case of (classical) matrix-valued trigonometric polynomials in several (commuting) variables leading to the factorization result in [Dr04] at least in the matrix-valued case.  $\square$

In the case that  $\mathfrak{Q}$  is not present (equivalently it is the trivial group) in Theorem 1.1, the strict positivity hypothesis is not needed, a fact that appears in [Mc01] for the free semigroup  $\langle x \rangle_{\mathfrak{g}}$ . (See also [HMP04, BT07, NT13, KVV17, Oz13] for a similar result for the free group  $\mathbb{F}_{\mathfrak{g}}$ .) An analogous result holds for the case of  $\mathbb{Z}_2^{*\mathfrak{g}}$ :

**Theorem 1.6** (Group algebra Positivstellensatz for  $\mathbb{Z}_2^{*\mathfrak{g}}$ ). *Set  $\mathscr{W} = \mathbb{Z}_2^{*\mathfrak{g}}$ . Let  $w \in \mathscr{W}$ , a Hilbert space  $\mathcal{E}$  and  $A_u \in B(\mathcal{E})$  for  $u \in \ell\text{-Frac } \mathscr{W}_{\leq w}$  be given and let*

$$A = \sum_{u \in \ell\text{-Frac } \mathscr{W}_{\leq w}} A_u u$$

*denote the resulting trigonometric polynomial. Thus the degree of  $A$  is at most  $w$ . If  $A(\pi) \geq 0$  for all unitary representations  $\pi$  of  $\mathbb{Z}_2^{*\mathfrak{g}}$  on separable Hilbert space, then there is an auxiliary Hilbert space  $\mathcal{E}'$  and an analytic polynomial  $B$  of degree at most  $w$  with*

coefficients in  $B(\mathcal{E}, \mathcal{E}')$  such that

$$A = B^* B.$$

**Remark 1.7.** Theorem 1.6 holds in the following form when  $\mathfrak{Q}$  is a finite group of cardinality  $M$  for  $\mathcal{W}$  either  $\langle x \rangle_g$  or  $\mathbb{Z}_2^{*\mathfrak{g}}$ . Namely, given  $A$  as in equation (1.6) with coefficients in  $B(\mathcal{E})$  of bidegree  $(w, M)$ , if  $A(\pi) \geq 0$  for all unitary representations  $\pi$  of  $\ell\text{-Frac } \mathcal{W} \times \mathfrak{Q}$  on separable Hilbert space, then there exists an auxiliary Hilbert space  $\mathcal{E}'$  analytic  $B(\mathcal{E}, \mathcal{E}')$  polynomial  $B$  of bidegree  $(w, M)$  such that  $A = B^* B$ .

In this setting, while not carried out here, a careful analysis of matrix completion argument given in the proofs show that it suffices to consider unitary representations  $\pi$  on Hilbert space of dimension at most  $MK |\mathcal{W}_{\leq w}|$ .

**Remark 1.8.** Bell inequalities are pillars of quantum physics and quantum information theory. They were introduced in the seminal paper [Be64] and have been instrumental to experimentally demonstrate [Nobel22] the validity of quantum mechanics. Violation of a Bell inequality serves as an indicator of entanglement and implies that a physical interaction cannot be explained by any classical picture of physics [BCPSW14]. Mathematically a Bell inequality is simply a special type of inequality on trigonometric polynomials in the group algebra  $\mathbb{C}[\mathbb{Z}_2^{*\mathfrak{g}} \times \mathbb{Z}_2^{*\mathfrak{h}}]$ . The simplest example is the Clauser-Horne-Shimony-Holt (CHSH) inequality [CHSH69], where  $\mathfrak{g} = \mathfrak{h} = 2$ , and letting  $x_1, x_2$  and  $y_1, y_2$  denote the generators of  $\mathbb{Z}_2^{*\mathfrak{g}}$  and  $\mathbb{Z}_2^{*\mathfrak{h}}$ , respectively, we have

$$\text{CHSH: } x_1 y_1 + x_1 y_2 + x_2 y_1 - x_2 y_2 \leq 2\sqrt{2}.$$

Theorem 1.1 provides a new degree-bounded Positivstellensatz-type certificate to validate Bell inequalities with matrix coefficients such as those appearing in quantum steering, cf. [CS16, Equation (12)].  $\square$

**Remark 1.9.** The strict positivity assumption in Theorem 1.1 is needed as the conclusion can fail if  $A(\pi)$  is merely positive semidefinite at all unitary representations  $\pi$ . This is shown for the case of Bell inequalities in  $\mathbb{C}[\mathbb{Z}_2^{*\mathfrak{g}} \times \mathbb{Z}_2^{*\mathfrak{h}}]$  in [FKMPRSZ+, Theorem 1.3]. Related phenomena occur beyond Bell settings: positivity in tensor products of free algebras is undecidable [MSZ+]; this underscores the necessity of strict positivity in our factorization results.  $\square$

**Remark 1.10.** Theorem 1.6 is special to  $\mathbb{Z}_2^{*\mathfrak{g}}$  in the sense that its *perfect* degree bound  $\deg B \leq \deg A$  need not hold for other free products of finite cyclic groups. In particular, Examples 8.1 and 8.2 produce trigonometric polynomials  $A \in \mathbb{C}[\mathbb{Z}_2 * \mathbb{Z}_3]$  and

$A \in \mathbb{C}[\mathbb{Z}_3^{*2}]$ , respectively, for which  $A(\pi) \geq 0$  for all unitary representations  $\pi$ , but there is no factorization  $A = B^*B$  with  $B$  analytic of degree at most  $\deg A$ . Our examples do not exclude the possibility that some weaker (non-optimal) degree bound might hold for these groups.  $\square$

We note that in the case that  $\mathcal{W}$  is not there, Theorem 1.1 produces the following Positivstellensatz, that, without the analyticity condition on  $B$ , is a consequence of [HM04].

**Corollary 1.11.** *Consider a  $M_K(\mathbb{C})$ -valued trigonometric polynomial in  $y$  variables only,*

$$A = \sum_{u \in \ell\text{-Frac } \mathcal{Y}_{\leq M}} A_u u.$$

*If for each separable Hilbert space  $\mathcal{F}$  and unitary representation  $\pi : \mathfrak{Y} \rightarrow B(\mathcal{F})$  the inequality  $A(\pi) > 0$  holds, then there exist positive integers  $K'$  and  $M'$  and an  $M_{K',K}(\mathbb{C})$ -valued analytic polynomial*

$$B = \sum_{a \in \mathcal{Y}_{\leq M'}} B_a a$$

*such that*

$$A = B^*B.$$

**1.3. Further results and guide to the paper.** The claim regarding the existence of  $\epsilon > 0$  made in Remark 1.4 is established in Section 2. Two ingredients of independent interest underlie the proofs of Theorems 1.1 and 1.6. First, we establish a positive semidefinite (psd) Parrott theorem [Par78] whose (block) entries are functions on a group  $G$ . See Theorem 3.1. This variant of Parrott's theorem then feeds into solving psd matrix completion problems for the free semigroup  $\langle x \rangle_{\mathfrak{g}}$  and the free product group  $\mathbb{Z}_2^{*\mathfrak{g}}$ . See Theorem 4.1 and Theorem 4.2, respectively. For  $\mathbb{Z}_2^{*\mathfrak{g}}$  the solution to the matrix completion problem is novel even for the case where  $G$  is trivial.

Section 5 provides a bridge to representation theory. The fact that a psd function (kernel) on a group is realized as a compression of a unitary representation of that group applies to  $\mathbb{Z}_2^{*\mathfrak{g}} \times G$  and also extends to  $\langle x \rangle_{\mathfrak{g}} \times G$ , see Proposition 5.1. Section 6 packages the truncated Gram data into a compact, nested family and extracts a single truncation level depending only on a fixed compact collection of trigonometric polynomials. A precise statement appears as Lemma 6.4 and it is this uniformity that produces degree bounds  $M'$  alluded to in Remark 1.4. Section 7 assembles these pieces to prove the Fejér–Riesz

factorization Theorem 1.1 with optimal  $\mathfrak{W}$ -degree under uniform strict positivity and, for trivial  $\mathfrak{M}$ , the “perfect” group–algebra Positivstellensatz Theorem 1.6 on  $\mathbb{Z}_2^{*g}$ .

Finally, Section 8 presents (counter)examples in the cases of  $\mathbb{Z}_2 * \mathbb{Z}_3$  and  $\mathbb{Z}_3^{*2}$  that show our results are sharp.

## 2. FROM POSITIVE DEFINITE TO BIGGER THAN $\varepsilon$

The claim made in Remark 1.4 is established in this section.

**Proposition 2.1.** *Let  $G$  be a countable group and let  $A \in M_n(\mathbb{C})[G] = M_n(\mathbb{C}) \otimes \mathbb{C}[G]$ . If for every Hilbert space  $\mathcal{K}$  and every unitary representation  $\pi : \mathbb{C}[G] \rightarrow B(\mathcal{K})$  the operator*

$$(I \otimes \pi)(A) \in B(\mathbb{C}^n \otimes \mathcal{K}) \cong M_n(\mathbb{C}) \otimes B(\mathcal{K})$$

*is positive definite, then there exists  $\varepsilon > 0$  such that*

$$(I \otimes \pi)(A) \geq \varepsilon I_{\mathbb{C}^n \otimes \mathcal{K}}$$

*for all  $\mathcal{K}$  and all unitary representations  $\pi$  on  $\mathcal{K}$ .*

*Proof.* View  $A \in M_n(\mathbb{C})[G]$  as an element of  $M_n(\mathbb{C}) \otimes C^*(G)$ , where  $C^*(G)$  denotes the full (or universal) group  $C^*$ -algebra [Da25, Definition 9.12.4]. By hypothesis, for every unitary representation  $\pi$  of  $G$  on  $\mathcal{K}$  the operator  $(\text{id}_{M_n} \otimes \pi)(A)$  is strictly positive on  $\mathbb{C}^n \otimes \mathcal{K}$ .

Let  $S$  be the state space of  $M_n(\mathbb{C}) \otimes C^*(G)$ . By the GNS construction, each  $\varphi \in S$  is of the form

$$\varphi(B) = \langle \rho(B)\xi, \xi \rangle$$

for some representation  $\rho$  of  $M_n(\mathbb{C}) \otimes C^*(G)$  on a Hilbert space  $\mathcal{L}$  and some unit vector  $\xi \in \mathcal{L}$ .

Lemma 2.2 below is well known. It shows that  $\rho$  is unitarily equivalent to a representation of the form  $\text{id}_{M_n(\mathbb{C})} \otimes \sigma$  for a suitable representation  $\sigma : C^*(G) \rightarrow B(\mathcal{L}_0)$  on some Hilbert space  $\mathcal{L}_0$ . Consequently,

$$\varphi(A) = \langle (\text{id}_{M_n(\mathbb{C})} \otimes \sigma)(A)\eta, \eta \rangle$$

for some unit vector  $\eta \in \mathbb{C}^n \otimes \mathcal{L}_0$ . By the assumption of strict positivity for all unitary representations, the operator  $(\text{id}_{M_n(\mathbb{C})} \otimes \sigma)(A)$  is strictly positive, hence  $\varphi(A) > 0$  for every  $\varphi \in S$ .

The map  $S \rightarrow \mathbb{R}$ , defined by  $\varphi \mapsto \varphi(A)$ , is continuous and  $S$  is weak\*-compact; therefore  $\varphi$  attains its minimum at some  $\varphi_0 \in S$ . Since  $\varphi(A) > 0$  for all  $\varphi$ , this minimum is a positive number: set  $\epsilon := \min_{\varphi \in S} \varphi(A) > 0$ . Thus for all states  $\varphi \in S$ ,

$$(2.1) \quad \varphi(A - \epsilon 1) = \varphi(A) - \epsilon \geq 0.$$

In a  $C^*$ -algebra, order is separated by states: for self-adjoint  $x$ , one has  $x \geq 0$  if and only if  $\varphi(x) \geq 0$  for all states  $\varphi$ . Applying this fact to the self-adjoint element  $A - \epsilon 1$  it follows from equation (2.1) that  $A - \epsilon 1 \geq 0$ . Finally, for any unitary representation  $\pi : \mathbb{C}[G] \rightarrow B(\mathcal{K})$ ,

$$(\text{id}_{M_n(\mathbb{C})} \otimes \pi)(A) \geq \epsilon I_n \otimes I_{\mathcal{K}},$$

as desired. □

**Lemma 2.2.** *Let  $A$  be a  $C^*$ -algebra. If  $\rho : M_n(\mathbb{C}) \otimes A \rightarrow B(\mathcal{L})$  a representation, then there exist a Hilbert space  $\mathcal{L}_0$  and a representation  $\sigma : A \rightarrow B(\mathcal{L}_0)$  such that, after a unitary identification  $\mathcal{L} \cong \mathbb{C}^n \otimes \mathcal{L}_0$ ,*

$$\rho(X \otimes a) = X \otimes \sigma(a)$$

for all  $X \in M_n(\mathbb{C})$ ,  $a \in A$ .

*Proof.* The restriction  $\rho|_{M_n(\mathbb{C}) \otimes 1}$  is a representation of the finite-dimensional algebra  $M_n(\mathbb{C})$ , hence unitarily equivalent to  $X \mapsto X \otimes I_{\mathcal{L}_0}$  on  $\mathbb{C}^n \otimes \mathcal{L}_0$  for a suitable Hilbert space  $\mathcal{L}_0$  (cf. [Ar76, p. 20, Corollary 1]). Under this identification,  $\rho(1 \otimes a)$  commutes with  $M_n(\mathbb{C}) \otimes I_{\mathcal{L}_0}$ , so it must be of the form  $I_n \otimes \sigma(a)$  for a representation  $\sigma : A \rightarrow B(\mathcal{L}_0)$  (cf. proof of the von Neumann Double Commutant Theorem in [Da25, Theorem 9.4.1]). Hence

$$\rho(X \otimes a) = \rho(X \otimes 1)\rho(1 \otimes a) = (X \otimes I)(I \otimes \sigma(a)) = X \otimes \sigma(a). \quad \square$$

### 3. THE PARROTT THEOREM FOR PSD FUNCTIONS ON A GROUP

This section contains the statement and proof of an of independent interest version of the well known psd version of the Parrott theorem, [Par78]. In that paper it is shown that if the given entries of the relevant block matrices come from a von Neumann algebra, then there is a solution to the completion problem that comes from the von Neumann algebra. Here we show that if the entries are functions on a group, then the solution can be chosen to also be a function on that group.

Let  $G$  denote a group and fix a Hilbert space  $\mathcal{E}$ . Given a function  $p : G \rightarrow B(\mathcal{E})$ , let  $\Upsilon_p$  denote the associated block matrix,

$$(3.1) \quad \Upsilon_p = \left( p(g^{-1}h) \right)_{g,h \in G}.$$

Let

$$(3.2) \quad \mathcal{F} = C_{00}(G, \mathcal{E}) = \{ \phi : G \rightarrow \mathcal{E}, |\{g : \phi(g) \neq 0\}| < \infty \}.$$

Thus  $\mathcal{F}$  consists of those  $\mathcal{E}$ -valued functions  $\phi$  on  $G$  such that  $\phi(g) = 0$  for all but finitely many  $g \in G$ .

The matrix  $\Upsilon_p$  determines a sesquilinear *form* on  $\mathcal{F}$  by

$$(3.3) \quad \langle \Upsilon_p \varphi, \psi \rangle = \sum_{g,h \in G} \langle p(g^{-1}h) \varphi(h), \psi(g) \rangle$$

for  $\varphi, \psi \in \mathcal{F}$ . The form  $\Upsilon_p$  is *positive definite* (pd) (resp. *positive semidefinite* (psd)) if

$$\langle \Upsilon_p \varphi, \varphi \rangle > 0$$

(resp.  $\langle \Upsilon_p \varphi, \varphi \rangle \geq 0$ ) whenever  $0 \neq \varphi \in \mathcal{F}$ . In particular,  $p(g^{-1}h) = p(h^{-1}g)^* \in B(\mathcal{E})$  for each  $g, h \in G$ .

An element  $\alpha \in G$  induces a bijective linear map  $L_\alpha : \mathcal{F} \rightarrow \mathcal{F}$  defined by

$$(3.4) \quad L_\alpha \varphi(g) = \varphi(\alpha^{-1}g),$$

for  $\varphi \in \mathcal{F}$ . This map  $L_\alpha$  is unitary with respect to the form induced by  $\Upsilon_p$  since, by equation (3.3),

$$(3.5) \quad \begin{aligned} \langle \Upsilon_p L_\alpha \varphi, L_\alpha \psi \rangle &= \sum_{g,h \in G} \langle p(g^{-1}h) \varphi(\alpha^{-1}h), \psi(\alpha^{-1}g) \rangle \\ &= \sum_{g,h \in G} \langle p(\alpha^{-1}g)^{-1}(\alpha^{-1}h) \varphi(\alpha^{-1}h), \psi(\alpha^{-1}g) \rangle \\ &= \langle \Upsilon_p \varphi, \psi \rangle. \end{aligned}$$

Fix a positive integer  $N$ , let  $J_N = \{0, 1, \dots, N\}$ , and fix functions  $p_{j,k} : G \rightarrow B(\mathcal{E})$  for  $(j, k) \in J_N \times J_N \setminus \{(0, N), (N, 0)\}$ . Let  $\Upsilon_{j,k} = \Upsilon_{p_{j,k}}$  denote the matrix associated to  $p_{j,k}$ . We assume that  $\Upsilon_{k,j}$  is the formal adjoint to  $\Upsilon_{j,k}$  in the sense that

$$\langle \Upsilon_{k,j} \varphi, \psi \rangle = \overline{\langle \Upsilon_{j,k} \psi, \varphi \rangle}.$$

Let  $A = \Upsilon_{0,0}$ ,  $C = \Upsilon_{N,N}$ , and

$$\begin{aligned} B &= \left( \Upsilon_{j,k} \right)_{j,k=1}^{j,k=N-1} \\ E &= \left( \Upsilon_{0,1} \quad \Upsilon_{0,2} \quad \dots \quad \Upsilon_{0,N-1} \right) \\ F^* &= \left( \Upsilon_{1,N}^* \quad \dots \quad \Upsilon_{N-2,N}^* \quad \Upsilon_{N-1,N}^* \right). \end{aligned}$$

The matrix  $B$  defines a form  $[\cdot, \cdot]_B$  on  $\mathcal{F}^{N-1} \times \mathcal{F}^{N-1}$ , where  $\mathcal{F}^{N-1} := \oplus_1^{N-1} \mathcal{F}$ , in the natural way. Likewise,  $E$  defines a form on  $\mathcal{F}^{N-1} \times \mathcal{F}$ ; and  $F$  defines a form on  $\mathcal{F} \times \mathcal{F}^{N-1}$ . For instance, given  $\varphi' = \oplus_1^{N-1} \varphi'_j \in \mathcal{F}^{N-1}$  and similarly for  $\psi' \in \mathcal{F}^{N-1}$ ,

$$[\varphi', \psi']_B = \langle B\varphi', \psi' \rangle = \sum_{j,k=1}^{N-1} \langle \Upsilon_{j,k} \varphi'_k, \psi'_j \rangle = \sum_{j,k=1}^{N-1} \sum_{g,h \in G} \langle p_{j,k}(g^{-1}h) \varphi'_k(h), \psi'_j(g) \rangle$$

(where the sums are finite) and  $B$  is *positive semi-definite (psd)* means  $\langle B\varphi', \varphi' \rangle \geq 0$  for all  $\varphi' \in \oplus_1^{N-1} \mathcal{F}$ .

The following is an analog of the psd version of the Parrott theorem.

**Theorem 3.1.** *If both the forms on  $\mathcal{F}^N \times \mathcal{F}^N$*

$$P = \begin{pmatrix} A & E \\ E^* & B \end{pmatrix}, \quad Q = \begin{pmatrix} B & F \\ F^* & C \end{pmatrix}$$

*are psd (and if, as above,  $A$ ,  $B$ , and  $C$  are positive definite (pd)), then there is a function  $p : G \rightarrow B(\mathcal{E})$  such that, with  $\Upsilon_{0,N} = \Upsilon_p$  and  $\Upsilon_{N,0} = \Upsilon_p^* = \Upsilon_{p^*}$ , the form  $\Upsilon = (\Upsilon_{j,k})_{j,k=0}^N$  on  $\mathcal{F}^{N+1}$ ,*

$$\Upsilon = \begin{pmatrix} A & E & \Upsilon_p \\ E^* & B & F \\ \Upsilon_p^* & F^* & C \end{pmatrix},$$

*is psd.*

*Proof.* The pd form  $B$  defines a positive definite sesquilinear form  $[\cdot, \cdot]_B$  on  $\mathcal{F}^{N-1}$ . Indeed, that is precisely what it means to say  $B$  is pd. Let  $\mathcal{M}_B$  denote the inner product space  $(\mathcal{F}^{N-1}, [\cdot, \cdot]_B)$  and let  $\mathcal{E}_B$  denote the Hilbert space obtained by completing  $\mathcal{M}_B$ . In the same manner, let  $\mathcal{M}_A$  and  $\mathcal{M}_C$  denote the inner product spaces on  $\mathcal{F}$  induced by the pd forms  $[\cdot, \cdot]_A$  and  $[\cdot, \cdot]_C$  and let  $\mathcal{E}_A$  and  $\mathcal{E}_C$  denote the Hilbert spaces obtained by completing  $\mathcal{M}_A$  and  $\mathcal{M}_C$  respectively. By construction,  $\mathcal{F} \subseteq \mathcal{M}_A, \mathcal{M}_C$  and  $\mathcal{F}^{N-1} \subseteq \mathcal{M}_B$ .

The linear map  $I_{N-1} \otimes L_\alpha$ , where  $L_\alpha$  is defined in equation (3.4), acts on  $\mathcal{F}^{N-1}$  in the natural way; that is, for  $\varphi' = \oplus_{j=1}^{N-1} \varphi'_j$ ,

$$(I_{N-1} \otimes L_\alpha)\varphi' = \oplus_{j=1}^{N-1} L_\alpha \varphi'_j.$$

Often we simplify and write  $L_\alpha$  in place of  $I_{N-1} \otimes L_\alpha$ . From equation (3.5), it follows, for  $\varphi, \psi \in \mathcal{F}$  and  $\varphi', \psi' \in \mathcal{F}^{N-1}$ , that

$$(3.6) \quad [L_\alpha \varphi, L_\alpha \psi]_A = \langle AL_\alpha \varphi, L_\alpha \psi \rangle = \langle \Upsilon_{0,0} L_\alpha \varphi, L_\alpha \psi \rangle = \langle \Upsilon_{0,0} \varphi, \psi \rangle = \langle A\varphi, \psi \rangle = [\varphi, \psi]_A$$

$$(3.7) \quad \langle EL_\alpha \varphi', L_\alpha \psi' \rangle = \sum_{j=1}^{N-1} \langle \Upsilon_{0,j} L_\alpha \varphi'_j, L_\alpha \psi' \rangle = \sum_{j=1}^{N-1} \langle \Upsilon_{0,j} \varphi'_j, \psi' \rangle = \langle E\varphi', \psi' \rangle$$

and

$$(3.8) \quad \begin{aligned} [L_\alpha \varphi', L_\alpha \psi']_B &= \langle BL_\alpha \varphi', L_\alpha \psi' \rangle = \sum_{j,k=1}^{N-1} \langle \Upsilon_{j,k} L_\alpha \varphi'_k, L_\alpha \psi'_j \rangle \\ &= \sum_{j,k=1}^{N-1} \langle \Upsilon_{j,k} \varphi'_k, \psi'_j \rangle = \langle B\varphi', \psi' \rangle = [\varphi', \psi']_B. \end{aligned}$$

In particular,  $L_\alpha$  induces unitary operators  $S_\alpha^A$  and  $S_\alpha^B$  on  $\mathcal{M}_A$  and  $\mathcal{M}_B$  respectively that then extend to unitary operators on  $\mathcal{E}_A$  and  $\mathcal{E}_B$ , still denoted  $S_\alpha^A$  and  $S_\alpha^B$ . For instance, for  $\varphi, \psi \in \mathcal{F} \subseteq \mathcal{E}_A$ , an application of equation (3.6) gives,

$$[S_\alpha^A \varphi, S_\alpha^A \psi]_A = [L_\alpha \varphi, L_\alpha \psi]_A = [\varphi, \psi]_A.$$

Because  $P$  is psd, for  $\psi \in \mathcal{F}$  and  $\varphi' \in \mathcal{F}^{N-1}$ , a version of the Cauchy-Schwartz inequality gives,

$$(3.9) \quad |\langle E\varphi', \psi \rangle|^2 \leq [\psi, \psi]_A [\varphi', \varphi']_B.$$

To prove this claim note that, for complex numbers  $\lambda$

$$\begin{aligned} \left\langle P \begin{pmatrix} \psi \\ -\lambda \varphi' \end{pmatrix}, \begin{pmatrix} \psi \\ -\lambda \varphi' \end{pmatrix} \right\rangle &= \langle A\psi, \psi \rangle - 2 \operatorname{real}(\lambda \langle E\varphi', \psi \rangle) + |\lambda|^2 \langle B\varphi', \varphi' \rangle \\ &= [\psi, \psi]_A - 2 \operatorname{real}(\lambda \langle E\varphi', \psi \rangle) + |\lambda|^2 [\varphi', \varphi']_B. \end{aligned}$$

If  $[\varphi', \varphi']_B = 0$ , then ( $\varphi' = 0$  and) the positivity hypothesis on  $P$  implies it is also the case that  $\langle E\varphi', \psi \rangle = 0$  so that (3.9) holds. Otherwise, the positivity condition and the usual judicious choice  $[\varphi', \varphi']_B \lambda = \overline{\langle E\varphi', \psi \rangle}$  does the job. Hence, for  $\varphi'$  fixed, the mapping  $\mathcal{E}_A \ni \psi \mapsto \overline{\langle E\varphi', \psi \rangle}$  defines a bounded linear functional on  $\mathcal{M}_A$ , and hence on  $\mathcal{E}_A$ , of norm at most  $\|\varphi'\|_B = [\varphi', \varphi']_B^{\frac{1}{2}}$ . By the Riesz Representation theorem, there is a vector  $\tilde{E}\varphi' \in \mathcal{E}_A$  such that

$$(3.10) \quad \langle E\varphi', \psi \rangle = [\tilde{E}\varphi', \psi]_A$$

for all  $\psi \in \mathcal{E}_A$  and  $\|\tilde{E}\varphi'\|_A \leq \|\varphi'\|_B$ . Thus, we obtain a bounded linear map  $\tilde{E} : \mathcal{E}_B \rightarrow \mathcal{E}_A$  with norm at most one satisfying

$$(3.11) \quad [\tilde{E}\varphi', \psi]_A = \langle E\varphi', \psi \rangle$$

for all  $\psi \in \mathcal{F}$  and  $\varphi' \in \mathcal{F}^{N-1}$ . A similar construction produces a (linear) contraction operator  $\tilde{F} : \mathcal{E}_C \rightarrow \mathcal{E}_B$  such that

$$(3.12) \quad \langle F\psi, \varphi' \rangle = [\tilde{F}\psi, \varphi']_B.$$

Following the argument in [Smi07], set  $\tilde{X} = \tilde{E}\tilde{F}$  and note, since  $\tilde{F}$  and  $\tilde{E}$  are contractions,

$$(3.13) \quad \tilde{R} := \begin{pmatrix} I & \tilde{E} & \tilde{X} \\ \tilde{E}^* & I & \tilde{F} \\ \tilde{X}^* & \tilde{F}^* & I \end{pmatrix} = \begin{pmatrix} \tilde{E} \\ I \\ \tilde{F}^* \end{pmatrix} (\tilde{E}^* \quad I \quad \tilde{F}) + \begin{pmatrix} I - \tilde{E}\tilde{E}^* & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & I - \tilde{F}^*\tilde{F} \end{pmatrix} \geq 0.$$

Next observe, for  $\psi \in \mathcal{F}$  and  $\varphi' \in \oplus_1^{N-1} \mathcal{F}$ , that for  $\alpha \in G$ ,

$$\begin{aligned} [\tilde{E}S_\alpha^B \varphi', S_\alpha^A \psi]_A &= \langle EL_\alpha \varphi', L_\alpha \psi \rangle \\ &= \langle E\varphi', \psi \rangle = [\tilde{E}\varphi', \psi]_A = [S_\alpha^A \tilde{E}\varphi', S_\alpha^A \psi]_A, \end{aligned}$$

where the first and third equalities result from the definition of  $\tilde{E}$  in equation (3.11) and the definitions of  $S_\alpha^A$  and  $S_\alpha^B$ ; and the second equality follows from equation (3.7). The surjectivity of  $S_\alpha^A$  now gives  $\tilde{E}S_\alpha^B = S_\alpha^A \tilde{E}$ . A similar argument reveals that  $\tilde{F}S_\alpha^C = S_\alpha^B \tilde{F}$ . Consequently,  $\tilde{E}\tilde{F}S_\alpha^C = \tilde{E}S_\alpha^B \tilde{F} = S_\alpha^A \tilde{E}\tilde{F}$ ; that is  $\tilde{X}S_\alpha^C = S_\alpha^A \tilde{X}$ . Thus,  $(S_\alpha^A)^* \tilde{X}S_\alpha^C = \tilde{X}$ .

Define  $p = p_{0,N} : G \rightarrow B(\mathcal{E})$  by

$$\langle p(h)\omega, \nu \rangle = [\tilde{X}(1_h \otimes f), (1_e \otimes e)]_A,$$

for  $f, e \in \mathcal{E}$  and  $h \in G$ , where  $e$  is the group identity and  $1_e$  and  $1_h$  are the indicator functions of  $\{e\}$  and  $\{h\}$  respectively. Let

$$X = \Upsilon_{0,N} = \Upsilon_p = (p(g^{-1}h))_{g,h \in G}.$$

Thus,  $X$  is a matrix indexed by  $G \times G$  with  $(h, g)$  entry  $p(g^{-1}h) \in B(\mathcal{E})$  that determines a form on  $\mathcal{F}$ . We claim if  $\varphi, \psi \in \mathcal{F}$ , then  $\langle X\varphi, \psi \rangle = [\tilde{X}\varphi, \psi]_A$ . To prove this claim, let  $g, h \in G$  and  $e, f \in \mathcal{E}$  be given and observe,

$$\begin{aligned} \langle X(1_h \otimes f), (1_g \otimes e) \rangle &= \langle X_{g,h} f, e \rangle = \langle p(g^{-1}h) f, e \rangle \\ &= [\tilde{X}(1_{g^{-1}h} \otimes f), (1_e \otimes e)]_A \\ &= [(S_g^A)^* \tilde{X}S_g^C(1_{g^{-1}h} \otimes f), (1_e \otimes e)]_A \\ &= [\tilde{X}S_g^C(1_{g^{-1}h} \otimes f), S_g^A(1_e \otimes e)]_A \\ &= [\tilde{X}(1_h \otimes f), (1_g \otimes e)]_A. \end{aligned}$$

Because the set  $\{1_g \otimes f : g \in G, f \in \mathcal{E}\}$  spans  $\mathcal{F}$ , the claim follows by linearity.

To prove  $\Upsilon = (\Upsilon_{j,k})_{j,k=0}^{j,k=N}$  is psd, observe, if  $\varphi_1, \varphi_2, \psi_1, \psi_2 \in \mathcal{F}$  and  $\varphi', \psi' \in \mathcal{F}^{N-1}$ , then

$$(3.14) \quad \langle \Upsilon[\varphi_1 \ \varphi' \ \varphi_2]^T, [\psi_1 \ \psi' \ \psi_2]^T \rangle = \langle \tilde{R}[\varphi_1 \ \varphi' \ \varphi_2]^T, [\psi_1 \ \psi' \ \psi_2]^T \rangle.$$

Since, by equation (3.13), the operator  $\tilde{R}$  is psd, equation (3.14) implies the form  $\Upsilon$  is too.  $\square$

**Remark 3.2.** The pd assumption on  $A, B, C$  can be relaxed to psd via the usual device of observing that, for instance,  $[\cdot, \cdot]_A$  determines a psd form on  $\mathcal{F}$  and constructing the Hilbert space  $\mathcal{E}_A$  from equivalence classes, modulo null vectors, with representatives from  $\mathcal{F}$ .

**Corollary 3.3.** *Fix positive integers  $M, N$  and let  $E = \{(j, N), (N, j) : -M \leq j \leq 0\}$ . Suppose  $p_{j,k} : G \rightarrow B(\mathcal{E})$  for  $(j, k) \in \{(j, k) : -M \leq j, k \leq N\} \setminus E$ . Let  $\Upsilon_{j,k}$  denote the form determined by  $p_{j,k}$ . If both forms*

$$(\Upsilon_{j,k})_{j,k=-M}^{N-1}, \quad (\Upsilon_{j,k})_{j,k=1}^N$$

*are psd, then there exists  $p_{j,k} : G \rightarrow B(\mathcal{E})$  for  $(j, k) \in E$  such that, defining  $\Upsilon_{j,k}$  for  $(j, k) \in E$  in the usual way, the form*

$$(\Upsilon_{j,k})_{j,k=-M}^N$$

*is psd.*

*Proof.* Applying Theorem 3.1, to the data  $\Upsilon_{j,k}$  for  $(j, k) \in \{(j, k) : 0 \leq j, k \leq N\} \setminus \{(0, N), (N, 0)\}$ , it follows that there exists a form  $\Upsilon_{0,N}$  on  $G$  such that, with  $\Upsilon_{N,0} = \Upsilon_{0,N}^*$ , the form  $(\Upsilon_{j,k})_{j,k=0}^N$  on  $\oplus_0^N \mathcal{F}$  is psd. Now induct.  $\square$

Corollary 3.3 has a convenient restatement purely in terms of functions from  $G$  to  $B(\mathcal{E})$  as follows. Given a finite set  $F$  let  $M_F(B(\mathcal{E}))$  denote the matrices indexed by  $F \times F$  with entries from  $B(\mathcal{E})$ . Given functions  $p_{s,t} : G \rightarrow B(\mathcal{E})$  for  $s, t \in F$ , the map  $p : G \rightarrow M_F(B(\mathcal{E}))$  defined by

$$p(g) = (p_{s,t}(g))_{s,t \in F}$$

is psd if, for all  $\varphi : F \rightarrow \mathcal{F}$ ,

$$\sum_{g,h \in G} \langle p(g^{-1}h)\varphi_h, \varphi_g \rangle := \sum_{g,h \in G} \sum_{s,t \in F} \langle p_{s,t}(g^{-1}h)\varphi_h(t), \varphi_g(s) \rangle \geq 0,$$

where  $\varphi_h : F \rightarrow \mathcal{E}$  is defined by  $\varphi_h(s) = \varphi(s)(h)$  for each  $s \in F$ . The *adjoint* of  $p : G \rightarrow M_F(B(\mathcal{E}))$ , denoted  $p^*$ , is the function  $p^* : G \rightarrow M_F(B(\mathcal{E}))$  defined by  $p^*(g) = p(g^{-1})^*$ .

In particular, given  $\varphi, \psi : F \rightarrow \mathfrak{F}$ ,

$$\langle \varphi_h, p^*(h^{-1}g)\psi_g \rangle = \langle \varphi_h, p(g^{-1}h)^*\psi_g \rangle = \langle p(g^{-1}h)\varphi_h, \psi_g \rangle,$$

for  $g, h \in G$ .

**Corollary 3.4.** *Let  $F$  denote a finite set, fix  $s_0 \in F$  and a proper subset  $F_0 \subseteq F \setminus \{s_0\}$  and suppose  $p_{s,t} : G \rightarrow B(\mathcal{E})$  for*

$$(s, t) \in F \times F \setminus \left( (\{s_0\} \times F_0) \cup (F_0 \times \{s_0\}) \right)$$

are given. If both

$$(p_{s,t})_{s,t \in F \setminus \{s_0\}}, \quad (p_{s,t})_{s,t \in F \setminus F_0}$$

are psd, then there exists  $p_{s_0,t} : G \rightarrow B(\mathcal{E})$  for  $t \in F_0$  such that, with  $p_{t,s_0} = p_{s_0,t}^*$ ,

$$(p_{s,t})_{s,t \in F}$$

is psd.

In applying Theorem 3.1 and its corollaries, one is not presented with the matrices  $\Upsilon$  directly, but instead matrices  $\Gamma$  indexed by a monoid  $M \subseteq G$  with the property  $G = \ell\text{-Frac } M$ . Thus  $\Gamma$  is indexed by  $M \times M$  and the  $(g, h)$  entry of  $\Gamma$  depends only upon  $g^{-1}h$ . In this case, there exists a function  $p : \ell\text{-Frac } M \rightarrow B(\mathcal{E})$  such that

$$(3.15) \quad \Gamma = \Gamma_p := (p(h^{-1}g))_{g,h \in M}.$$

**Corollary 3.5.** *Let  $M$  be a monoid and assume that  $G = \ell\text{-Frac } M = \{u^{-1}v : u, v \in M\}$ .*

*With the hypotheses of the preamble of Corollary 3.3, if both forms*

$$A = (\Gamma_{j,k})_{j,k=-M}^{N-1}, \quad B = (\Gamma_{j,k})_{j,k=1}^N$$

are psd, then there exists  $p_{j,k} : G \rightarrow B(\mathcal{E})$  for  $(j, k) \in E$  such that, defining  $\Gamma_{j,k}$  for  $(j, k) \in E$  in the usual way, the form

$$(\Gamma_{j,k})_{j,k=-M}^N$$

is psd.

The proof of Corollary 3.5 employs Lemma 3.7, which in turn relies on Lemma 3.6 below. Lemma 3.6, while likely known as it is very much in line with left Ore quotient construction in non-commutative ring theory, is natural from the point of view of semigroups.

**Lemma 3.6.** *If  $M \subseteq G$  is a monoid and  $G = \ell\text{-Frac } M$ , then for each finite subset  $S$  of  $G$ , there exists a  $d \in M$  such that  $dS = \{ds : s \in S\} \subseteq M$ .*

*Proof.* We argue by induction. The case where the cardinality of  $S$  is one is evident. Now suppose  $m$  is a positive integer and the result holds for all  $S$  with  $|S| = m$ . Fix an  $S = \{s_1, \dots, s_m\}$  and let  $s = u^{-1}v \in G = \ell\text{-Frac } M$  be given. Set  $S' = S \cup \{s\}$ . By assumption, there exists a  $d \in M$  such that  $T = dS = \{t_1, \dots, t_m\} \subseteq M$ . Since  $G = \ell\text{-Frac } M$  there exists  $a, b \in M$  such that  $du^{-1} = a^{-1}b$ . Set  $e = ad$  and observe,

$$es = ads = adu^{-1}v = bv \in M.$$

Hence  $eS' = eS \cup \{es\} \subseteq M$  and the proof is complete.  $\square$

The statement of Lemma 3.7 below uses the notation of equation (3.15).

**Lemma 3.7.** *Let  $M$  be a monoid and assume that  $G = \ell\text{-Frac } M$ . If  $N$  is a positive integer,  $p_{j,k} : G \rightarrow B(\mathcal{E})$  for  $1 \leq j, k \leq N$  and*

$$\Delta = \left( \Gamma_{p_{j,k}} \right)_{j,k=1}^N$$

*is psd, then so is*

$$\Upsilon = \left( \Upsilon_{p_{j,k}} \right)_{j,k=1}^N,$$

*where*

$$\Upsilon_p = \left( p(g^{-1}h) \right)_{g,h \in G}.$$

*Proof.* Let  $\varphi = \oplus_1^N \varphi_j \in \mathcal{F}^N$  be given, where  $\mathcal{F}$  is defined in equation (3.2). Let  $S = \bigcup_{1 \leq j \leq N} S_j$  where  $S_j = \text{support}(\varphi_j)$ . By Lemma 3.6, there exists a  $d \in M$  such  $T = dS \subseteq M$ . Define  $\psi_j : M \rightarrow B(\mathcal{E})$  by  $\psi_j(g) = \varphi_j(d^{-1}g)$ . Thus  $\psi_j$  is supported in  $T$  and

$$\begin{aligned} \langle \Upsilon \varphi, \varphi \rangle &= \sum_{j,k=1}^N \sum_{g,h \in S} \langle p_{j,k}(g^{-1}h) \varphi_k(h), \varphi_j(g) \rangle \\ &= \sum_{j,k=1}^N \sum_{g,h \in S} \langle p_{j,k}((dg)^{-1}(dh)) \psi_k(dh), \psi_j(dg) \rangle \\ &= \sum_{j,k=1}^N \sum_{r,s \in T} \langle p_{j,k}(r^{-1}s) \psi_k(s), \psi_j(r) \rangle \\ &= \langle \Delta \psi, \psi \rangle \geq 0, \end{aligned}$$

where  $\psi = \oplus \psi_j$ .  $\square$

*Proof of Corollary 3.5.* Apply Lemma 3.7 to both  $A$  and  $B$  to conclude their  $\Upsilon$  counterparts in Corollary 3.3 are psd. Hence by that corollary  $(\Upsilon_{j,k})_{j,l=-M}^N$  is psd and therefore so is  $(\Gamma_{j,k})_{j,k=-M}^N$  as claimed.  $\square$

We conclude this section with the following variation on Corollary 3.4.

**Corollary 3.8.** *Suppose  $M$  is a monoid and  $G = \ell\text{-Frac } M = \{u^{-1}v : u, v \in M\}$ . Let  $F$  denote a finite set, fix  $s_0 \in F$  and a proper subset  $F_0 \subseteq F \setminus \{s_0\}$  and suppose  $p_{s,t} : G \rightarrow B(\mathcal{E})$  for*

$$(s, t) \in F \times F \setminus ((\{s_0\} \times F_0) \cup (F_0 \times \{s_0\}))$$

are given. If both

$$(p_{s,t})_{s,t \in F \setminus \{s_0\}}, \quad (p_{s,t})_{s,t \in F \setminus F_0}$$

are psd, then there exists  $p_{s_0,t} : G \rightarrow B(\mathcal{E})$  for  $t \in F_0$  such that, with  $p_{t,s_0} = p_{s_0,t}^*$ ,

$$(p_{s,t})_{s,t \in F}$$

is psd.

#### 4. MATRIX COMPLETIONS

The positive semidefinite (psd) matrix completion theorems for partially defined forms over  $\ell\text{-Frac } \mathcal{W}_{\leq w}$ , building on the psd Parrott theorem, Theorem 3.1 from Section 3, are formulated and proved in this section. These completion results provide the combinatorial input for the representation theorem in Section 5 and, ultimately, for the proof of the Fejér–Riesz factorization in Section 7.

Let  $G$  be a group and let  $F(G, B(\mathcal{E}))$  denote the set of functions  $\vartheta : G \rightarrow B(\mathcal{E})$ . We abbreviate to  $F_{\mathcal{E}}(G)$  when confusion is unlikely. Recall, the function  $\vartheta$  is identified with the matrix, or form, with entries from  $B(\mathcal{E})$ ,

$$\Upsilon_{\vartheta} = (\vartheta(g^{-1}h))_{g,h \in G}.$$

Also recall  $\mathcal{W}$  is either the free semigroup  $\langle x \rangle_{\mathbf{g}}$  or the free product  $\mathbb{Z}_2^{*\mathbf{g}}$  endowed with the shortlex order. Given  $w \in \mathcal{W}$ , a *partially defined form*, or *w-partially defined form*, over  $\ell\text{-Frac } \mathcal{W}_{\leq w}$  with values in  $F_{\mathcal{E}}(G)$  is a function  $\chi : \ell\text{-Frac } \mathcal{W}_{\leq w} \rightarrow F_{\mathcal{E}}(G)$ . The function  $\chi$  is identified with the block matrix  $X_{\chi}$  indexed by  $\mathcal{W}_{\leq w} \times \mathcal{W}_{\leq w}$ ,

$$X_{\chi} = (\Upsilon_{\chi(u^{-1}v)})_{u,v \in \mathcal{W}_{\leq w}} \sim (\chi(u^{-1}v))_{u,v \in \mathcal{W}_{\leq w}},$$

where  $\sim$  denotes the natural entrywise identification. For notational convenience, let

$$\chi(g^{-1}h; u^{-1}v) = \chi(u^{-1}v)(g^{-1}h),$$

for  $g, h \in G$  and  $u, v \in \mathcal{W}_{\leq w}$ . Let  $C_{00}(G, \mathcal{E})$  denote the space of functions  $f : G \rightarrow \mathcal{E}$  of finite support. With this notation,  $\chi$  is *positive semidefinite (psd)* if the matrix  $X_{\chi}$  is

psd; that is, for each collection  $\{f_v \in C_{00}(G, \mathcal{E}) \mid v \in \mathcal{W}_{\leq w}\}$ ,

$$\sum_{u, v \in \mathcal{W}_{\leq w}} \sum_{g, h \in G} \langle \chi(g^{-1}h; u^{-1}v) f_v(h), f_u(g) \rangle \geq 0.$$

Likewise, a function  $\tilde{\chi} : \ell\text{-Frac } \mathcal{W} \rightarrow F_{\mathcal{E}}(G)$  is psd if, for each  $z \in \mathcal{W}$  and each collection  $\{f_v \in C_{00}(G, \mathcal{E}) \mid v \in \mathcal{W}_{\leq z}\}$ ,

$$\sum_{u, v \in \mathcal{W}_{\leq z}} \sum_{g, h \in G} \langle \chi(g^{-1}h; u^{-1}v) f_v(h), f_u(g) \rangle \geq 0.$$

The following theorem solves a completion problem for the case of the free semigroup  $\langle x \rangle_{\mathbf{g}}$ .

**Theorem 4.1.** *In the case of the free semigroup  $\langle x \rangle_{\mathbf{g}}$ , with notations as above and letting  $\mathfrak{s}$  denote the immediate successor to  $w$ , if  $\chi$ , a  $w$ -partially defined form, is psd, then  $\chi$  extends to a psd function  $\tilde{\chi} : \ell\text{-Frac } \mathcal{W}_{\leq \mathfrak{s}} \rightarrow F_{\mathcal{E}}(G)$ .*

*Proof.* By definition and the assumption that  $\chi$  is psd,

$$0 \leq X_{\chi} = \left( \chi(u^{-1}v) \right)_{u, v \in \mathcal{W}_{\leq w}} = \left( \chi(u^{-1}v) \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}} \setminus \{\mathfrak{s}\}}.$$

Let  $F_0 = \{v \in \mathcal{W}_{\leq \mathfrak{s}} \mid s_1^{-1}v \notin \mathcal{W}_{\leq w}\}$ , where  $s_1$  is the first letter of  $\mathfrak{s}$ . Thus  $F_0$  consists only of the empty word and the elements of  $\mathcal{W}_{\leq w}$  whose first letter is not  $s_1$ . Equivalently,  $\mathcal{W}_{\leq w} \setminus F_0$  consists of those words in  $\mathcal{W}_{\leq w}$  whose first letter is  $s_1$ . Moreover, if  $t \in F_0$ , then  $\mathfrak{s}^{-1}t \neq t^{-1}\mathfrak{s}$ , because both  $\mathfrak{s}^{-1}t$  and  $t^{-1}\mathfrak{s}$  are in reduced form (no cancellation) and  $t \neq \mathfrak{s}$ .

Let  $s_1^{-1}(\mathcal{W}_{\leq \mathfrak{s}} \setminus F_0) = \{s_1^{-1}u \mid u \in (\mathcal{W}_{\leq \mathfrak{s}} \setminus F_0)\}$ . Because  $s_1^{-1}(\mathcal{W}_{\leq \mathfrak{s}} \setminus F_0) \subseteq \mathcal{W}_{\leq w}$ , by assumption,

$$\begin{aligned} 0 \leq \left( \chi(u^{-1}v) \right)_{u, v \in s_1^{-1}(\mathcal{W}_{\leq \mathfrak{s}} \setminus F_0)} &= \left( \chi((s_1^{-1}u)^{-1}s_1^{-1}v) \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}} \setminus F_0} \\ &= \left( \chi(u^{-1}v) \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}} \setminus F_0}. \end{aligned}$$

Given  $(u, v) \in \mathcal{W}_{\leq w} \times \mathcal{W}_{\leq w}$ , let  $p_{(u, v)} : G \rightarrow B(\mathcal{E})$  denote  $p_{(u, v)} = \chi(u^{-1}v)$ . Since  $\mathfrak{s} \notin F_0$ , Corollary 3.4 with  $\mathfrak{s}_0 = \mathfrak{s}$  implies there exists  $p_{\mathfrak{s}_0, t} : G \rightarrow B(\mathcal{E})$  for  $t \in F_0$  such that, with  $pt, \mathfrak{s} = p_{\mathfrak{s}, t}^*$ ,

$$\left( p_{u, v} \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}}}$$

is psd. Now define  $\tilde{\chi} : \ell\text{-Frac } \mathcal{W}_{\leq \mathfrak{s}} \rightarrow F_{\mathcal{E}}(G)$  by  $\tilde{\chi}|_{\mathcal{W}_{\leq w}} = \chi$  and if  $t \in F_0$ , then  $\tilde{\chi}(\mathfrak{s}^{-1}t) = p_{\mathfrak{s}, t}$  and  $\tilde{\chi}(t^{-1}\mathfrak{s}) = p_{\mathfrak{s}, t}^*$ . It follows that

$$X_{\tilde{\chi}} = \left( \chi(u^{-1}v) \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}}} = \left( p_{u, v} \right)_{u, v \in \mathcal{W}_{\leq \mathfrak{s}}} \geq 0. \quad \square$$

Theorem 4.2 below is a companion to Theorem 4.1 in that it solves a matrix completion problem for  $\mathbb{Z}_2^{*\mathbf{g}}$ . Since the case  $\mathbf{g} = 1$  is trivial, we assume  $\mathbf{g} \geq 2$ .

**Theorem 4.2.** Set  $\mathcal{W} = \mathbb{Z}_2^{*\mathfrak{g}}$ . With notations as above, if  $X_\chi$ , a  $w$ -partially defined form, is psd, then letting  $\mathfrak{s}$  denote the immediate successor of  $w$ , the function  $\chi$  extends to a function  $\bar{\chi} : \ell\text{-Frac } \mathcal{W}_{\leq \mathfrak{s}} \rightarrow F_{\mathcal{E}}(G)$  such that  $X_{\bar{\chi}}$  is also psd.

*Proof.* Since  $\mathbb{Z}_2^{*\mathfrak{g}}$  is well-ordered,  $w$  has an immediate successor  $\mathfrak{s}$ . Express  $\mathfrak{s}$  in reduced form as  $x_j \mathfrak{z}$  for a word  $\mathfrak{z}$ , possibly the empty word (the identity). In particular,  $\mathfrak{z} < x_j \mathfrak{z}$  and thus  $\mathfrak{z} \leq w$ .

Let  $L = \mathcal{W}_{\leq \mathfrak{z}} \cup x_j \mathcal{W}_{\leq \mathfrak{z}}$ . Let  $(\mathfrak{a}, \mathfrak{b}) \in L \times L$  be given. We now determine when  $\mathfrak{a}^{-1} \mathfrak{b} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . If  $\mathfrak{a}, \mathfrak{b} \in x_j \mathcal{W}_{\leq \mathfrak{z}}$ , then  $\mathfrak{a}^{-1} \mathfrak{b} \in \ell\text{-Frac } \mathcal{W}_{\leq \mathfrak{z}}$  and hence  $\mathfrak{a}^{-1} \mathfrak{b} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Now suppose  $\mathfrak{a} \in \mathcal{W}_{\leq \mathfrak{z}}$  and  $\mathfrak{b} \in x_j \mathcal{W}_{\leq \mathfrak{z}}$ . Hence  $\mathfrak{b} = x_j \mathfrak{u}$  for some  $\mathfrak{u} \leq \mathfrak{z}$ . If  $\mathfrak{u} < \mathfrak{z}$ , then  $x_j \mathfrak{u} < x_j \mathfrak{z}$  and hence  $x_j \mathfrak{u} \leq w$  and is thus in  $\mathcal{W}_{\leq w}$ . Once again  $\mathfrak{a}^{-1} \mathfrak{b} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Consequently, if  $\mathfrak{a} \in \mathcal{W}_{\leq \mathfrak{z}}$  and  $\mathfrak{b} \in x_j \mathcal{W}_{\leq \mathfrak{z}}$ , but  $\mathfrak{a}^{-1} \mathfrak{b} \notin \ell\text{-Frac } \mathcal{W}_{\leq w}$ , then  $\mathfrak{b} = x_j \mathfrak{z}$ . It now follows, from symmetry, if  $(\mathfrak{a}, \mathfrak{b}) \in L \times L$  and  $\mathfrak{a}^{-1} \mathfrak{b} \notin \ell\text{-Frac } \mathcal{W}_{\leq w}$ , then either  $\mathfrak{a} \in \mathcal{W}_{\leq \mathfrak{z}}$  and  $\mathfrak{b} = x_j \mathfrak{z}$ ; or  $\mathfrak{a} = x_j \mathfrak{z}$  and  $\mathfrak{b} \in \mathcal{W}_{\leq \mathfrak{z}}$ . Next observe, if  $\mathfrak{u} < \mathfrak{z}$ , then  $\mathfrak{u}^{-1} x_j \mathfrak{z} = (x_j \mathfrak{u})^{-1} \mathfrak{z} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ , since  $x_j \mathfrak{u} < x_j \mathfrak{z}$  (since  $\mathfrak{z}$  does not begin with  $x_j$  (on the left) the relation is immediate if  $\mathfrak{u}$  also does not begin with  $x_j$ , and if  $\mathfrak{u}$  begins with  $x_j$ , then  $|x_j \mathfrak{u}| < |\mathfrak{u}| \leq |\mathfrak{z}| < |x_j \mathfrak{z}|$ ) and hence both  $\mathfrak{z}, x_j \mathfrak{u}$  are in  $\mathcal{W}_{\leq w}$ . In a similar manner,  $(x_j \mathfrak{z})^{-1} \mathfrak{u} = \mathfrak{z}^{-1} (x_j \mathfrak{u}) \in \ell\text{-Frac } \mathcal{W}_{\leq w}$  when  $\mathfrak{u} < \mathfrak{z}$ . On the other hand,  $\mathfrak{z}^{-1} x_j \mathfrak{z} \notin \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Thus if  $(\mathfrak{a}, \mathfrak{b}) \in L \times L$ , then  $\mathfrak{a}^{-1} \mathfrak{b} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$  if and only if  $(\mathfrak{a}, \mathfrak{b}) \notin \{(\mathfrak{z}, x_j \mathfrak{z}), (x_j \mathfrak{z}, \mathfrak{z})\}$ .

Let  $L' = L \setminus \{\mathfrak{s}\}$ . For  $(\mathfrak{a}, \mathfrak{b}) \in L \times L \setminus \{(\mathfrak{z}, x_j \mathfrak{z}), (x_j \mathfrak{z}, \mathfrak{z})\}$ , let  $p_{\mathfrak{a}, \mathfrak{b}} = \chi(\mathfrak{a}^{-1} \mathfrak{b}) : G \rightarrow B(\mathcal{E})$ . Since  $L' \subseteq \mathcal{W}_{\leq w}$ , by assumption,

$$P = (p_{\mathfrak{a}, \mathfrak{b}})_{\mathfrak{a}, \mathfrak{b} \in L'}$$

is psd. Since the mapping  $\varpi : L \setminus \{\mathfrak{z}\} \rightarrow L'$  defined by  $\varpi(\mathfrak{u}) = x_j \mathfrak{u}$  is a bijection ( $L'$  and  $L \setminus \{\mathfrak{z}\}$  have the same cardinality, namely  $|L| - 1$ , and  $\varpi$  is easily seen to be onto),

$$\begin{aligned} Q &= (p_{\mathfrak{a}, \mathfrak{b}})_{\mathfrak{a}, \mathfrak{b} \in L \setminus \{\mathfrak{z}\}} = (\chi(\mathfrak{a}^{-1} \mathfrak{b}))_{\mathfrak{a}, \mathfrak{b} \in L \setminus \{\mathfrak{z}\}} \\ &= (\chi((x_j \mathfrak{a})^{-1} (x_j \mathfrak{b})))_{\mathfrak{a}, \mathfrak{b} \in L \setminus \{\mathfrak{z}\}} \cong (\chi(\mathfrak{u}^{-1} \mathfrak{v}))_{\mathfrak{u}, \mathfrak{v} \in L'} \\ &= P, \end{aligned}$$

where  $\cong$  means unitarily equivalent (via the spatial unitary conjugation implemented by  $\varpi$ ). Hence  $Q$  is also psd.

Corollary 3.4, applied with  $F = L$ ,  $F_0 = \{\mathfrak{z}\}$  and  $s = \mathfrak{s}$ , now produces a function  $p_{\mathfrak{z}, x_j \mathfrak{z}} : G \rightarrow B(\mathcal{E})$  such that, setting  $p_{x_j \mathfrak{z}, \mathfrak{z}} = p_{\mathfrak{z}, x_j \mathfrak{z}}^*$ ,

$$R = (p_{\mathfrak{a}, \mathfrak{b}})_{\mathfrak{a}, \mathfrak{b} \in L} \geq 0.$$

It need not be the case that  $p_{\varkappa, x_j \varkappa} = p_{x_j \varkappa, \varkappa}$  even though  $\varkappa^{-1}(x_j \varkappa) = (x_j \varkappa)^{-1} \varkappa$ . To remedy this deficiency we argue as follows. Observe that the mapping  $L \ni \mathbf{u} \mapsto x_j \mathbf{u} \in L$  is a bijection. Thus

$$R' = (p_{x_j \mathbf{a}, x_j \mathbf{b}})_{\mathbf{a}, \mathbf{b} \in L}$$

is also psd and thus so is

$$\tilde{R} = \frac{1}{2} (R + R') = \frac{1}{2} (p_{\mathbf{a}, \mathbf{b}} + p_{x_j \mathbf{a}, x_j \mathbf{b}})_{\mathbf{a}, \mathbf{b} \in L}.$$

As was established earlier, so long as  $(x_j \mathbf{a}, x_j \mathbf{b}) \notin \{(\varkappa, x_j \varkappa), (x_j \varkappa, \varkappa)\}$ , equivalently  $(\mathbf{a}, \mathbf{b}) \notin \{(x_j \varkappa, \varkappa), (\varkappa, x_j \varkappa)\}$ , we have  $(x_j \mathbf{a})^{-1}(x_j \mathbf{b}) = \mathbf{a}^{-1} \mathbf{b} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Thus  $p_{x_j \mathbf{a}, x_j \mathbf{b}} = p_{\mathbf{a}, \mathbf{b}} = \chi(\mathbf{a}^{-1} \mathbf{b})$  and therefore  $\tilde{p}_{\mathbf{a}, \mathbf{b}} = p_{\mathbf{a}, \mathbf{b}}$ . On the other hand,

$$2\tilde{p}_{x_j \varkappa, \varkappa} = p_{x_j \varkappa, \varkappa} + p_{\varkappa, x_j \varkappa} = p_{\varkappa, x_j \varkappa} + p_{x_j \varkappa, \varkappa} = 2\tilde{p}_{\varkappa, x_j \varkappa}.$$

Consequently, the function  $\tilde{\chi} : \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\varkappa^{-1} x_j \varkappa\} \rightarrow F_{\mathcal{E}}(G)$  given by  $\tilde{\chi}(\mathbf{a}^{-1} \mathbf{b}) = \tilde{p}_{\mathbf{a}, \mathbf{b}}$  is well defined, its restriction to  $\ell\text{-Frac } \mathcal{W}_{\leq w}$  is  $\chi$  and both

$$(4.1) \quad (\tilde{\chi}(\mathbf{a}^{-1} \mathbf{b}))_{\mathbf{a}, \mathbf{b} \in \mathcal{W}_{\leq w}}, \quad (\tilde{\chi}(\mathbf{a}^{-1} \mathbf{b}))_{\mathbf{a}, \mathbf{b} \in L}$$

are psd.

We next identify the set  $F_0 = \mathcal{W}_{\leq \mathfrak{s}} \setminus L \subseteq \mathcal{W}_{\leq w}$ . To this end, let  $n = |\varkappa|$  (the length of  $\varkappa$ ). Thus  $|\mathfrak{s}| = n + 1$  and therefore if  $|\mathbf{u}| \leq n$ , then  $\mathbf{u} \leq \mathfrak{s}$ ; that is,  $\mathcal{W}_{\leq \mathfrak{s}}$  contains all words of length at most  $n$ . Moreover, elements of  $\mathcal{W}_{\leq \mathfrak{s}}$  have length at most  $n + 1$ . Now suppose  $\mathbf{u} \in \mathcal{W}_{\leq \mathfrak{s}}$ , but  $\mathbf{u} \notin L$ . It is immediate that  $\varkappa < \mathbf{u} \leq w < \mathfrak{s}$ . Thus, if  $\mathbf{u} = x_j \mathbf{u}_0$  in reduced form, then  $\mathbf{u}_0 < \varkappa$  as  $\mathbf{u} = x_j \mathbf{u}_0 < \mathfrak{s} = x_j \varkappa$ . Hence  $\mathbf{u} \in x_j \mathcal{W}_{\leq \varkappa} \subseteq L$ . From this contradiction, it follows that there is a  $k \neq j$  and a word  $\mathbf{u}_0$  of length at most  $n$  such that  $\mathbf{u} = x_k \mathbf{u}_0$  (in reduced form) and  $\varkappa < \mathbf{u} = x_k \mathbf{u}_0 < \mathfrak{s}$ .

Now suppose  $\varkappa < \mathbf{u} \leq w \leq \mathfrak{s}$  and there is a  $k \neq j$  and a word  $\mathbf{u}_0$  of length at most  $n$  such that  $\mathbf{u} = x_k \mathbf{u}_0$ . In particular,  $\mathbf{u} \notin \mathcal{W}_{\leq \varkappa}$ . On the other hand, if  $\mathbf{u} \in x_j \mathcal{W}_{\leq \varkappa}$ , then there is a  $\mathbf{v} \leq \varkappa$  such that  $x_k \mathbf{u}_0 = x_j \mathbf{v}$ . Hence  $\mathbf{v} = x_j x_k \mathbf{v}_1$  in reduced form for some  $\mathbf{v}_1$  of length at most  $n - 2$ . We conclude that  $x_k \mathbf{u}_0 = x_k \mathbf{v}_1$ , which leads to the contradiction that  $|\mathbf{u}| \leq n - 1 < |\varkappa|$ . Summarizing,

$$(4.2) \quad F_0 = \mathcal{W}_{\leq \mathfrak{s}} \setminus L = \{x_k \mathbf{u}_0 : |\mathbf{u}_0| \leq n, k \neq j, \varkappa < x_k \mathbf{u}_0 < \mathfrak{s}\}.$$
<sup>1</sup>

Note that  $\mathbf{u} = x_k \mathbf{u}_0$  can be assumed in reduced form, as otherwise,  $|\mathbf{u}| \leq n - 1$ , in which case  $\mathbf{u} < \varkappa$ .

<sup>1</sup>The set  $F_0$  is empty if and only if  $g = 2$  and  $w$  is the largest word of its length.

Next suppose

$$(\mathbf{u}, \mathbf{v}) \in \Phi = \mathcal{W}_{\leq \mathfrak{s}} \times \mathcal{W}_{\leq \mathfrak{s}} \setminus [(F_0 \times \{\mathfrak{s}\}) \cup (\{\mathfrak{s}\} \times F_0)].$$

If  $\mathbf{u} \neq \mathfrak{s} \neq \mathbf{v}$ , then  $\mathbf{u}^{-1}\mathbf{v} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Next consider the case that  $\mathbf{v} = \mathfrak{s}$  and  $\mathbf{u} \notin F_0$ . Thus  $\mathbf{u} \in L$ . If  $\mathbf{u} < \mathfrak{z}$ , then  $x_j\mathbf{u} < x_j\mathfrak{z} = s$ . Thus  $x_j\mathbf{u} \leq w$  and hence

$$\mathbf{u}^{-1}\mathbf{v} = \mathbf{u}^{-1}\mathfrak{s} = \mathbf{u}^{-1}x_j\mathfrak{z} = (x_j\mathbf{u})^{-1}\mathfrak{z} \in \ell\text{-Frac } \mathcal{W}_{\leq w}.$$

On the other hand, if  $\mathbf{u} \in x_j\mathcal{W}_{\leq z}$ , then it is evident that  $\mathbf{u}^{-1}\mathfrak{s} \in \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Finally, if  $\mathbf{u} = z$ , then  $(\mathbf{u}, \mathbf{v}) = (\mathfrak{z}, \mathfrak{s})$  and  $\mathbf{u}^{-1}\mathfrak{s} = \mathfrak{z}^{-1}x_j\mathfrak{z} \in \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$ . Hence, in any case, if  $\mathbf{v} = \mathfrak{s}$  and  $\mathbf{u} \notin F_0$ , then  $\mathbf{u}^{-1}\mathbf{v} \in \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$ . By symmetry, if  $\mathbf{u} = \mathfrak{s}$  and  $\mathbf{v} \notin F_0$ , then  $\mathfrak{s}^{-1}\mathbf{v} \in \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$ . Consequently,  $\Phi \subseteq \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$  and  $\tilde{\chi}(\mathbf{u}^{-1}\mathbf{v})$  is defined for  $(\mathbf{u}, \mathbf{v}) \in \Phi$ .

Let  $\mathbf{u} \in F_0 = \mathcal{W}_{\leq \mathfrak{s}} \setminus L$  be given. By equation (4.2), there is a  $k \neq j$  and a  $\mathbf{u}_0$  of length at most  $n$  and at least  $n-1$  such that  $\mathbf{u} = x_k\mathbf{u}_0$  and  $\mathfrak{z} < \mathbf{u} = x_k\mathbf{u}_0 < \mathfrak{s}$ . Suppose  $\mathfrak{a}, \mathfrak{b} \leq w$  and  $\mathfrak{a}^{-1}\mathfrak{b} = \mathfrak{s}^{-1}\mathbf{u} = \mathfrak{z}^{-1}x_jx_k\mathbf{u}_0$ . Since  $2n+2 \geq |\mathfrak{s}^{-1}\mathbf{u}| \geq 2n+1$  and  $|\mathfrak{a}|, |\mathfrak{b}| \leq n+1$ , either  $|\mathfrak{a}| \geq n$  and  $|\mathfrak{b}| = n+1$  or  $|\mathfrak{a}| = n+1$  and  $|\mathfrak{b}| \geq n$ . In either case  $\mathfrak{a}^{-1}\mathfrak{b} = \mathfrak{s}^{-1}\mathbf{u} = \mathfrak{z}^{-1}x_jx_k\mathbf{u}_0$  is in reduced form. In the first case either  $\mathfrak{b} = x_k\mathbf{u}_0$  and  $\mathfrak{a} = x_j\mathfrak{z} = \mathfrak{s}$ , contradicting  $\mathfrak{a} < \mathfrak{s}$ ; or  $\mathfrak{b} = x_jx_k\mathbf{u}_0$ , which implies  $\mathfrak{s} = x_j\mathfrak{z} < x_jx_k\mathbf{u}_0 = x_j\mathbf{u} = \mathfrak{b}$ , contradicting  $\mathfrak{b} < \mathfrak{s}$ . In the other case,  $\mathfrak{a} = \mathfrak{s} > w$ , contradicting  $\mathfrak{a} \leq w$ . At this point we have shown, if  $\mathbf{u} \in F_0$ , then  $\mathbf{u}^{-1}\mathfrak{s} \notin \ell\text{-Frac } \mathcal{W}_{\leq w}$  and by symmetry  $\mathfrak{s}^{-1}\mathbf{u} \notin \ell\text{-Frac } \mathcal{W}_{\leq w}$ . Finally,  $\mathfrak{s}^{-1}\mathbf{u} \neq \mathfrak{z}^{-1}x_j\mathfrak{z} = \mathfrak{s}^{-1}\mathfrak{z}$  since  $\mathfrak{z} < \mathbf{u}$ . Similarly  $\mathbf{u}^{-1}\mathfrak{s} \neq \mathfrak{z}^{-1}x_j\mathfrak{z}$ . We conclude if  $\mathbf{u} \in F_0$ , then  $\mathbf{u}^{-1}\mathfrak{s}$  and  $\mathfrak{s}^{-1}\mathbf{u}$  are not in  $\ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$ . Summarizing,  $\Phi \supseteq \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}$  and therefore,

$$\Phi = \mathcal{W}_{\leq \mathfrak{s}} \times \mathcal{W}_{\leq \mathfrak{s}} \setminus [(F_0 \times \{\mathfrak{s}\}) \cup (\{\mathfrak{s}\} \times F_0)] = \ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\mathfrak{z}^{-1}x_j\mathfrak{z}\}.$$

At this point the hypotheses of Corollary 3.4 for  $\mathcal{W}_{\leq \mathfrak{s}}$ ,  $F_0$  and  $s_0 = \mathfrak{s}$  have been validated for  $\tilde{p}_{\mathfrak{a}, \mathfrak{b}} = \tilde{\chi}(\mathfrak{a}^{-1}\mathfrak{b})$  for  $(\mathfrak{a}, \mathfrak{b}) \in \Phi$ . Moreover, if  $(\mathfrak{a}, \mathfrak{b}) \notin \Phi$ , then  $\mathfrak{a}^{-1}\mathfrak{b}$  is not in the domain of  $\tilde{\chi}$ . The psd completion promised by the corollary produces entries  $p_{\mathfrak{u}, \mathfrak{s}}$  and  $p_{\mathfrak{s}, \mathfrak{u}}$  in the  $(\mathfrak{u}, \mathfrak{s})$  and  $(\mathfrak{s}, \mathfrak{u})$  locations for  $\mathfrak{u}, \mathfrak{v} \in F_0$ . Thus, so long as no two of these entries are required to be the same, we obtain a psd completion of the partially defined psd function  $\tilde{\chi}$ . From the definition of  $L$  it is immediate that if  $\mathfrak{u}, \mathfrak{v} \in F_0$  and  $\mathfrak{u}^{-1}\mathfrak{s} = \mathfrak{v}^{-1}\mathfrak{s}$ , equivalently,  $\mathfrak{s}^{-1}\mathfrak{u} = \mathfrak{s}^{-1}\mathfrak{v}$ , then  $\mathfrak{u} = \mathfrak{v}$ . Now suppose  $\mathfrak{u}^{-1}\mathfrak{s} = \mathfrak{s}^{-1}\mathfrak{v}$ . From the description of  $F_0$  in equation (4.2), there exists  $\mathbf{u}_0, \mathbf{v}_0$  of length at most  $n$  and  $k, \ell \neq j$  such that  $\mathfrak{u} = x_k\mathbf{u}_0$  and  $\mathfrak{v} = x_\ell\mathbf{v}_0$  (in reduced form) and  $\mathfrak{z} < x_k\mathbf{u}_0, x_\ell\mathbf{v}_0 < \mathfrak{s}$ . Hence

$$(4.3) \quad \mathbf{u}_0^{-1}x_kx_j\mathfrak{z} = \mathfrak{z}^{-1}x_jx_\ell\mathbf{v}_0.$$

Both words in equation (4.3) are in reduced form, which, since  $\varkappa < x_\ell \nu_0 < \delta = x_j \varkappa$  implies  $\nu_0 = \varkappa$ . Likewise  $u_0 = \varkappa$  too and we reach the contradiction  $x_k x_j = x_j x_\ell$ . Hence  $\tilde{\chi}$  extends from  $\ell\text{-Frac } \mathcal{W}_{\leq w} \cup \{\varkappa^{-1} x_j \varkappa\}$  to a psd function  $\bar{\chi} : \ell\text{-Frac } \mathcal{W}_{\leq \delta} \rightarrow F_{\mathcal{E}}(G)$  as desired.  $\square$

We point out that Theorem 4.2 fails for groups such as  $\mathbb{Z}_3 * \mathbb{Z}_2$  or  $\mathbb{Z}_3^{*2}$ , see Section 8.

## 5. PSD FUNCTIONS ON GROUPS ARISE FROM UNITARY REPRESENTATIONS

In this section we produce honest unitary representations from psd kernels: we show that every psd function  $p : \ell\text{-Frac } \mathcal{W} \times G \rightarrow B(\mathcal{E})$  is realized as a compression of a unitary representation of  $\ell\text{-Frac } \mathcal{W} \times G$  in Proposition 5.1, a fact that is well known in the case of a group. This representation-theoretic model provides a bridge from the completion results of Section 4 to the factorization theorems proved in Section 7.

Let  $\mathcal{W}$  be as in the introduction. Thus  $\mathcal{W}$  is either the free semigroup  $\langle x \rangle_{\mathbf{g}}$  on  $\mathbf{g}$  letters or the group  $\mathbb{Z}_2^{*\mathbf{g}}$ . Let  $G$  denote a group,  $\mathcal{E}$  a Hilbert space and recall a function  $p : \ell\text{-Frac } \mathcal{W} \times G \rightarrow B(\mathcal{E})$  is *positive semidefinite (psd)* if for each finite subset  $\mathcal{F}$  of  $\mathcal{W} \times G$  the block operator matrix

$$\left( p(u^{-1}v) \right)_{u,v \in \mathcal{F}}$$

is psd. In Proposition 5.1 below  $e$  denotes the identity in the semigroup  $\mathcal{W} \times G$ .

**Proposition 5.1.** *If  $p : \ell\text{-Frac } \mathcal{W} \times G \rightarrow B(\mathcal{E})$  is psd, then there is a unitary representation  $\pi$  of  $\ell\text{-Frac } \mathcal{W} \times G$  on a Hilbert space  $\mathcal{F}$  and a bounded operator  $W : \mathcal{E} \rightarrow \mathcal{F}$  such that*

$$p(u^{-1}v) = W^* \pi(u^{-1}v) W$$

for  $u, v \in \mathcal{W} \times G$ . Moreover, if  $p(e) = I_{\mathcal{E}}$ , then  $W$  can be chosen isometric,  $W^*W = I_{\mathcal{E}}$ .

**Remark 5.2.** Recall that unitary representations  $\pi$  of  $\mathbb{Z}_2^{*\mathbf{g}} \times G$  on a Hilbert space  $\mathcal{F}$  correspond to commuting unitary representations  $\tau$  of  $\mathbb{Z}_2^{*\mathbf{g}}$  and  $\rho$  of  $G$  on  $\mathcal{F}$ . In particular,  $\tau$  is determined by unitaries  $U_j = \tau(x_j)$  satisfying  $U_j^2 = I$  and commuting with  $\rho$  in the sense that  $U_j \rho(g) = \rho(g) U_j$  for all  $j$  and  $g \in G$ . In this case  $\pi(ug) = \tau(u) \rho(g)$  for  $u \in \mathbb{Z}_2^{*\mathbf{g}}$  and  $g \in G$ .

In the case of the free semigroup  $\langle x \rangle_{\mathbf{g}}$ , there are no constraints on the unitary operators  $U_j$ .  $\square$

*Proof.* In the case  $\mathcal{W} = \mathbb{Z}_2^{*\mathbf{g}}$ , so that  $p$  is a psd function on the group  $\mathbb{Z}_2^{*\mathbf{g}} \times G$ , this proposition is a special case of a standard fact. See for instance [Pau02, Theorem 4.8].

Suppose now that  $\mathcal{W} = \langle x \rangle_{\mathfrak{g}}$  is the free semigroup (on  $\mathfrak{g}$  letters). Using the techniques in [Pau02, Theorem 4.8] we first show that there exists a Hilbert space  $\mathcal{H}$ , an isometry  $\iota : \mathcal{E} \rightarrow \mathcal{H}$ , a unitary representation  $\rho$  of  $G$  on  $\mathcal{H}$  and a tuple  $(V_1, \dots, V_{\mathfrak{g}})$  of isometries on  $\mathcal{H}$  that commute with  $\rho$  such that

$$p(u^{-1}v g^{-1}h) = \iota^*(V^u)^* V^v \rho(g^{-1}h) \iota,$$

for  $u, v \in \mathcal{W}$  and  $g, h \in G$ .

Let  $\mathcal{K} = C_{00}(\mathcal{W} \times G, \mathcal{E})$  denote the semi-inner product space consisting of functions on  $\mathcal{W} \times G$  with values in  $\mathcal{E}$  whose support is finite endowed with the positive semidefinite form,

$$[f_1, f_2]_{\mathcal{K}} = \sum_{u_1, u_2 \in \mathcal{W} \times G} \langle p(u_2^{-1}u_1) f_1(u_1), f_2(u_2) \rangle,$$

for  $f_1, f_2 \in C_{00}(\mathcal{W} \times G, \mathcal{E})$ . Define  $\gamma : \mathcal{W} \times G \rightarrow \mathcal{L}(\mathcal{K})$ , where  $\mathcal{L}(\mathcal{K})$  is the space of linear maps from  $\mathcal{K}$  to itself, as follows. Given  $\alpha \in \mathcal{W} \times G$ , let  $\gamma(\alpha) = L_{\alpha^{-1}}$ , where  $L_{\alpha^{-1}} : \mathcal{K} \rightarrow \mathcal{K}$  is the linear map defined, for  $f \in \mathcal{K}$ , by

$$(L_{\alpha^{-1}} f)(u) = \begin{cases} f(\alpha^{-1}u) & \text{if } \alpha^{-1}u \in \mathcal{W} \times G \\ 0 & \text{otherwise.} \end{cases}$$

Given  $\beta \in \mathcal{W}$  observe, because  $\mathcal{W} = \langle x \rangle_{\mathfrak{g}}$  is free, that  $\beta^{-1}\alpha^{-1}u \in \mathcal{W} \times G$  requires  $\alpha^{-1}u \in \mathcal{W} \times G$  for  $u \in \mathcal{W} \times G$ , from which it follows that  $\gamma(\alpha\beta) = \gamma(\alpha)\gamma(\beta)$ .

By construction,  $\gamma(e)$  is the identity (where  $e$  is the identity in  $\mathcal{W} \times G$ ) and  $\gamma$  is multiplicative. Moreover, a quick calculation shows, for  $\alpha \in \mathcal{W} \times G$ ,

$$(5.1) \quad [f, f]_{\mathcal{K}} = [L_{\alpha} f, L_{\alpha} f]_{\mathcal{K}}.$$

In particular,  $\gamma(\alpha)$  is an isometry<sup>2</sup> with respect to the psd form  $[\cdot, \cdot]_{\mathcal{K}}$  for all  $\alpha \in \mathcal{W} \times G$ ; and  $\gamma(g)$  is unitary with respect to  $[\cdot, \cdot]_{\mathcal{K}}$  for each  $g \in G$ . Moreover, the map  $\iota_0 : \mathcal{E} \rightarrow \mathcal{K}$  defined, for  $u \in \mathcal{W} \times G$  and  $e \in \mathcal{E}$ , by

$$\iota_0(e)[u] = \begin{cases} e & \text{if } u = e \\ 0 & \text{otherwise,} \end{cases}$$

where  $e \in \mathcal{E}$  is bounded since

$$[\iota_0(e), \iota_0(e)]_{\mathcal{K}} = \sum_{u, v \in \mathcal{W} \times G} \langle p(u^{-1}v) \iota_0(e)[v], \iota_0(e)[u] \rangle_{\mathcal{E}} = \langle p(e)e, e \rangle \leq \|p(e)\| \|e\|^2.$$

Moreover, for  $e_1, e_2 \in \mathcal{E}$  and  $\beta_1, \beta_2 \in \mathcal{W} \times G$ ,

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<sup>2</sup>At this point the proof diverges slightly from that in Paulsen where  $\gamma(\alpha)$  is unitary since the domain of  $\gamma$  is a group.

$$\begin{aligned}
(5.2) \quad [\gamma(\beta_1)\iota_0(e_1), \gamma(\beta_2)\iota_0(e_2)]_{\mathcal{K}} &= \sum_{u_1, u_2} \langle p(u_2^{-1}u_1)\iota_0(e_1)[\beta_1^{-1}u_1], \iota_0(e_2)[\beta_2^{-1}u_2] \rangle \\
&= \langle p(\beta_2^{-1}\beta_1)e_1, e_2 \rangle_{\mathcal{E}}.
\end{aligned}$$

Let  $\mathcal{N} = \{f \in C_{00}(\mathcal{W} \times G, \mathcal{E}) : [f, f]_{\mathcal{K}} = 0\}$ . The semi-inner product on  $\mathcal{K}$  passes to an inner product  $\langle \cdot, \cdot \rangle_{\mathcal{K}/\mathcal{N}}$  on the quotient  $\mathcal{K}/\mathcal{N}$  as

$$\langle [f_1], [f_2] \rangle_{\mathcal{K}/\mathcal{N}} = [f_1, f_2]_{\mathcal{K}},$$

where  $f_1, f_2 \in \mathcal{K}$  and  $[f]$  denotes the class of  $f$  in  $\mathcal{K}/\mathcal{N}$ . Moreover, equation (5.1) implies  $\gamma(\alpha)$  descends to an isometry on  $\mathcal{K}/\mathcal{N}$ . Let  $\mathcal{H}$  be the Hilbert space obtained as the completion of  $\mathcal{K}/\mathcal{N}$  under the inner product  $\langle \cdot, \cdot \rangle_{\mathcal{K}/\mathcal{N}}$ . It is immediate that  $\gamma(\alpha)$  extends to an isometry on  $\mathcal{H}$ , which we continue to denote  $\gamma(\alpha)$ . Thus we obtain a multiplicative map, still denoted  $\gamma$ , from  $\mathcal{W} \times G$  to  $B(\mathcal{H})$  such that each  $V_j = \gamma(x_j)$  is isometric and  $\gamma|_G : G \rightarrow B(\mathcal{H})$  is a unitary representation.

Set  $\mathcal{F} = \mathcal{H} \oplus \mathcal{H}$  and define

$$U_j = \begin{bmatrix} V_j & I - V_j V_j^* \\ 0 & V_j^* \end{bmatrix}.$$

By construction, each  $U_j$  is unitary. The mapping  $\tilde{\rho} : G \rightarrow B(\mathcal{F})$  defined by

$$\tilde{\rho}(g) = \begin{bmatrix} \rho(g) & 0 \\ 0 & \rho(g) \end{bmatrix}$$

for  $g \in G$  is a unitary representation of  $G$ . Moreover, since

$$V_j \rho(g)^* = V_j \rho(g^{-1}) = \rho(g^{-1}) V_j = \rho(g)^* V_j,$$

$\rho$  commutes with each  $V_j^*$  and hence  $(I - V_j V_j^*) \rho(g) = \rho(g) (I - V_j V_j^*)$ . Thus  $\tilde{\rho}$  commutes with each  $U_j$ . Define  $\pi : \ell\text{-Frac } \mathcal{W} \times G \rightarrow \mathcal{F}$  by

$$\pi(\mathbf{u}^{-1}\mathbf{v}, \mu^{-1}\nu) = U^{\mathbf{u}^{-1}\mathbf{v}} \tilde{\rho}(\mu^{-1}\nu)$$

for  $\mathbf{u}, \mathbf{v} \in \mathcal{W}$  and  $\mu, \nu \in G$ . Thus  $\pi$  is a unitary representation of  $\mathcal{W} \times G$ .

To complete the proof, let  $\iota : \mathcal{E} \rightarrow \mathcal{H}$  denote the map  $\iota(e) = [\iota_0(e)]$ , the class of  $\iota(e)$  in  $\mathcal{K}/\mathcal{N} \subseteq \mathcal{H}$ ; define  $W : \mathcal{E} \rightarrow \mathcal{F}$  by  $W e = \iota e \oplus 0$ ; and compute, for  $e, f \in \mathcal{E}$ , using equation (5.2),

$$\begin{aligned}
\langle W^* \pi(\mathbf{u}^{-1}\mathbf{v}, \mu^{-1}\nu) W e, f \rangle_{\mathcal{F}} &= \langle U^{\mathbf{v}} \tilde{\rho}(\nu) (\iota e \oplus 0), U^{\mathbf{u}} \tilde{\rho}(\mu) (\iota f \oplus 0) \rangle_{\mathcal{F}} \\
&= \langle V^{\mathbf{v}} \rho(\nu) \iota e, V^{\mathbf{u}} \rho(\mu) \iota f \rangle_{\mathcal{H}} \\
&= [\gamma(\mathbf{v}\nu)e, \gamma(\mathbf{u}\mu)f]_{\mathcal{K}} \\
&= \langle p(\mathbf{u}^{-1}\mathbf{v}, \mu^{-1}\nu)e, f \rangle_{\mathcal{E}},
\end{aligned}$$

and the proof is complete.  $\square$

## 6. A NESTED SEQUENCE AND UNIFORM TRUNCATION

The proof of Theorem 1.1 is accomplished by assigning to a given trigonometric polynomial  $A$  an operator system and completely positive map induced by  $A$  on that operator system. In this section, we identify such an operator system via a compactness argument.

Recall that  $\mathcal{W}$  is  $\langle x \rangle_{\mathfrak{g}}$  or  $\mathbb{Z}_2^{*\mathfrak{g}}$ . Fixing a  $w \in \mathcal{W}$  and a positive integer  $M$  for now, let  $\mathcal{S}_w$  denote the set of functions  $\varphi : \ell\text{-Frac } \mathcal{W}_{\leq w} \rightarrow \mathbb{C}$  identified with the set of matrices indexed by  $\mathcal{W}_{\leq w}$ ,

$$X_\varphi = \left( \varphi(v^{-1}u) \right)_{u,v \in \mathcal{W}_{\leq w}}.$$

In a similar manner, for positive integers  $K$ , let  $\mathcal{T}_{M,K}$  denote the set of functions  $\psi : \ell\text{-Frac } \mathcal{Y}_{\leq M} \rightarrow M_K(\mathbb{C})$  identified with the set of matrices

$$\Upsilon_\psi = \left( \psi(b^{-1}a) \right)_{a,b \in \mathcal{Y}_{\leq M}}.$$

Set  $\mathcal{T}_M = \mathcal{T}_{M,K}$  when  $K = 1$  and note  $\mathcal{T}_{M,K}$  and  $\mathcal{T}_M \otimes M_K(\mathbb{C})$  are unitarily equivalent.

For positive integers  $W \geq M$ , let  $\mathcal{L}_{w,W,K} = \mathcal{S}_w \otimes \mathcal{T}_{W,K}$ . Note that  $\mathcal{L}_{w,W,K}$  is an operator system that is naturally identified (up to unitary equivalence) with functions  $p : \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W} \rightarrow M_K(\mathbb{C})$ . To such a  $p$  we associate a function  $\chi_p : \ell\text{-Frac } \mathcal{W}_{\leq w} \rightarrow F_K(\ell\text{-Frac } \mathcal{Y}_{\leq W})$ , where  $F_K(\ell\text{-Frac } \mathcal{Y}_{\leq W})$  is the set of functions from  $\ell\text{-Frac } \mathcal{Y}_{\leq W}$  to  $M_K(\mathbb{C})$ , defined by

$$\chi_p(u)[a] = \chi_p(u, a) = p(ua),$$

for  $u \in \ell\text{-Frac } \mathcal{W}_{\leq w}$  and  $a \in \ell\text{-Frac } \mathcal{Y}_{\leq W}$ . As usual, to  $p$  we associate the matrix

$$(6.1) \quad Z = Z_p = \left( p(v^{-1}u) \right)_{u,v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}} = \left( \chi_p(v^{-1}u) \right)_{u,v \in \mathcal{W}_{\leq w}}.$$

Given  $Z \in \mathcal{L}_{w,W,K}$ , let  $Z|_M$  denote the restriction of  $Z$  to  $\mathcal{L}_{w,M,K}$ . That is, viewing  $Z$  as the matrix in equation (6.1),

$$Z|_M = \left( p(u^{-1}v) \right)_{u,v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M}}$$

Let  $\mathcal{L}_{w,W,K}^+$  denote the psd elements of  $\mathcal{L}_{w,W,K}$  viewed equivalently either as psd functions on  $\ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W}$  or psd matrices  $Z$  indexed by  $\mathcal{W}_{\leq w} \times \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W} \times \mathcal{Y}_{\leq W}$  with the property that  $Z_{u,v}$ , the  $(u, v)$  entry of  $Z$ , depends only upon  $u^{-1}v$ . Let

$$(6.2) \quad \mathfrak{C}_W = \{ \mathcal{Z} = Z|_M : Z \in \mathcal{L}_{w,W,K}^+, Z_{e,e} = I_K \} \subseteq \mathcal{L}_{w,M,K}^+.$$

**Lemma 6.1.** *With the notations above,*

- (i)  $\mathfrak{C}_W \supseteq \mathfrak{C}_{W+1}$ ;
- (ii) if  $Z \in \mathfrak{C}_W$ , then  $Z_{g,h}Z_{g,h}^* \leq I$  for all  $g, h \in \mathfrak{W}_{\leq w} \times \mathfrak{Y}_{\leq W}$ ;
- (iii) each  $\mathfrak{C}_W$  is compact;
- (iv) If  $\mathcal{Z} \in \bigcap_W \mathfrak{C}_W$ , then there is a psd function  $p: \ell\text{-Frac } \mathfrak{W} \times \mathfrak{Y} \rightarrow M_K(\mathbb{C})$  such that

$$\mathcal{Z}_{u,v} = p(u^{-1}v)$$

for all  $u, v \in \mathfrak{W} \times \mathfrak{Y}_{\leq M}$ .

*Proof of item (i).* Immediate. □

*Proof of item (ii).* Let  $\mathfrak{D}_W = \{Z \in \mathfrak{L}_{w,W,K}^+ : Z_{e,e} = I_K\}$ . If  $Z \in \mathfrak{D}_W$  and  $u, v \in \mathfrak{W}_{\leq w} \times \mathfrak{Y}_{\leq W}$  then,

$$\begin{bmatrix} I_K & Z_{g,h}^* \\ Z_{g,h} & I_K \end{bmatrix}$$

is a principal submatrix of  $Z$  and hence is psd. In particular,  $Z_{g,h}Z_{g,h}^* \leq I_K$ . □

*Proof of item (iii).* Continuing with the notations as in the proof of item (ii), viewing  $Z$  as an element of (complex) Euclidean space of dimension  $|\mathfrak{W}_{\leq w}|WK$ , it follows from item (ii) that its Frobenius norm satisfies  $\|Z\|_2 \leq \sqrt{|\mathfrak{W}_{\leq w}|WK}$ . Thus  $\mathfrak{D}_W$  is bounded.

Since  $\mathfrak{D}_W$  is the intersection of two closed sets –  $\mathfrak{L}_{w,W,K}^+$  and  $\{Z \in \mathfrak{L}_{w,W,K} : Z_{e,e} = I_K\}$  – it follows that  $\mathfrak{D}_W$  is itself closed and hence compact. Now suppose  $(\mathcal{Z}_n)$  is a sequence from  $\mathfrak{C}_W$ . For each  $n$  there exists  $Z_n \in \mathfrak{D}_W$  such that  $\mathcal{Z}_n = Z_n|_M$ . Since  $\mathfrak{D}_W$  is compact, the sequence  $(Z_n)$  has a subsequential limit, say  $Z$ . It follows that  $\mathcal{Z} = Z|_M \in \mathfrak{C}_W$  is a subsequential limit of  $(\mathcal{Z}_n)$ . Thus  $\mathfrak{C}_W$  is sequentially compact and hence compact. □

*Proof of item (iv).* If  $\mathcal{Z} \in \bigcap_W \mathfrak{C}_W$ , then for each  $W \in \mathbb{N}$ , there exists  $Z^W \in \mathfrak{L}_{w,W,K}^+$  such that  $Z_{e,e}^W = I_K$  and  $Z^W|_M = \mathcal{Z}$ . Making use of this collection  $\{Z^W\}$ , there exists a sequence  $(U^W)$  described as follows: for each  $W$ , let  $U^W$  denote the matrix indexed by  $\mathfrak{W}_{\leq w} \times \mathfrak{Y}$  with  $U_{u,v}^W = Z_{u,v}$  if  $u^{-1}v \in \ell\text{-Frac } \mathfrak{W} \times \ell\text{-Frac } \mathfrak{Y}_{\leq W}$  and 0 otherwise. Thus, while  $U^W$  need not be psd, it is the case that  $Z^W = U^W|_W$  is. In particular, the norm of each entry  $U_{u,v}^W$  of  $U^W$  has norm at most one by item (ii).

Since  $\ell\text{-Frac } \mathfrak{W}_{\leq w} \times \mathfrak{Y} \times K^2$  is at most countable, by identifying  $U^W$  with a function whose domain is  $\ell\text{-Frac } \mathfrak{W}_{\leq w} \times \mathfrak{Y} \times K^2$  and whose codomain is  $\mathbb{C}$ , (and using the fact that the entries of  $U^W$  are uniformly bounded independent of  $W$  and  $u^{-1}v$ ) there is a

subsequence of  $(U^W)$  that converges entrywise. Let  $U$  denote such a pointwise subsequential limit. A routine argument shows  $U_{e,e} = I_K$  and  $U$  arises from a psd form on  $\ell\text{-Frac } \mathcal{W}_{\leq w} \times \mathfrak{Y}$ ; that is, there exists a psd function  $q : \ell\text{-Frac } \mathcal{W}_{\leq w} \times \mathfrak{Y} \rightarrow M_K(\mathbb{C})$  such that  $U_{u,v} = q(u^{-1}v)$ .

By construction,  $U|_M = \mathcal{Z}$ ; that is,

$$q(u^{-1}v) = Z_{u,v}$$

for all  $u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M}$ . An induction argument based on Theorem 4.1 for  $\mathcal{W} = \mathbb{Z}_2^{*\mathfrak{g}}$  (resp. Theorem 4.2 in the case of  $\mathcal{W} = \langle x \rangle_{\mathfrak{g}}$ ) now shows that  $q$  extends to a psd form  $p : \ell\text{-Frac } \langle x \rangle_{\mathfrak{g}} \times \mathfrak{Y} \rightarrow M_k(\mathbb{C})$  (resp.  $p : \mathbb{Z}_2^{*\mathfrak{g}} \times \mathfrak{Y} \rightarrow M_K(\mathbb{C})$ ). By construction,  $p(u^{-1}v) = q(u^{-1}v) = Z_{u,v}$  for all  $u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M}$  and the proof is complete.  $\square$

Recall the definition of a  $M_K(\mathbb{C})$ -valued trigonometric polynomial of bidegree at most  $(w, M)$  and its evaluation at a representation from equations (1.4) and (1.6).

Fix a  $W \geq M$ . Given  $\alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W}$ , let  $1_\alpha : \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W} \rightarrow \mathbb{C}$  denote the indicator function of  $\alpha$ . Define

$$(6.3) \quad \mathcal{B}_\alpha = \left( 1_\alpha(u^{-1}v) \right)_{u,v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}}.$$

The collection  $\mathcal{B}$ ,

$$(6.4) \quad \mathcal{B} = \{ \mathcal{B}_\alpha : \alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W} \}$$

is a basis for  $\mathcal{L}_{w,W,1} = \mathcal{S}_w \otimes \mathcal{T}_W$ .

Let  $\mathcal{A}_{w,M}$  denote the set of trigonometric polynomials of bidegree at most  $(w, M)$  as in equation (1.4) that satisfy the normalization  $A_e = I_K$ , where  $e$  is the unit in  $\ell\text{-Frac } \mathcal{W} \times \mathfrak{Y}$ . Given  $A \in \mathcal{A}_{w,M}$ , and  $W \geq M$ , set  $A_\alpha = 0$  for  $\alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W}$ , but  $\alpha \notin \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}$ . Define  $\Phi_A = \Phi_A^W : \mathcal{L}_{w,W,1} \rightarrow M_K(\mathbb{C})$  by

$$(6.5) \quad \Phi_A^W(\mathcal{B}_\alpha) = A_\alpha.$$

Finally, define  $\Phi_A = \Phi_A^\infty : \mathcal{L}_{w,\infty,1} \rightarrow M_K(\mathbb{C})$  analogously.

**Lemma 6.2.** *Suppose  $A \in \mathcal{A}_{w,M}$  and there is an  $\epsilon > 0$  such that for each Hilbert space  $\mathcal{E}$  and unitary representation  $\pi : \ell\text{-Frac } \mathcal{W} \times \mathfrak{Y} \rightarrow B(\mathcal{F})$ ,*

$$A(\pi) \geq \epsilon(I_K \otimes I_{\mathcal{F}}).$$

*If  $\mathcal{Z} \in \cap_W \mathcal{C}_W$ , then  $(\Phi_A \otimes I_K)(\mathcal{Z}) \geq \epsilon$ .*

*Proof.* For  $u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M}$ , let

$$\mathcal{Z}_\alpha = \mathcal{Z}_{u,v},$$

where  $\alpha = u^{-1}v \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}$ .

By Lemma 6.1 item (iv), there exists a psd function  $p : \ell\text{-Frac } \mathcal{W} \times \mathcal{Y} \rightarrow M_K(\mathbb{C})$  such that

$$\mathcal{Z}_\alpha = p(\alpha), \quad \alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}.$$

By Proposition 5.1, there is a Hilbert space  $\mathcal{F}$ , a unitary representation  $\pi : \ell\text{-Frac } \mathcal{W} \times \mathcal{Y} \rightarrow B(\mathcal{F})$  and an isometry  $V : \mathbb{C}^K \rightarrow \mathcal{F}$  such that  $p(\cdot) = V^* \pi(\cdot) V$ .

Now observe,

$$\begin{aligned} (\Phi_A \otimes I_K)(\mathcal{Z}) &= \sum_{\alpha} A_{\alpha} \otimes \mathcal{Z}_{\alpha} = \sum_{\alpha} A_{\alpha} \otimes p(\alpha) \\ &= \sum_{\alpha} A_{\alpha} \otimes V^* \pi(\alpha) V = (I \otimes V)^* A(\pi) (I \otimes V), \end{aligned}$$

where the sums are over  $\alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M}$ . By hypothesis,  $A(\pi) \geq \epsilon$ . Hence

$$(\Phi_A \otimes I)(\mathcal{Z}) \geq \epsilon. \quad \square$$

Let  $\Pi_{\ell\text{-Frac } \mathcal{W} \times \mathcal{Y}}$  denote the set of unitary representations of  $\ell\text{-Frac } \mathcal{W} \times \mathcal{Y}$  on separable Hilbert space and set

$$(6.6) \quad \mathcal{P}_{w,M}^{\epsilon} = \{A \in \mathcal{A}_{w,M} : A(\pi) \geq \epsilon(I_K \otimes I), \text{ for all } \pi \in \Pi_{\ell\text{-Frac } \mathcal{W} \times \mathcal{Y}}\}.$$

**Lemma 6.3.** *The set  $\mathcal{P}_{w,M}^{\epsilon}$  is compact.*

*Proof.* That  $\mathcal{P}_{w,M}^{\epsilon}$  is closed is evident. Since it lives in a finite dimensional (normed) vector space, it thus suffices to show  $\mathcal{P}_{w,M}^{\epsilon}$  is bounded. To do so we begin with a preliminary observation.

If  $T$  is an operator on Hilbert space and

$$g(e^{it}) = I + e^{it}T + e^{-it}T^* \geq 0$$

for all real numbers  $t$ , then  $\|T\| \leq 1$ . There are many proofs of this fact. Here is one. By the operator-valued Fejér–Riesz theorem [Ro68], there exist Hilbert space operators  $V_0, V_1$  such that

$$g(e^{it}) = (V_0 + e^{it}V_1)^*(V_0 + e^{it}V_1).$$

Thus  $V_0^*V_0 + V_1^*V_1 = I$  and  $V_0^*V_1 = T$ . In particular,  $V_0, V_1$  have norm at most one and hence so does  $T$ .

Given a group  $G$  with generators  $\zeta = \{\zeta_1, \dots, \zeta_h\}$ , let  $M$  denote the semigroup generated by  $\zeta$ . For  $b \in \ell\text{-Frac } M$ , let  $1_b : \ell\text{-Frac } M \rightarrow \mathbb{C}$  denote the indicator function of  $b$  and let  $\Upsilon_b$  denote the matrix  $(1_b(u^{-1}v))_{u,v \in M}$ . In particular, with  $e \in G$  the identity,  $\Upsilon_e = I$ . Observe that any given row and column of  $\Upsilon_b$  has at most one non-zero entry, since if  $u^{-1}v = b = u^{-1}w$ , then  $v = w$  and similarly if  $u^{-1}v = b = w^{-1}v$ , then  $w = u$ . It follows that  $\Upsilon_b$  determines a bounded operator of norm 1. Hence, for all real  $t$ ,

$$I + \frac{1}{2} (e^{it}\Upsilon_b + e^{-it}\Upsilon_b^*) \geq 0.$$

Fix an  $A \in \mathcal{P}_{w,M}^\epsilon$ . Applying the observation above to  $\alpha = u^{-1}v \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq M} = \ell\text{-Frac } (\mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M})$  (where  $u = ua$  and  $v = vb$  for some  $u, v \in \mathcal{W}_{\leq w}$  and  $a, b \in \mathcal{Y}_{\leq M}$ ) and setting, for  $t$  real,

$$Z(t) = I + \frac{1}{2} (e^{it}\Upsilon_\alpha + e^{-it}\Upsilon_\alpha^*) \geq 0,$$

we have  $\mathcal{Z}(t) = Z(t)|_{w,M} \in \cap_W \mathfrak{C}_W$ .

Hence by Lemma 6.1,

$$0 \leq \Phi_A(\mathcal{Z}(t)) = I + \frac{1}{2} (e^{it}A_{u^{-1}v} + e^{-it}A_{u^{-1}v}^*)$$

for all real  $t$ . It now follows that  $\|A_{u^{-1}v}\| \leq 2$  and consequently  $\mathcal{P}_{w,M}^\epsilon$  is bounded as claimed.  $\square$

Let

$$(6.7) \quad \widehat{\mathfrak{C}}_W = \{\mathcal{Z} \in \mathfrak{C}_W : \exists A \in \mathcal{P}_{w,M}^\epsilon \text{ such that } (\Phi_A \otimes I_K)(\mathcal{Z}) \not\prec \frac{\epsilon}{2}\}.$$

Observe, for  $\mathcal{Z} \in \widehat{\mathfrak{C}}_W$  and  $Z \in \mathcal{L}_{w,W,K}$  such that  $\mathcal{Z} = Z|_M$ , that  $\Phi_A(Z)$  depends only upon  $\mathcal{Z}_{g,h} = Z_{g,h}$  for  $g, h \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq M}$ .

**Lemma 6.4.** *With notation and assumption as above,*

- (i)  $\widehat{\mathfrak{C}}_W \supseteq \widehat{\mathfrak{C}}_{W+1}$  for each  $W \geq M$ ;
- (ii) each  $\widehat{\mathfrak{C}}_W$  is closed and hence compact; and
- (iii)

$$\bigcap_{W=M}^{\infty} \widehat{\mathfrak{C}}_W = \emptyset.$$

Hence, by the finite intersection property, there is an  $M'$  such that  $\widehat{\mathfrak{C}}_{M'} = \emptyset$ .

*Proof of (i).* Immediate.  $\square$

*Proof of (ii).* For notational ease, let  $Q = \mathcal{P}_{w,M}^\epsilon$ . Let  $(\mathcal{Z}_n)$  be a sequence from  $\widehat{\mathfrak{C}}_W$  and suppose that  $(\mathcal{Z}_n)$  converges to  $\mathcal{Z}$ . Since by Lemma (6.1) item (iii),  $\mathfrak{C}_W$  is compact (hence closed), it follows that  $\mathcal{Z} \in \mathfrak{C}_W$ . For each  $\mathcal{Z}_n$ , there exists  $A_n \in Q$  such that  $(\Phi_{A_n} \otimes I_K)(\mathcal{Z}_n) \not\geq \frac{\epsilon}{2}$ . Because  $Q$  is compact there exists an  $A$  and a subsequence  $(A_{n_l})$  of  $(A_n)$  that converges to  $A$ . If  $(\Phi_A \otimes I_K)(\mathcal{Z}) > \frac{\epsilon}{2}$ , then by joint continuity there is an  $\ell$  such that  $(\Phi_{A_{n_l}} \otimes I_K)(\mathcal{Z}_{n_l}) > \frac{\epsilon}{2}$ , which is a contradiction. Hence  $(\Phi_A \otimes I_K)(\mathcal{Z}) \not\geq \frac{\epsilon}{2}$  so that  $\mathcal{Z} \in \widehat{\mathfrak{C}}_W$  showing  $\widehat{\mathfrak{C}}_W$  is closed.  $\square$

*Proof of (iii).* If  $\mathcal{Z} \in \bigcap_{W=M}^\infty \widehat{\mathfrak{C}}_W$ , then  $\mathcal{Z} \in \bigcap_{W=M}^\infty \mathfrak{C}_W$ . Thus an application of Lemma 6.2 gives  $(\Phi_A \otimes I_K)(\mathcal{Z}) \geq \epsilon$  for all  $A \in \mathcal{P}_{w,M}^\epsilon$ . But then  $\mathcal{Z} \notin \bigcap_{W=M}^\infty \widehat{\mathfrak{C}}_W$ . Therefore,  $\bigcap_{W=M}^\infty \widehat{\mathfrak{C}}_W = \emptyset$  as claimed.  $\square$

**Remark 6.5.** The proof of the Lemma 6.4 is valid with  $K$  replaced by any positive integer  $K'$ . However, the resulting  $M'$  depends upon  $K'$ . Thus the proof given does not produce a single  $M'$  independent of  $K'$ . It is for this reason that Theorem 1.1 is stated for matrix-valued polynomials (and not for operator-valued polynomials). We offer two perspectives on the difficulty, even for a single  $A$  with operator coefficients normalized so that  $A_{e,e} = I$ . First, Proposition 2.1 fails<sup>3</sup> in this case. To see why, choose a sequence  $A_n$  of matrix-valued polynomials satisfying the normalization with corresponding (optimal)  $\epsilon_n > 0$  tending to 0 and let  $A = \oplus A_n$ . Second, the continuity argument of item (ii) of Lemma 6.4 is problematic. At best it produces states  $\rho_n$  and  $\mathcal{Z}_n$  each of which converge in a weak sense and together satisfy  $\rho_n(\Phi_A(\mathcal{Z}_n)) \leq \frac{\epsilon}{2}$ , but unfortunately lack of joint continuity prevents the conclusion that  $\rho_n(\Phi_A(\mathcal{Z}_n))$  converges with limit also at most  $\frac{\epsilon}{2}$ .

The case of the free semigroup  $\langle x \rangle_1$  ( $\mathfrak{g} = 1$ ),  $\ell$ -Frac $\langle x \rangle_1 = \mathbb{Z}$ , and  $\mathfrak{Y} = \mathbb{Z}^{\mathfrak{h}}$  (and for polynomials with operator-valued coefficients) is the setting of the factorization results in [Dr04]. In this case, additional structure provided by the fact that positivity of  $A$  is certified by positivity of a multi-variate version of a unilateral Toeplitz operator (structure that is not available in general) along with a clever construction combined with an approximation argument prevails to produce a version of Theorem 1.1 for strictly psd operator-valued trigonometric polynomials defined on the  $\mathfrak{h} + 1$  torus.  $\square$

## 7. PROOFS OF THE MAIN RESULTS

Combining the completion results of Section 4 with the realization of Section 5 and the uniform truncation from Section 6, we show  $\mathcal{W} \times \mathfrak{Y}$  supports Fejér–Riesz factorization

<sup>3</sup>Certainly its proof does.

with optimal  $\mathcal{W}$ -degree under uniform strict positivity obtaining a generalization of Theorem 1.1. To conclude this section, we then indicate modifications of the proof of Theorem 1.1 in the case  $\mathfrak{Y}$  is either trivial or finite that establish the “perfect” group-algebra Positivstellensatz on  $\mathbb{Z}_2^{*\mathfrak{g}}$  of Theorem 1.6 and the claim made in Remark 1.7.

**7.1. Proof of Theorem 1.1.** A generalization of Theorem 1.1 along the lines of Remark 1.4 is established in this subsection.

Recall the definition of  $\mathcal{P}_{w,M}^\epsilon$  from equation (6.6) and the definition of  $\Phi_A$  from equation (6.5) and note that  $\mathcal{S}_w \otimes \mathcal{T}_W$  is a self-adjoint subspace containing the identity of the  $C$ -star algebra of matrices indexed by the finite set  $\mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}$ . Thus each  $\mathcal{S}_w \otimes \mathcal{T}_W$  an operator system.

**Theorem 7.1.** *Let  $w \in \mathcal{W}$  and a positive integer  $M$  be given. For each  $\epsilon > 0$  there is a positive integer  $W \geq M$  such that if  $A \in \mathcal{P}_{w,M}^\epsilon$ , then  $\Phi_A : \mathcal{S}_w \otimes \mathcal{T}_W \rightarrow M_K(\mathbb{C})$  is completely positive.*

*Proof.* By Lemma 6.4 item (iii), there is an  $W \geq M$  such that  $\widehat{\mathfrak{C}}_W = \emptyset$ , where  $\widehat{\mathfrak{C}}_W$  is defined in equation (6.7). Thus, given  $A \in \mathcal{P}_{w,M}^\epsilon$ , for each  $\mathcal{Z} \in \mathfrak{C}_W$ , we have  $\Phi_A(\mathcal{Z}) \geq \frac{\epsilon}{2}$ .

Now suppose  $\mathcal{X} \in \mathcal{L}_{w,W,K} = \mathcal{S}_w \otimes \mathcal{T}_W$  and  $\mathcal{X} > 0$ . Because  $\mathcal{X} > 0$ , it follows that  $\mathcal{X}_{e,e} > 0$  as well; hence,  $\mathcal{X}_{e,e}$  is invertible. Let  $P = I \otimes \mathcal{X}_{e,e}^{-1/2}$ . Set

$$\mathcal{X}' = P\mathcal{X}P.$$

Because  $\mathcal{X}'_{e,e} = I_K$ , it follows that  $\mathcal{X}'|_M \in \mathfrak{C}_W$ . Thus  $\Phi_A(\mathcal{X}') \geq \frac{\epsilon}{2}$  (where we have written  $\Phi_A$  in place of  $\Phi_A \otimes I_K$  as is customary). It now follows that

$$\begin{aligned} 0 &\leq (I_K \otimes P^{-1}) \Phi_A(\mathcal{X}') (I_K \otimes P^{-1}) \\ &= \Phi_A(P^{-1} \mathcal{X}' P^{-1}) = \Phi_A(\mathcal{X}). \end{aligned}$$

If  $\mathcal{X} \in \mathcal{L}_{w,W,K}^+$  is not strictly positive definite, then, by considering  $\mathcal{X} + \delta I \otimes I_K$  for  $\delta > 0$ , a limiting argument gives  $\Phi_A(\mathcal{X}) \geq 0$ . Thus  $\Phi_A$  is  $K$ -positive. Since  $\Phi_A$  maps an operator system into  $M_K(\mathbb{C})$  is  $K$ -positive, it is completely positive by [Pau02, Theorem 6.1].  $\square$

The following result is a generalization of Theorem 1.1 from the introduction.

**Theorem 7.2.** *For each  $\epsilon > 0$  there exists a positive integer  $W \geq M$  such that if  $A \in \mathcal{P}_{w,M}^\epsilon$ , then there is an analytic polynomial  $B$  of bidegree at most  $(w, W)$  such that*

$$A = B^* B.$$

*Proof.* By Theorem 7.1, there exists a  $W \geq M$  such that  $\Phi_A : \mathcal{L}_{w,W,1} \rightarrow M_K(\mathbb{C})$  is completely positive. Let  $\mathbb{M}$  denote the space of matrices indexed by  $\mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}$ . Thus  $\mathcal{L}_{w,W,1}$  is naturally identified as a unital self-adjoint subspace of  $\mathbb{M}$ . Given  $u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}$ , let  $E_{u,v}$  denote the matrix with a 1 in the  $(u, v)$  entry and 0 elsewhere. Since  $\Phi_A$  is completely positive, there exists, by the Arveson extension theorem [Pau02, Theorem 7.5], a completely positive extension  $\Psi : \mathbb{M} \rightarrow M_K(\mathbb{C})$  of  $\Phi_A$ . Given  $\alpha \in \ell\text{-Frac } \mathcal{W}_{\leq w} \times \ell\text{-Frac } \mathcal{Y}_{\leq W}$ , observe

$$(7.1) \quad \sum \{ \Psi(u, v) : u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}, \quad v^{-1}u = \alpha \} = \Phi_A(\mathcal{B}_\alpha) = A_\alpha,$$

where  $\mathcal{B}_\alpha$  is defined in equation (6.3).

Since  $\Psi$  is completely positive, its Choi matrix [Pau02, Theorem 3.14],

$$C_\Psi = \left( \Psi(E_{u,v}) \right)_{u,v} \geq 0,$$

is psd. Let  $N$  denote the size of  $C_\Psi$ . Since  $C_\Psi$  is psd with block  $K \times K$  entries, there exist  $B_u \in M_{N,K}(\mathbb{C})$  such that

$$(7.2) \quad B_v^* B_u = \Psi(E_{u,v}),$$

for  $u, v \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}$ . Combining equations (7.1) and (7.2) gives,

$$A_\alpha = \sum \{ B_v^* B_u : v, u \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W}, \quad v^{-1}u = \alpha \}.$$

Thus  $A = B^* B$ , where  $B$  is the analytic polynomial (as in equation (1.5))

$$B = \sum \{ B_u u : u \in \mathcal{W}_{\leq w} \times \mathcal{Y}_{\leq W} \}. \quad \square$$

**7.2. Proof of Theorem 1.6.** Only the case where  $\ell\text{-Frac } \mathcal{W} = \mathbb{Z}_2^{*\mathfrak{g}}$  requires a proof, since the  $\langle x \rangle_{\mathfrak{g}}$  case appears in [Mc01]. In the case that  $\mathfrak{Y} = \{e\}$ , the argument in Section 6 trivializes: for any choice of positive integer  $K$ , a partially defined psd matrix on  $\ell\text{-Frac } \mathbb{Z}_2^{*\mathfrak{g}}$  with entries from  $M_K(\mathbb{C})$  extends to a psd matrix defined on all of  $\mathbb{Z}_2^{*\mathfrak{g}}$  and thus is the compression of a unitary representation of  $\mathbb{Z}_2^{*\mathfrak{g}}$ . It follows that  $\Phi_A : \mathcal{S}_w \rightarrow B(\mathcal{E})$  as in the statement of Theorem 7.1 is  $K$ -positive by simply following the proof of that theorem noting the assumption that  $A(\pi) \geq 0$  for all unitary representations of  $\mathbb{Z}_2^{*\mathfrak{g}}$  suffices. Hence  $\Phi_A$  is completely positive and the rest of the proof is then the same as that of Theorem 7.2.

**7.3. Proof of Remark 1.7.** Note that the results of Section 6 are not required. Rather  $\Phi_A : \mathcal{L}_{w,W,1} \rightarrow B(\mathcal{E})$  is, by assumption, completely positive, where  $W$  is the cardinality of  $\mathfrak{Y}$ . Following the proof of Theorem 7.2 establishes the claimed result.  $\square$

## 8. EXAMPLES

This section collects examples that demonstrate the sharpness of our results. We construct counterexamples on  $\mathbb{Z}_2 * \mathbb{Z}_3$  (Example 8.1) and  $\mathbb{Z}_3^{*2}$  (Example 8.2) showing that the conclusions of Theorems 4.2 and 1.6, with their optimal degree bounds, in the sense of Remark 1.10, can fail. In both cases, the argument proceeds by exhibiting a partially defined psd matrix with respect to the relevant group that does not have a psd completion in the spirit of Theorem 4.2. It is well known that a classical scalar-valued psd trigonometric polynomial in two variables does not necessarily factor with optimal degree bounds. Example 8.3 gives a proof of this fact as a consequence of the existence of a partially defined psd two variable Toeplitz matrix (partially defined psd function relative to  $\mathbb{Z}^2$ ) that does not have a psd extension (to  $\mathbb{Z}^2$ ). Compare with Theorems 4.1.

**Example 8.1.** Let  $G$  denote the free group on  $x_1, x_2$  modulo the relations  $x_1^3 = 1 = x_2^2$ . That is,  $G = \mathbb{Z}_3 * \mathbb{Z}_2$ . Give  $G$  the usual shortlex order and let  $w = x_2$ . The immediate successor to  $w$  is  $\mathfrak{s} = x_1^2$ . A partially defined matrix with respect to  $\mathfrak{s} = x_1^2$  takes the form - keeping in mind  $x_1^2 = x_1^{-1}$  and  $x_2 = x_2^{-1}$ ,

$$\begin{pmatrix} 1 & x_1 & x_2 & x_1^2 \\ x_1^2 & 1 & x_1^2 x_2 & x_1 \\ x_2 & x_2 x_1 & 1 & x_2 x_1^2 \\ x_1 & x_1^2 & x_1 x_2 & 1 \end{pmatrix}.$$

That is, with  $I_{\mathfrak{s}} = \{u \in G : u \leq \mathfrak{s}\}$  and  $J_{\mathfrak{s}} = \ell\text{-Frac } I_{\mathfrak{s}} = \{u^{-1}v : u, v \in I_{\mathfrak{s}}\}$  a function  $\rho : J_{\mathfrak{s}} \rightarrow \mathbb{C}$  corresponds to the matrix,

$$\Upsilon_{\rho} = \begin{pmatrix} \rho(e) & \rho(x_1) & \rho(x_2) & \rho(x_1^2) \\ \rho(x_1^2) & \rho(e) & \rho(x_1^2 x_2) & \rho(x_1) \\ \rho(x_2) & \rho(x_2 x_1) & \rho(e) & \rho(x_2 x_1^2) \\ \rho(x_1) & \rho(x_1^2) & \rho(x_1 x_2) & \rho(e) \end{pmatrix}.$$

Let

$$\mathcal{S} = \left\{ (\sigma(u^{-1}v)) : \sigma : J_w \rightarrow \mathbb{C} \right\} = \left\{ \begin{pmatrix} a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,1} & a_{1,1} & a_{2,3} \\ a_{3,1} & a_{3,2} & a_{1,1} \end{pmatrix} : a_{j,k} \in \mathbb{C} \right\} \subseteq M_3(\mathbb{C}),$$

and note  $\mathcal{S}$  is a (unital) operator system. Further, given  $u \in J_w$ , letting  $1_u : J_w \rightarrow \mathbb{C}$  denote the indicator function of  $u$ , the matrices

$$\Upsilon_u = \Upsilon_{1_u}$$

form a basis for  $\mathcal{S}$ .

Consider  $\tau : J_w \rightarrow \mathbb{C}$  given by  $\tau(e) = 1$ ;  $\tau(x_1) = \tau(x_1^2) = \tau(x_1^2 x_2) = \tau(x_2 x_1) = -\frac{2}{3}$ ; and  $\tau(x_2) = 1$  so that

$$\Upsilon_\tau = \begin{pmatrix} 1 & -\frac{2}{3} & 1 \\ -\frac{2}{3} & 1 & -\frac{2}{3} \\ 1 & -\frac{2}{3} & 1 \end{pmatrix} \in \mathcal{S},$$

which is evidently psd. Now suppose  $\rho : J_3 \rightarrow \mathbb{C}$  extends  $\tau$ . Thus

$$\Upsilon_\rho = \begin{pmatrix} 1 & -\frac{2}{3} & 1 & -\frac{2}{3} \\ -\frac{2}{3} & 1 & -\frac{2}{3} & -\frac{2}{3} \\ 1 & -\frac{2}{3} & 1 & z \\ -\frac{2}{3} & -\frac{2}{3} & \bar{z} & 1 \end{pmatrix}$$

for some choice of  $z \in \mathbb{C}$ . Since the determinant of the submatrix of  $\Upsilon_\rho$  spanned by the first, second, fourth rows and columns is negative, it is not possible to extend  $\tau$  to a psd function on  $J_3$ ; i.e., the conclusion of Theorem 4.2 fails for  $\mathbb{Z}_3 * \mathbb{Z}_2$ .

We next show that the conclusion of Theorem 1.6 also fails for  $\mathbb{Z}_3 * \mathbb{Z}_2$ . It is a special case of a well known result (see [Pau02, Theorem 4.8] and Proposition 5.1) that if  $p : \mathbb{Z}_3 * \mathbb{Z}_2 \rightarrow \mathbb{C}$  is a psd function, then there is a Hilbert space  $\mathcal{E}$ , a unitary representation  $\pi : \mathbb{Z}_3 * \mathbb{Z}_2 \rightarrow B(\mathcal{E})$  and a vector  $e \in \mathcal{E}$  such that

$$p(g) = \langle \pi(g)e, e \rangle,$$

for all  $g \in \mathbb{Z}_3 * \mathbb{Z}_2$ . Thus the set of  $\mathcal{P}^+$  of psd partially defined matrices with respect to  $w$  that extend to a psd matrix on all of  $\mathbb{Z}_3 * \mathbb{Z}_2$  is in one-one correspondence with the partially defined matrices with respect to  $w$  that arise from unitary representations of  $\mathbb{Z}_3 * \mathbb{Z}_2$  as above. A routine argument (see Section 6) shows  $\mathcal{S}^+$  is closed. What is shown above is that  $\Upsilon_\tau$  is in  $\mathcal{S}^+$  (is psd) but is not in the closed convex set  $\mathcal{P}^+$ . Hence, by Hahn-Banach separation, there is a linear functional  $\lambda : \mathcal{S} \rightarrow \mathbb{C}$  such that  $\lambda(\Upsilon_\tau) < 0$  and  $\lambda(\mathcal{P}^+) \geq 0$ . Setting

$$f_u = \lambda(\Upsilon_u),$$

for  $u \in J_w$ , it follows that the trigonometric polynomial (with scalar coefficients),

$$f(x_1, x_2) = \sum_{u \in J_w} f_u u \in \mathbb{C}[\mathbb{Z}_3 * \mathbb{Z}_2]$$

satisfies  $f(U_1, U_2) \geq 0$  for all pairs of unitary operators  $(U_1, U_2)$  satisfying  $U_1^3 = I = U_2^2$ , but

$$0 > \lambda(\Upsilon_\tau) = \sum_{u \in J_w} \tau(u) f_u.$$

On the other hand, if there is a (with possibly vector coefficients)  $q = \sum_{v \in I_w} q_v \mathbf{v}$  such that  $f = q^* q$ , then

$$\lambda(\Upsilon_\tau) = \sum_{u \in J_w} \tau(u) f_u = \sum_u \left[ \sum_{\substack{a, b \in I_w \\ b^{-1}a = u}} q_b^* q_a \right] f_u = \text{trace}(Q \Upsilon_\tau),$$

where

$$Q = (q_b^* q_a)_{a, b \in I_w}.$$

Since both  $Q$  and  $\Upsilon_\tau$  are psd, we obtain the contradiction  $\lambda(\Upsilon_\tau) \geq 0$ .  $\square$

The following variant of Example 8.1 shows that the conclusions of Theorems 4.2 and 1.6 fail also for  $G = \mathbb{Z}_3^{*2}$ .

**Example 8.2.** Let  $G$  denote the free group on  $x_1, x_2$  modulo the two relations  $x_1^3 = 1 = x_2^3$ . Give  $G$  the usual lexicographic order. Let  $w = x_2$  and  $s = x_1^2$  its immediate successor. A partially defined matrix with respect to  $s = x_1^2$  takes the form - keeping in mind  $x_j^2 = x_j^{-1}$ ,

$$\begin{pmatrix} 1 & x_1 & x_2 & x_1^2 \\ x_1^2 & 1 & x_1^2 x_2 & x_1 \\ x_2^2 & x_2^2 x_1 & 1 & x_2^2 x_1^2 \\ x_1 & x_1^2 & x_1 x_2 & 1 \end{pmatrix}.$$

That is, with  $I_w = \{u \in G : u \leq w\}$  and  $J_w = \{u^{-1}v : u, v \in I_w\}$  a function  $\rho : J_w \rightarrow \mathbb{C}$  corresponds to the matrix,

$$\Upsilon_\rho = \begin{pmatrix} \rho(e) & \rho(x_1) & \rho(x_2) & \rho(x_1^2) \\ \rho(x_1^2) & \rho(e) & \rho(x_1^2 x_2) & \rho(x_1) \\ \rho(x_2^2) & \rho(x_2^2 x_1) & \rho(e) & \rho(x_2^2 x_1^2) \\ \rho(x_1) & \rho(x_1^2) & \rho(x_1 x_2) & \rho(e) \end{pmatrix}$$

Now let  $s = -\sqrt{\frac{1}{2}}$  and choose  $\tau : J_w \rightarrow \mathbb{C}$  by  $\rho(e) = 1$ ;  $\rho(x_1) = s = \rho(x_2)$ ; and  $\rho(x_1^2 x_2) = 0 = \rho(x_2^2 x_1)$  so that

$$\Upsilon_\tau = \begin{pmatrix} 1 & s & s \\ s & 1 & 0 \\ s & 0 & 1 \end{pmatrix},$$

which is evidently psd. If there exists a  $\rho : J_s \rightarrow \mathbb{C}$  extending  $\tau$  such that  $\Upsilon_\rho$  is psd, then  $3 \times 3$  submatrix of  $\Upsilon_\rho$  based on its first, second and forth rows and columns, which does not depend on the values of  $\rho(x_2^2 x_1^2)$  and  $\rho(x_1 x_2)$ ,

$$\begin{pmatrix} 1 & s & s \\ s & 1 & s \\ s & s & 1 \end{pmatrix}$$

must be psd. Since it is not, no such  $\rho$  exists.

Finally, as in Example 8.1 we conclude that there is a trigonometric polynomial (with scalar coefficients),

$$p(x_1, x_2) = \sum_{u \in J_w} p_u u$$

such that  $p(X_1, X_2) \geq 0$  for all pairs of operators  $(X_1, X_2)$  satisfying  $X_j^3 = I$ , but there does not exist a polynomial (with possibly matrix coefficients),  $q = \sum_{v \in I_w} q_v v$  such that  $p = q^* q$ .  $\square$

**Example 8.3.** The pattern for a two variable Toeplitz matrix is determined by  $\alpha = e^{is}$  and  $\beta = e^{it}$ . We write  $\alpha^*$  in place of  $e^{-is}$  etc. For instance,

$$T = \begin{pmatrix} 1 & \alpha & \beta & \alpha^2 & \alpha\beta & \beta^2 & \alpha^2\beta & \alpha\beta^2 \\ * & 1 & \alpha^*\beta & \alpha & \beta & \alpha^*\beta^2 & \alpha\beta & \beta^2 \\ * & * & 1 & \alpha^2\beta^* & \alpha & \beta & \alpha^2 & \alpha\beta \\ * & * & * & 1 & \alpha^*\beta & \alpha^2\beta^2 & \beta & \alpha^*\beta^2 \\ * & * & * & * & 1 & \alpha^*\beta & \alpha & \beta \\ * & * & * & * & * & 1 & \alpha^2\beta^* & \alpha \\ * & * & * & * & * & * & 1 & \alpha^*\beta \\ * & * & * & * & * & * & * & 1 \end{pmatrix}$$

is such a matrix, with the columns with first entries  $\alpha^3$  and  $\beta^3$  omitted. Making the choices in the upper  $7 \times 7$  block with  $\alpha\beta = \beta^2 = \frac{1}{\sqrt{2}}$  and all other entries 0 obtains the positive two variable Toeplitz matrix,

$$T_0 = \begin{pmatrix} 1 & 0 & 0 & 0 & s & s \\ * & 1 & 0 & 0 & 0 & 0 \\ * & * & 1 & 0 & 0 & 0 \\ * & * & * & 1 & 0 & 0 \\ * & * & * & * & 1 & 0 \\ * & * & * & * & * & 1 \end{pmatrix}.$$

where  $s = \frac{1}{\sqrt{2}}$ . A partial extension of  $T_0$  to an  $8 \times 8$  matrix  $T$  has the form

$$T = \begin{pmatrix} 1 & 0 & 0 & 0 & s & s & w & z \\ * & 1 & 0 & 0 & 0 & 0 & s & s \\ * & * & 1 & 0 & 0 & 0 & 0 & s \\ * & * & * & 1 & 0 & 0 & 0 & 0 \\ * & * & * & * & 1 & 0 & 0 & 0 \\ * & * & * & * & * & 1 & 0 & 0 \\ * & * & * & * & * & * & 1 & 0 \\ * & * & * & * & * & * & * & 1 \end{pmatrix}$$

for  $w, z \in \mathbb{C}$ . Now the lower  $7 \times 7$  principal minor of  $T$  is fully specified, but it is not positive semidefinite. Thus, it is not possible to complete the matrix  $T_0$  to a positive

semidefinite infinite two variable Toeplitz. Arguing as in Example 8.1, it follows that there is a scalar-valued two variable trigonometric polynomial

$$p = \sum_{|j|+|k|\leq 2} p_{j,k} e^{ijs} e^{ikt}$$

that does not factor as  $p = q^*q$  for  $q$  of the form

$$q = \sum \{q_{j,k} e^{ijs} e^{ikt} : 0 \leq j, k, \quad j + k \leq 2\},$$

for any choice of compatible vectors  $q_{j,k}$ . □

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