

# ADMISSIBLE FUNDAMENTAL OPERATORS AND MODELS FOR $\Gamma_{E(3;3;1,1,1)}$ -CONTRACTION AND $\Gamma_{E(3;2;1,2)}$ -CONTRACTION

AVIJIT PAL AND BHASKAR PAUL

ABSTRACT. A 7-tuple of commuting bounded operators  $\mathbf{T} = (T_1, \dots, T_7)$  defined on a Hilbert space  $\mathcal{H}$  is called a  $\Gamma_{E(3;3;1,1,1)}$ -contraction if  $\Gamma_{E(3;3;1,1,1)}$  is a spectral set for  $\mathbf{T}$ . Let  $(S_1, S_2, S_3)$  and  $(\tilde{S}_1, \tilde{S}_2)$  represents tuples of commuting bounded operators on a Hilbert space  $\mathcal{H}$  with  $S_i \tilde{S}_j = \tilde{S}_j S_i$  for  $1 \leq i \leq 3$  and  $1 \leq j \leq 2$ . The tuple  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  is said to be  $\Gamma_{E(3;2;1,2)}$ -contraction if  $\Gamma_{E(3;2;1,2)}$  is a spectral set for  $\mathbf{S}$ .

In this paper, we show that for a given pure contraction  $T_7$  acting on a Hilbert space  $\mathcal{H}$ , if  $(\tilde{F}_1, \dots, \tilde{F}_6) \in \mathcal{B}(\mathcal{D}_{T_7}^*)$  with  $[\tilde{F}_i, \tilde{F}_j] = 0, [\tilde{F}_i^*, \tilde{F}_{7-j}] = [\tilde{F}_j^*, \tilde{F}_{7-i}], w(\tilde{F}_i^* + \tilde{F}_{7-i}z) \leq 1$  and these operators satisfy

$$(\tilde{F}_i^* + \tilde{F}_{7-i}z)\Theta_{T_7}(z) = \Theta_{T_7}(z)(F_i + F_{7-i}^*z) \text{ for all } z \in \mathbb{D}$$

for  $1 \leq i, j \leq 6$  for some  $(F_1, \dots, F_6) \in \mathcal{B}(\mathcal{D}_{T_7})$  with  $w(F_i^* + F_{7-i}z) \leq 1$  for  $1 \leq i \leq 6$ , then there exists a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $(T_1, \dots, T_7)$  such that  $F_1, \dots, F_6$  are the fundamental operators of  $(T_1, \dots, T_7)$  and  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $(T_1^*, \dots, T_7^*)$ . We also prove similar type of result for pure  $\Gamma_{E(3;2;1,2)}$ -contraction.

We explicitly construct a  $\Gamma_{E(3;3;1,1,1)}$ -unitary (respectively, a  $\Gamma_{E(3;2;1,2)}$ -unitary) starting from a  $\Gamma_{E(3;3;1,1,1)}$ -contraction (respectively, a  $\Gamma_{E(3;2;1,2)}$ -contraction). Further, we develop functional models for general  $\Gamma_{E(3;3;1,1,1)}$ -isometries (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometries). In particular, we construct Douglas-type and Sz.-Nagy-Foias-type models for  $\Gamma_{E(3;3;1,1,1)}$ -contractions (respectively,  $\Gamma_{E(3;2;1,2)}$ -contractions). Finally, we present a Schaffer-type model for the  $\Gamma_{E(3;3;1,1,1)}$ -isometric dilation (respectively, the  $\Gamma_{E(3;2;1,2)}$ -isometric dilation).

## 1. INTRODUCTION AND MOTIVATION

Let  $\Omega$  be a compact subset of  $\mathbb{C}^m$ , and let  $\mathcal{A}(\Omega)$  denote the algebra of holomorphic functions defined on an open set  $U$  containing  $\Omega$ . Consider an  $m$ -tuple of commuting bounded operators  $\mathbf{T} = (T_1, \dots, T_m)$  acting on a Hilbert space  $\mathcal{H}$ , and let  $\sigma(\mathbf{T})$  denote its joint spectrum. We define a homomorphism  $\rho_{\mathbf{T}} : \mathcal{A}(\Omega) \rightarrow \mathcal{B}(\mathcal{H})$  in a following manner:

$$1 \rightarrow I \text{ and } z_i \rightarrow T_i \text{ for } 1 \leq i \leq m.$$

It is evident that  $\rho_{\mathbf{T}}$  is a homomorphism. A compact set  $\Omega \subset \mathbb{C}^m$  is called a spectral set for  $\mathbf{T}$  if  $\sigma(\mathbf{T}) \subseteq \Omega$  and the homomorphism  $\rho_{\mathbf{T}}$  is contractive. Von Neumann introduced this notion in the one-variable case. In particular, his classical theorem asserts that the closed unit disc is a spectral set for every contraction on a Hilbert space  $\mathcal{H}$ .

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**Theorem 1.1** (Chapter 1, Corollary 1.2, [53]). *Let  $T \in \mathcal{B}(\mathcal{H})$  be a contraction. Then*

$$\|p(T)\| \leq \|p\|_{\infty, \mathbb{D}} := \sup\{|p(z)| : |z| \leq 1\}$$

*for every polynomial  $p$ .*

The following theorem presented here is a revised version of the Sz.-Nagy dilation theorem [Theorem 1.1, [53]].

**Theorem 1.2** (Theorem 4.3, [53]). *Let  $T \in \mathcal{B}(\mathcal{H})$  be a contraction. Then there exists a larger Hilbert space  $\mathcal{K}$  that contains  $\mathcal{H}$  as a subspace, and a unitary operator  $U$  acting on a Hilbert space  $\mathcal{K} \supseteq \mathcal{H}$  with the property that  $\mathcal{K}$  is the smallest closed reducing subspace for  $U$  containing  $\mathcal{H}$  such that*

$$P_{\mathcal{H}} U|_{\mathcal{H}}^n = T^n, \text{ for all } n \in \mathbb{N} \cup \{0\}.$$

Schaffer constructed the unitary dilation for a given contraction  $T$ . The spectral theorem for unitary operators then guarantees the von Neumann inequality through the existence of a power dilation. Let  $\Omega$  be a compact subset of  $\mathbb{C}^m$ . Let  $F = ((f_{ij}))$  be a matrix-valued polynomial defined on  $\Omega$ . We define  $\Omega$  as a complete spectral set (complete  $\Omega$ -contraction) for  $\mathbf{T}$  if  $\|F(\mathbf{T})\| \leq \|F\|_{\infty, \Omega}$  for every  $F \in \mathcal{O}(\Omega) \otimes \mathcal{M}_{k \times k}(\mathbb{C})$ ,  $k \geq 1$ . If a compact set  $\Omega$  serves as a spectral set for a commuting  $m$ -tuple of operators  $\mathbf{T}$ , then  $\Omega$  is, in fact, a complete spectral set for  $\mathbf{T}$ . In this case, we say that the domain  $\Omega$  possesses property  $P$ . We say that a  $m$ -tuple of commuting bounded operators  $\mathbf{T}$  with  $\Omega$  as a spectral set has a  $\partial\Omega$  normal dilation if there is a Hilbert space  $\mathcal{K}$  that contains  $\mathcal{H}$  as a subspace, along with a commuting  $m$ -tuple of normal operators  $\mathbf{N} = (N_1, \dots, N_m)$  on  $\mathcal{K}$  whose spectrum lies within  $\partial\Omega$ , and

$$P_{\mathcal{H}} F(\mathbf{N})|_{\mathcal{H}} = F(\mathbf{T}) \text{ for all } F \in \mathcal{O}(\Omega).$$

In 1969, Arveson [1, 2] established that a commuting  $m$ -tuple of operators  $\mathbf{T}$  has a  $\partial\Omega$ -normal dilation if and only if  $\Omega$  is a spectral set for  $\mathbf{T}$  and  $\mathbf{T}$  satisfies property  $P$ . Later, Agler [3] proved in 1984 that the annulus possesses property  $P$ . However, Dritschel and McCullough [29] showed that property  $P$  fails for domains with connectivity  $n \geq 2$ . In several complex variables, both the symmetrized bidisc and the bidisc are known to possess property  $P$ , as shown by Agler and Young [6] and Ando [53], respectively. Parrott [53] provided the first counterexample in the multivariable setting for the polydisc  $\mathbb{D}^n$  when  $n > 2$ . Subsequently, G. Misra [46, 47], V. Paulsen [52], and E. Ricard [51] established that no ball in  $\mathbb{C}^m$ , defined with respect to any norm  $|\cdot|_{\Omega}$  and for  $m \geq 3$ , possesses property  $P$ . Furthermore, [45] shows that if two matrices  $B_1$  and  $B_2$  are not simultaneously diagonalizable via a unitary transformation, then the set  $\Omega_{\mathbf{B}} := \{(z_1, z_2) : \|z_1 B_1 + z_2 B_2\|_{\text{op}} < 1\}$  fails to have property  $P$ , where  $\mathbf{B} = (B_1, B_2) \in \mathbb{C}^2 \otimes \mathcal{M}_2(\mathbb{C})$  and  $B_1, B_2$  are linearly independent.

We recall the definition of completely non-unitary contraction from [49]. A contraction  $T$  on a Hilbert space  $\mathcal{H}$  is said to be completely non-unitary (c.n.u.) contractions if there exists no nontrivial reducing subspace  $\mathcal{L}$  for  $T$  such that  $T|_{\mathcal{L}}$  is a unitary operator. This section presents the canonical decomposition of the  $\Gamma_{E(3;3;1,1,1)}$ -contraction and the  $\Gamma_{E(3;2;1,2)}$ -contraction. Any contraction  $T$  on a Hilbert space  $\mathcal{H}$  can be expressed as the orthogonal direct sum of a unitary and a completely non-unitary contraction. The details can be found in [Theorem 3.2, [49]]. We start with the following

definition, which will be essential for the canonical decomposition of the  $\Gamma_{E(3;3;1,1,1)}$ -contraction and the  $\Gamma_{E(3;2;1,2)}$ -contraction.

Let us recall *spectrum*, *spectral radius*, *numerical radius* of a bounded operator  $T$ . The spectrum  $\sigma(T)$  of  $T$  is defined by

$$\sigma(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not invertible}\}.$$

The spectral radius of  $T$  is denoted by  $r(T)$  and defined by

$$r(T) = \sup_{\lambda \in \sigma(T)} |\lambda|.$$

In addition to it, the numerical radius  $w(T)$  of  $T$  is defined by

$$w(T) = \sup_{\|x\| \leq 1} |\langle Tx, x \rangle|.$$

By some routine computation we can show that

$$r(T) \leq w(T) \leq \|T\| \leq 2w(T).$$

Let  $T$  be a contraction a Hilbert space  $\mathcal{H}$ . The *defect operator* of  $T$  is defined by  $D_T = (I - T^*T)^{1/2}$  and the *defect space* of  $T$  is defined by  $\mathcal{D}_T = \overline{\text{Ran}} D_T$ . It follows from [49] that  $D_T$  and  $D_{T^*}$  satisfy the following identities:

$$TD_T = D_{T^*}T.$$

The *characteristic function*  $\Theta_T$  of  $T$  is defined as follows:

$$\Theta_T(z) = (-T + D_{T^*}(I - zT^*)^{-1}D_T)|_{\mathcal{D}_T}, \text{ for all } z \in \mathbb{D}. \quad (1.1)$$

Note that  $\Theta_T \in \mathcal{B}(\mathcal{D}_T, \mathcal{D}_{T^*})$ . We define a multiplication operator  $M_{\Theta_T} : H^2(\mathbb{D}) \otimes \mathcal{D}_T \rightarrow H^2(\mathbb{D}) \otimes \mathcal{D}_{T^*}$  by

$$M_{\Theta_T}f(z) = \Theta_T(z)f(z) \text{ for } z \in \mathbb{D}, \quad (1.2)$$

and also define  $\mathcal{H}_T = (H^2(\mathbb{D}) \otimes \mathcal{D}_{T^*}) \ominus M_{\Theta_T}(H^2(\mathbb{D}) \otimes \mathcal{D}_T)$ . We call  $\mathcal{H}_T$  the *model space* for  $T$ . The following theorem describes the functional model for pure contraction [49].

**Theorem 1.3.** *Every pure contraction  $T$  defined on a Hilbert space  $\mathcal{H}$  is unitarily equivalent to the operator  $T_1$  on the Hilbert space  $\mathcal{H}_T = (H^2(\mathbb{D}) \otimes \mathcal{D}_{T^*}) \ominus M_{\Theta_T}(H^2(\mathbb{D}) \otimes \mathcal{D}_T)$  defined as*

$$T_1 = P_{\mathcal{H}_T}(M_z \otimes I_{\mathcal{D}_{T^*}})|_{\mathcal{H}_T}. \quad (1.3)$$

Let  $\mathcal{M}_{n \times n}(\mathbb{C})$  be the set of all  $n \times n$  complex matrices and  $E$  be a linear subspace of  $\mathcal{M}_{n \times n}(\mathbb{C})$ . We define the function  $\mu_E : \mathcal{M}_{n \times n}(\mathbb{C}) \rightarrow [0, \infty)$  as follows:

$$\mu_E(A) := \frac{1}{\inf\{\|X\| : \det(1 - AX) = 0, X \in E\}}, \quad A \in \mathcal{M}_{n \times n}(\mathbb{C}) \quad (1.4)$$

with the understanding that  $\mu_E(A) := 0$  if  $1 - AX$  is nonsingular for all  $X \in E$  [?, ?]. Here  $\|\cdot\|$  denotes the operator norm. Let  $E(n; s; r_1, \dots, r_s) \subset \mathcal{M}_{n \times n}(\mathbb{C})$  be the vector subspace comprising block diagonal matrices, defined as follows:

$$E = E(n; s; r_1, \dots, r_s) := \{\text{diag}[z_1 I_{r_1}, \dots, z_s I_{r_s}] \in \mathcal{M}_{n \times n}(\mathbb{C}) : z_1, \dots, z_s \in \mathbb{C}\}, \quad (1.5)$$

where  $\sum_{i=1}^s r_i = n$ . We recall the definition of  $\Gamma_{E(3;3;1,1,1)}$ ,  $\Gamma_{E(3;2;1,2)}$  and  $\Gamma_{E(2;2;1,1)}$  [4, 17, 42]. The sets  $\Gamma_{E(2;2;1,1)}$ ,  $\Gamma_{E(3;3;1,1,1)}$  and  $\Gamma_{E(3;2;1,2)}$  are defined as

$$\Gamma_{E(2;2;1,1)} := \left\{ \mathbf{x} = (x_1 = a_{11}, x_2 = a_{22}, x_3 = a_{11}a_{22} - a_{12}a_{21} = \det A) \in \mathbb{C}^3 : \right.$$

$$\left. A \in \mathcal{M}_{2 \times 2}(\mathbb{C}) \text{ and } \mu_{E(2;2;1,1)}(A) \leq 1 \right\},$$

$$\Gamma_{E(3;3;1,1,1)} := \left\{ \mathbf{x} = (x_1 = a_{11}, x_2 = a_{22}, x_3 = a_{11}a_{22} - a_{12}a_{21}, x_4 = a_{33}, x_5 = a_{11}a_{33} - a_{13}a_{31}, \right.$$

$$\left. x_6 = a_{22}a_{33} - a_{23}a_{32}, x_7 = \det A) \in \mathbb{C}^7 : A \in \mathcal{M}_{3 \times 3}(\mathbb{C}) \text{ and } \mu_{E(3;3;1,1,1)}(A) \leq 1 \right\}$$

and

$$\Gamma_{E(3;2;1,2)} := \left\{ (x_1 = a_{11}, x_2 = \det \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} + \det \begin{pmatrix} a_{11} & a_{13} \\ a_{31} & a_{33} \end{pmatrix}, x_3 = \det A, y_1 = a_{22} + a_{33}, \right.$$

$$\left. y_2 = \det \begin{pmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{pmatrix} \right) \in \mathbb{C}^5 : A \in \mathcal{M}_{3 \times 3}(\mathbb{C}) \text{ and } \mu_{E(3;2;1,2)}(A) \leq 1 \right\}.$$

The sets  $\Gamma_{E(3;2;1,2)}$  and  $\Gamma_{E(2;2;1,1)}$  are referred to as  $\mu_{1,3}$ -*quotient* and tetrablock, respectively [4, 17]. Studying the symmetrized bidisc and the tetrablock is essential in complex analysis and operator theory. Young's investigation of the symmetrized bidisc and the tetrablock, in collaboration with several co-authors [4, 5, 6, 7, 8, 9, 10], has also been carried out through an operator-theoretic point of view. Agler and Young established normal dilation for a pair of commuting operators with the symmetrized bidisc as a spectral set [5, 6]. Various authors have investigated the properties of  $\Gamma_n$ -isometries,  $\Gamma_n$  unitaries, the Wold decomposition, and conditional dilation of  $\Gamma_n$  [19, 50]. T. Bhattacharyya studied the tetrablock isometries, tetrablock unitaries, the Wold decomposition for tetrablock, and conditional dilation for tetrablock [21]. However, whether the tetrablock and  $\Gamma_n, n > 3$ , have the property  $P$  remains unresolved.

Let

$$K = \{ \mathbf{x} = (x_1, \dots, x_7) \in \Gamma_{E(3;3;1,1,1)} : x_1 = \bar{x}_6 x_7, x_3 = \bar{x}_4 x_7, x_5 = \bar{x}_2 x_7 \text{ and } |x_7| = 1 \}$$

and

$$K_1 = \{ x = (x_1, x_2, x_3, y_1, y_2) \in \Gamma_{E(3;2;1,2)} : x_1 = \bar{y}_2 x_3, x_2 = \bar{y}_1 x_3, |x_3| = 1 \}.$$

We begin with the following definitions that will be essential for our discussion.

- Definition 1.4.** (1) If  $\Gamma_{E(3;3;1,1,1)}$  is a spectral set for  $\mathbf{T} = (T_1, \dots, T_7)$ , then the 7-tuple of commuting bounded operators  $\mathbf{T}$  defined on a Hilbert space  $\mathcal{H}$  is referred to as a  $\Gamma_{E(3;3;1,1,1)}$ -*contraction*.
- (2) Let  $(S_1, S_2, S_3)$  and  $(\tilde{S}_1, \tilde{S}_2)$  be tuples of commuting bounded operators defined on a Hilbert space  $\mathcal{H}$  with  $S_i \tilde{S}_j = \tilde{S}_j S_i$  for  $1 \leq i \leq 3$  and  $1 \leq j \leq 2$ . We say that  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  is a  $\Gamma_{E(3;2;1,2)}$ -*contraction* if  $\Gamma_{E(3;2;1,2)}$  is a spectral set for  $\mathbf{S}$ .
- (3) A commuting 7-tuple of normal operators  $\mathbf{N} = (N_1, \dots, N_7)$  defined on a Hilbert space  $\mathcal{H}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -*unitary* if the Taylor joint spectrum  $\sigma(\mathbf{N})$  is contained in the set  $K$ .
- (4) A commuting 5-tuple of normal operators  $\mathbf{M} = (M_1, M_2, M_3, \tilde{M}_1, \tilde{M}_2)$  on a Hilbert space  $\mathcal{H}$  is referred as a  $\Gamma_{E(3;2;1,2)}$ -*unitary* if the Taylor joint spectrum  $\sigma(\mathbf{M})$  is contained in  $K_1$ .

- (5) A  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry) is defined as the restriction of a  $\Gamma_{E(3;3;1,1,1)}$ -unitary (respectively,  $\Gamma_{E(3;2;1,2)}$ -unitary) to a joint invariant subspace. In other words, a  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry) is a 7-tuple (respectively, 5-tuple) of commuting bounded operators that possesses simultaneous extension to a  $\Gamma_{E(3;3;1,1,1)}$ -unitary (respectively,  $\Gamma_{E(3;2;1,2)}$ -unitary). It is important to observe that a  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry)  $\mathbf{V} = (V_1, \dots, V_7)$  (respectively,  $\mathbf{W} = (W_1, W_2, W_3, \tilde{W}_1, \tilde{W}_2)$ ) consists of commuting subnormal operators with  $V_7$  (respectively,  $W_3$ ) is an isometry.
- (6) We say that  $\mathbf{V}$  (respectively,  $\mathbf{W}$ ) is a pure  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively, pure  $\Gamma_{E(3;2;1,2)}$ -isometry) if  $V_7$  (respectively,  $W_3$ ) is a pure isometry, that is, a shift of some multiplicity.

**Definition 1.5.** (1) A  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  is said to be completely non-unitary  $\Gamma_{E(3;3;1,1,1)}$ -contraction if  $T_7$  is a completely non-unitary contraction.

(2) A  $\Gamma_{E(3;2;1,2)}$ -contraction  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  is said to be completely non-unitary  $\Gamma_{E(3;2;1,2)}$ -contraction if  $S_3$  is a completely non-unitary contraction.

We denote the unit circle by  $\mathbb{T}$ . Let  $\mathcal{E}$  be a separable Hilbert space. Let  $\mathcal{B}(\mathcal{E})$  denote the space of bounded linear operators on  $\mathcal{E}$  equipped with the operator norm. Let  $H^2(\mathcal{E})$  denote the Hardy space of analytic  $\mathcal{E}$ -valued functions defined on the unit disk  $\mathbb{D}$ . Let  $L^2(\mathcal{E})$  represent the Hilbert space of square-integrable  $\mathcal{E}$ -valued functions on the unit circle  $\mathbb{T}$ , equipped with the natural inner product. The space  $H^\infty(\mathcal{B}(\mathcal{E}))$  consists of bounded analytic  $\mathcal{B}(\mathcal{E})$ -valued functions defined on  $\mathbb{D}$ . Let  $L^\infty(\mathcal{B}(\mathcal{E}))$  denote the space of bounded measurable  $\mathcal{B}(\mathcal{E})$ -valued functions on  $\mathbb{T}$ . For  $\varphi \in L^\infty(\mathcal{B}(\mathcal{E}))$ , the Toeplitz operator associated with the symbol  $\varphi$  is denoted by  $T_\varphi$  and is defined as follows:

$$T_\varphi f = P_+(\varphi f), f \in H^2(\mathcal{E}),$$

where  $P_+ : L^2(\mathcal{E}) \rightarrow H^2(\mathcal{E})$  is the orthogonal projection. In particular,  $T_z$  is the unilateral shift operator  $M_z$  on  $H^2(\mathcal{E})$  and  $T_{\bar{z}}$  is the backward shift  $M_z^*$  on  $H^2(\mathcal{E})$ .

We recall fundamental equations and fundamental operator for  $\Gamma_{E(3;3;1,1,1)}$ -contraction (respectively,  $\Gamma_{E(3;2;1,2)}$ -contraction) from [43].

**Definition 1.6.** Let  $(T_1, \dots, T_7)$  be a 7-tuple of commuting contractions on a Hilbert space  $\mathcal{H}$ . The equations

$$T_i - T_{7-i}^* T_7 = D_{T_7} F_i D_{T_7}, \quad 1 \leq i \leq 6, \quad (1.6)$$

where  $F_i \in \mathcal{B}(\mathcal{D}_{T_7})$ , are referred to as the fundamental equations for  $(T_1, \dots, T_7)$ .

**Definition 1.7.** Let  $(S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a 5-tuple of commuting bounded operators defined on a Hilbert space  $\mathcal{H}$ . The equations

$$S_1 - \tilde{S}_2^* S_3 = D_{S_3} G_1 D_{S_3}, \quad \tilde{S}_2 - S_1^* S_3 = D_{S_3} \tilde{G}_2 D_{S_3}, \quad (1.7)$$

and

$$\frac{S_2}{2} - \frac{\tilde{S}_1^*}{2} S_3 = D_{S_3} G_2 D_{S_3}, \quad \frac{\tilde{S}_1}{2} - \frac{S_2^*}{2} S_3 = D_{S_3} \tilde{G}_1 D_{S_3}, \quad (1.8)$$

where  $G_1, 2G_2, 2\tilde{G}_1$  and  $\tilde{G}_2$  in  $\mathcal{B}(\mathcal{D}_{S_3})$ , are referred to as the fundamental equations for  $(S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$ .

In section 2, we show that for a given pure contraction  $T_7$  acting on a Hilbert space  $\mathcal{H}$ , if  $(\tilde{F}_1, \dots, \tilde{F}_6) \in \mathcal{B}(\mathcal{D}_{T_7^*})$  with  $[\tilde{F}_i, \tilde{F}_j] = 0$ ,  $[\tilde{F}_i^*, \tilde{F}_{7-i}] = [\tilde{F}_j^*, \tilde{F}_{7-i}]$ ,  $w(\tilde{F}_i^* + \tilde{F}_{7-i}z) \leq 1$  and these operators satisfy

$$(\tilde{F}_i^* + \tilde{F}_{7-i}z)\Theta_{T_7}(z) = \Theta_{T_7}(z)(F_i + F_{7-i}^*z) \text{ for all } z \in \mathbb{D}$$

for  $1 \leq i, j \leq 6$  for some  $(F_1, \dots, F_6) \in \mathcal{B}(\mathcal{D}_{T_7})$  with  $w(F_i^* + F_{7-i}z) \leq 1$  for  $1 \leq i \leq 6$ , then there exists a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $(T_1, \dots, T_7)$  such that  $F_1, \dots, F_6$  are the fundamental operators of  $(T_1, \dots, T_7)$  and  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $(T_1^*, \dots, T_7^*)$ . We also establish analogous results for pure  $\Gamma_{E(3;2;1,2)}$ -contractions. In Section 3, we present the explicit construction of a  $\Gamma_{E(3;3;1,1,1)}$ -unitary (respectively,  $\Gamma_{E(3;2;1,2)}$ -unitary) arising from a  $\Gamma_{E(3;3;1,1,1)}$ -contraction (respectively,  $\Gamma_{E(3;2;1,2)}$ -contraction). Section 4 develops functional models for  $\Gamma_{E(3;3;1,1,1)}$ - and  $\Gamma_{E(3;2;1,2)}$ -isometries. Finally, in Section 5, we study Douglas-type functional models for  $\Gamma_{E(3;3;1,1,1)}$ - and  $\Gamma_{E(3;2;1,2)}$ -contractions. In Section 6, we develop Nagy-Foias type functional models for completely non-unitary (c.n.u.)  $\Gamma_{E(3;3;1,1,1)}$ -contractions and, analogously, for c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contractions. Section 7 is dedicated to constructing the *Schaffer type model* for the corresponding  $\Gamma_{E(3;3;1,1,1)}$ -isometric and  $\Gamma_{E(3;2;1,2)}$ -isometric dilations.

## 2. ADMISSIBLE FUNDAMENTAL OPERATORS OF $\Gamma_{E(3;3;1,1,1)}$ -CONTRACTION AND $\Gamma_{E(3;2;1,2)}$ -CONTRACTION

In this section, we examine that for a given contraction  $T_7$  and  $(F_1, \dots, F_6) \in \mathcal{B}(\mathcal{D}_{T_7})$  and  $(\tilde{F}_1, \dots, \tilde{F}_6) \in \mathcal{B}(\mathcal{D}_{T_7^*})$  such that  $w(\tilde{F}_i^* + \tilde{F}_{7-i}z) \leq 1$  and  $w(F_i^* + F_{7-i}z) \leq 1$  for  $1 \leq i \leq 6$ , does there always exist a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  such that  $F_1, \dots, F_6$  are the fundamental operators of  $\mathbf{T}$  and  $\tilde{F}_1, \dots, \tilde{F}_6$  the fundamental operators of  $\mathbf{T}^*$ ? Similarly, we investigate that when  $S_3$  is a given contraction on a Hilbert space  $\mathcal{H}$  and  $(G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2) \in \mathcal{B}(\mathcal{D}_{S_3})$  and  $(\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2) \in \mathcal{B}(\mathcal{D}_{S_3^*})$  such that  $w(G_1^* + \tilde{G}_2z) \leq 1$ ,  $w(G_2^* + \tilde{G}_1z) \leq 1$  and  $w(\hat{G}_1^* + \hat{\tilde{G}}_2z) \leq 1$ ,  $w(\hat{G}_2^* + \hat{\tilde{G}}_1z) \leq 1$  then does there exist any  $\Gamma_{E(3;2;1,2)}$ -contraction  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  such that  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  are the fundamental operators of  $\mathbf{S}$  and  $\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2$  are the fundamental operators of  $\mathbf{S}^*$ ?

Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. Thus  $T_7$  is a contraction. We define  $W : \mathcal{H} \rightarrow H^2(\mathbb{D}) \otimes \mathcal{D}_{T_7^*}$  by

$$W(h) = \sum_{n \geq 0} z^n \otimes D_{T_7^*} T_7^{*n} h. \quad (2.1)$$

Since  $T_7$  is a pure isometry, one can easily deduced that  $W$  is isometry. The adjoint of  $W$  is given by

$$W^*(z^n \otimes \xi) = T_7^n D_{T_7^*} \xi \text{ for } n \in \mathbb{N} \cup \{0\}, \xi \in \mathcal{D}_{T_7^*}. \quad (2.2)$$

In the following we state an well known result for a contraction. We write it in terms of our terminologies.

**Proposition 2.1** (Proposition 2.2, [44]). *The fundamental operators  $F_i$  and  $F_{7-i}$  for  $1 \leq i \leq 6$  of  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  are the unique bounded linear operators  $X_i$  and  $X_{7-i}$  for  $1 \leq i \leq 6$  on  $\mathcal{D}_{T_7}$  that satisfy the following operator equations:*

$$D_{T_7} T_i = X_i D_{T_7} + X_{7-i}^* D_{T_7} T_7 \text{ and } D_{T_7} T_{7-i} = X_{7-i} D_{T_7} + X_i^* D_{T_7} T_7 \text{ for } 1 \leq i \leq 6. \quad (2.3)$$

We recall some results on  $\Gamma_{E(3;3;1,1,1)}$ -contraction that are crucial for the discussion of the main result of this section.

**Lemma 2.2** (Lemma 3.1, [44]). *Let  $T_7$  be a contraction. Then*

$$WW^* + M_{\Theta_{T_7}} M_{\Theta_{T_7}}^* = I_{H^2(\mathbb{D}) \otimes \mathcal{D}_{T_7^*}} \quad (2.4)$$

holds.

We only state the following result. For proof see [Theorem 2.7, [44]].

**Theorem 2.3** (Theorem 2.7, [44]). *Let  $F_i, 1 \leq i \leq 6$  be fundamental operators of a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  and  $\tilde{F}_j, 1 \leq j \leq 6$  be fundamental operators of a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ . Then*

$$(F_i^* + F_{7-i}z)\Theta_{T_7^*}(z) = \Theta_{T_7^*}(z)(\tilde{F}_i + \tilde{F}_{7-i}^*z) \text{ for } 1 \leq i \leq 6 \text{ and for all } z \in \mathbb{D}. \quad (2.5)$$

The following theorem is one of the main results of this article.

**Theorem 2.4.** *Let  $F_1, \dots, F_6$  be the fundamental operators of the  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  and  $\tilde{F}_1, \dots, \tilde{F}_6$  be the fundamental operators of the  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ . Then*

$$(\tilde{F}_i^* + \tilde{F}_{7-i}z)\Theta_{T_7}(z) = \Theta_{T_7}(z)(F_i + F_{7-i}^*z) \text{ for all } z \in \mathbb{D} \text{ and } 1 \leq i \leq 6. \quad (2.6)$$

Conversely, let  $T_7$  be a pure contraction on a Hilbert space  $\mathcal{H}$ . Let  $\tilde{F}_1, \dots, \tilde{F}_6 \in \mathcal{B}(\mathcal{D}_{T_7^*})$  such that  $w(\tilde{F}_i^* + \tilde{F}_{7-i}z) \leq 1$  for  $1 \leq i \leq 6$  that satisfy

$$[\tilde{F}_i, \tilde{F}_j] = 0 \text{ and } [\tilde{F}_i^*, \tilde{F}_{7-j}] = [\tilde{F}_j^*, \tilde{F}_{7-i}] \text{ for } 1 \leq i, j \leq 6. \quad (2.7)$$

If  $\tilde{F}_1, \dots, \tilde{F}_6$  satisfy (2.6) for some  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{D}_{T_7})$  such that  $w(F_i^* + F_{7-i}z) \leq 1$  for  $1 \leq i \leq 6$  then there exists a  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T} = (T_1, \dots, T_7)$  such that  $F_1, \dots, F_6$  be the fundamental operators of  $\mathbf{T}$  and  $\tilde{F}_1, \dots, \tilde{F}_6$  be the fundamental operators of  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ .

*Proof.* One direction can be deduced by applying Theorem 2.3 for the  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T}^*$ .

In order to prove the other direction, let  $W$  be the isometry defined in (2.1). Notice that

$$M_z^* W h = M_z^* \left( \sum_{n \geq 0} z^n D_{T_7^*} T_7^{*n} h \right) = \sum_{n \geq 0} z^n D_{T_7^*} T_7^{*n+1} h = W T_7^* h. \quad (2.8)$$

Thus  $M_z^* W = W T_7^*$ . Now we define

$$T_i = W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z} W \text{ for } 1 \leq i \leq 6. \quad (2.9)$$

As  $T_7$  is a pure contraction, we have  $(\text{Ran } W)^\perp = \text{Ran } M_{\Theta_{T_7}}$ . By (2.6) we have that  $\text{Ran } M_{\Theta_{T_7}}$  is invariant under  $M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}$ ; i.e.,  $\text{Ran } W$  co-invariant under  $M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}$ . We show that  $\mathbf{T} = (T_1, \dots, T_7)$

is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. First we show that  $T_1, \dots, T_7$  commute. Note that

$$\begin{aligned}
T_i^* T_j^* &= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W W^* M_{\tilde{F}_j^* + \tilde{F}_{7-j}z}^* W \\
&= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* M_{\tilde{F}_j^* + \tilde{F}_{7-j}z}^* W \text{ (as } W W^* \text{ is a projection onto } \text{Ran } W) \\
&= W^* M_{\tilde{F}_j^* + \tilde{F}_{7-j}z}^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W \text{ (by (2.7))} \\
&= W^* M_{\tilde{F}_j^* + \tilde{F}_{7-j}z}^* W W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W \text{ (as } W W^* \text{ is a projection onto } \text{Ran } W) \\
&= T_j^* T_i^*.
\end{aligned} \tag{2.10}$$

Thus we have  $T_i T_j = T_j T_i$  for  $1 \leq i, j \leq 6$ . To show that  $T_i$  commutes with  $T_7$  we proceed as follows:

$$\begin{aligned}
T_i^* T_7^* &= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W W^* M_z^* W \\
&= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* M_z^* W \text{ (as } W W^* \text{ is a projection onto } \text{Ran } W) \\
&= W^* M_z^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W \text{ (since } M_z \text{ commutes with } M_{\tilde{F}_j^* + \tilde{F}_{7-j}z}^*) \\
&= W^* M_z^* W W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W \text{ (as } W W^* \text{ is a projection onto } \text{Ran } W) \\
&= T_7^* T_i^*.
\end{aligned} \tag{2.11}$$

Hence  $T_i$  commutes with  $T_7$  for  $1 \leq i \leq 6$ . By (2.7) that  $(M_{\tilde{F}_1^* + \tilde{F}_6z}, \dots, M_{\tilde{F}_6^* + \tilde{F}_1z}, M_z)$  a commuting 7-tuple of operators. Then by [Theorem 4.6, [42]] it is clear that  $(M_{\tilde{F}_1^* + \tilde{F}_6z}, \dots, M_{\tilde{F}_6^* + \tilde{F}_1z}, M_z)$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. We prove that  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. It follows from (2.8) and (2.9) that for any polynomial  $p$  in 7 variables

$$p(T_1^*, \dots, T_6^*, T_7^*) = W^* p(M_{\tilde{F}_1^* + \tilde{F}_6z}^*, \dots, M_{\tilde{F}_6^* + \tilde{F}_1z}^*, M_z^*) W. \tag{2.12}$$

It yields from (2.12) that

$$\begin{aligned}
\|p(T_1^*, \dots, T_6^*, T_7^*)\| &= \|W^* p(M_{\tilde{F}_1^* + \tilde{F}_6z}^*, \dots, M_{\tilde{F}_6^* + \tilde{F}_1z}^*, M_z^*) W\| \\
&\leq \|p(M_{\tilde{F}_1^* + \tilde{F}_6z}^*, \dots, M_{\tilde{F}_6^* + \tilde{F}_1z}^*, M_z^*)\| \\
&\leq \|p\|_{\infty, \Gamma_{E(3;3;1,1,1)}}.
\end{aligned}$$

This implies that  $\mathbf{T}^*$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction and hence  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction.

Next we show that  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $\mathbf{T}^*$ . Notice that for  $1 \leq i \leq 6$

$$\begin{aligned}
T_i^* - T_{7-i} T_7^* &= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W - W^* M_{\tilde{F}_{7-i}^* + \tilde{F}_iz}^* W W^* M_z^* W \\
&= W^* M_{\tilde{F}_i^* + \tilde{F}_{7-i}z}^* W - W^* M_{\tilde{F}_{7-i}^* + \tilde{F}_iz}^* M_z^* W \text{ (as } W W^* \text{ is a projection onto } \text{Ran } W) \\
&= W^* ((I \otimes \tilde{F}_i) + (M_z^* \otimes \tilde{F}_{7-i}^*) - (M_z^* \otimes \tilde{F}_{7-i}^*) - (M_z M_z^* \otimes \tilde{F}_i)) W \\
&= W^* ((I - M_z M_z^*) \otimes \tilde{F}_i) W \\
&= W^* (\mathbb{P}_{\mathbb{C}} \otimes \tilde{F}_i) W \\
&= D_{T_7^*} \tilde{F}_i D_{T_7^*}.
\end{aligned} \tag{2.13}$$

Thus it follows that  $T_i^* - T_{7-i} T_7^* = D_{T_7^*} \tilde{F}_i D_{T_7^*}$  and hence  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $\mathbf{T}^*$ . Suppose  $X_1, \dots, X_6$  are the fundamental operators of  $\mathbf{T}$ . Then by part one of this theorem we

get

$$(\tilde{F}_i^* + \tilde{F}_{7-i}z)\Theta_{T_7}(z) = \Theta_{T_7}(z)(X_i + X_{7-i}^*z) \text{ for all } z \in \mathbb{D}, 1 \leq i \leq 6. \quad (2.14)$$

By (2.14) and (2.6) we have that

$$\Theta_{T_7}(z)(X_i + X_{7-i}^*z) = \Theta_{T_7}(z)(F_i + F_{7-i}^*z) \text{ for } 1 \leq i \leq 6. \quad (2.15)$$

As  $T_7$  is pure,  $M_{\Theta_{T_7}}$  is an isometry and hence from (2.15) we obtain  $X_i + X_{7-i}^*z = F_i + F_{7-i}^*z$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{D}$ . From here it is immediate that  $X_i = F_i$  for  $1 \leq i \leq 6$ . Therefore,  $F_1, \dots, F_6$  are the fundamental operators of  $\mathbf{T}$ . This completes the proof.  $\square$

In the subsequent part of this section, we develop an analogous result for  $\Gamma_{E(3;2;1,2)}$ -contraction. Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction. Then  $S_3$  is a contraction. We define  $\tilde{W} : \mathcal{H} \rightarrow H^2(\mathbb{D}) \otimes \mathcal{D}_{S_3^*}$  by

$$\tilde{W}(h) = \sum_{n \geq 0} z^n \otimes D_{S_3^*} S_3^{*n} h \quad (2.16)$$

As  $S_3$  is an isometry, we deduce that  $\tilde{W}$  is an isometry. The adjoint of  $\tilde{W}^*$  has the following form

$$\tilde{W}^*(z^n \otimes \eta) = S_3^n D_{S_3^*} \eta \text{ for } n \in \mathbb{N} \cup \{0\}, \eta \in \mathcal{D}_{S_3^*}. \quad (2.17)$$

**Proposition 2.5** (Proposition 2.9, [44]). *The fundamental operators of a  $\Gamma_{E(3;2;1,2)}$ -contraction  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  are the unique operators  $G_1, \tilde{G}_2, G_2$  and  $\tilde{G}_1$  defined on  $\mathcal{D}_{S_3}$  which satisfy the following operator equations*

$$\begin{aligned} D_{S_3} S_1 &= G_1 D_{S_3} + \tilde{G}_2^* D_{S_3} S_3, \quad D_{S_3} \tilde{S}_2 = \tilde{G}_2 D_{S_3} + G_1^* D_{S_3} S_3, \\ &\text{and} \end{aligned} \quad (2.18)$$

$$D_{S_3} \frac{S_2}{2} = G_2 D_{S_3} + \tilde{G}_1^* D_{S_3} S_3, \quad D_{S_3} \frac{\tilde{S}_1}{2} = \tilde{G}_1 D_{S_3} + G_2^* D_{S_3} S_3.$$

We recall some results on  $\Gamma_{E(3;2;1,2)}$ -contraction that play important roll in the remain part of this section.

**Lemma 2.6** (Lemma 3.1, [44]). *If  $S_3$  is a contraction then*

$$\tilde{W} \tilde{W}^* + M_{\Theta_{S_3}} M_{\Theta_{S_3}}^* = I_{H^2(\mathbb{D}) \otimes \mathcal{D}_{S_3^*}}. \quad (2.19)$$

We only state the following result. For proof see [Theorem 2.7, [44]].

**Theorem 2.7** (Theorem 2.14, [44]). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Suppose  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  and  $\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2$  are fundamental operators for  $\mathbf{S}$  and  $\mathbf{S}^* = (S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)$  respectively. Then for all  $z \in \mathbb{D}$*

- (1)  $(G_1^* + \tilde{G}_2 z) \Theta_{S_3^*}(z) = \Theta_{S_3^*}(z)(\hat{G}_1 + \hat{\tilde{G}}_2^* z),$
- (2)  $(G_2^* + \tilde{G}_1 z) \Theta_{S_3^*}(z) = \Theta_{S_3^*}(z)(\hat{G}_2 + \hat{\tilde{G}}_1^* z),$
- (3)  $(\tilde{G}_1^* + G_2 z) \Theta_{S_3^*}(z) = \Theta_{S_3^*}(z)(\hat{\tilde{G}}_1 + \hat{G}_2^* z),$
- (4)  $(\tilde{G}_2^* + G_1 z) \Theta_{S_3^*}(z) = \Theta_{S_3^*}(z)(\hat{\tilde{G}}_2 + \hat{G}_1^* z).$

We only state the following theorem as the proof is similar to that of Theorem 2.4.

**Theorem 2.8.** Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Suppose  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  and  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  are fundamental operators for  $\mathbf{S}$  and  $\mathbf{S}^* = (S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)$  respectively. Then for all  $z \in \mathbb{D}$

- (1)  $(\hat{G}_1^* + \hat{G}_2 z)\Theta_{S_3}(z) = \Theta_{S_3}(z)(G_1 + \tilde{G}_2^* z),$
- (2)  $(\hat{G}_2^* + \hat{G}_1 z)\Theta_{S_3}(z) = \Theta_{S_3}(z)(G_2 + \tilde{G}_1^* z),$
- (3)  $(\hat{G}_1^* + \hat{G}_2 z)\Theta_{S_3}(z) = \Theta_{S_3}(z)(\tilde{G}_1 + G_2^* z),$
- (4)  $(\hat{G}_2^* + \hat{G}_1 z)\Theta_{S_3}(z) = \Theta_{S_3}(z)(\tilde{G}_2 + G_1^* z).$

Conversely, let  $S_3$  be a pure contraction on a Hilbert space  $\mathcal{H}$ . Let  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2 \in \mathcal{B}(\mathcal{D}_{S_3^*})$  with  $w(\hat{G}_1^* + \hat{G}_2 z) \leq 1, w(\hat{G}_2^* + \hat{G}_1 z) \leq 1$  and satisfy

$$[\hat{G}_1, \hat{G}_i] = 0 \text{ for } 1 \leq i \leq 2, [\hat{G}_2, \hat{G}_j] = 0 \text{ for } 1 \leq j \leq 2, \text{ and } [\hat{G}_1, \hat{G}_2] = [\hat{G}_1, \hat{G}_2] = 0, \quad (2.20)$$

and

$$\begin{aligned} [\hat{G}_1, \hat{G}_1^*] &= [\hat{G}_2, \hat{G}_2^*], [\hat{G}_2, \hat{G}_2^*] = [\hat{G}_1, \hat{G}_1^*], [\hat{G}_1, \hat{G}_1^*] = [\hat{G}_2, \hat{G}_2^*], \\ [\hat{G}_1, \hat{G}_1^*] &= [\hat{G}_2, \hat{G}_2^*], [\hat{G}_1, \hat{G}_2^*] = [\hat{G}_1, \hat{G}_2^*], [\hat{G}_1^*, \hat{G}_2] = [\hat{G}_1^*, \hat{G}_2]. \end{aligned} \quad (2.21)$$

Suppose  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  satisfy (1), (2), (3), (4) for some  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2 \in \mathcal{B}(\mathcal{D}_{S_3})$  with  $w(G_1^* + \tilde{G}_2 z) \leq 1, w(G_2^* + \tilde{G}_1 z) \leq 1$  then there exists a  $\Gamma_{E(3;2;1,2)}$ -contraction  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  such that  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  be the fundamental operators of  $\mathbf{S}$  and  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  be the fundamental operators of  $\mathbf{S}^* = (S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)$ .

**Remark 2.9.** Note that the existence of  $T_i$ 's in Theorem 2.4 is unique. Infact, if we assume that there exists  $T_i$  and  $T_i'$  different operators for  $1 \leq i \leq 6$  such that  $F_1, \dots, F_6$  are the fundamental operators of  $\mathbf{T}$  and  $\mathbf{T}'$ ; and  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $\mathbf{T}^*$  and  $\mathbf{T}'^*$ . Then by [Theorem 3.2, [44]] we have that  $T_i$  and  $T_i'$  are both unitarily equivalent to

$$P_{\mathcal{H}_{T_7}}(I \otimes \tilde{F}_i^* + M_z \otimes \tilde{F}_{7-i})|_{\mathcal{H}_{T_7}} \text{ for } 1 \leq i \leq 6,$$

where  $\mathcal{H}_{T_7}$  is  $\text{Ran } W$  defined as above. This implies that  $T_i = T_i'$  for  $1 \leq i \leq 6$ .

Moreover, in the same way, the uniqueness of the  $S_1, S_2, \tilde{S}_1, \tilde{S}_2$  holds true for the case of  $\Gamma_{E(3;2;1,2)}$ -contraction.

### 3. CANONICAL CONSTRUCTIONS OF $\Gamma_{E(3;3;1,1,1)}$ -UNITARY AND $\Gamma_{E(3;2;1,2)}$ -UNITARY

In this section, we construct  $\Gamma_{E(3;3;1,1,1)}$ -unitary from a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. Similarly, we construct  $\Gamma_{E(3;2;1,2)}$ -unitary from a  $\Gamma_{E(3;2;1,2)}$ -contraction.

**3.1. Construction of  $\Gamma_{E(3;3;1,1,1)}$ -Unitary from a  $\Gamma_{E(3;3;1,1,1)}$ -Contraction.** Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction acting on a Hilbert space  $\mathcal{H}$ . Then  $T_7$  is a contraction. Thus, there exists a positive semi-definite operator  $Q_{T_7^*}$  such that

$$Q_{T_7^*}^2 = SOT - \lim_{n \rightarrow \infty} T_7^n T_7^{*n}. \quad (3.1)$$

We define an operator  $V_{T_7^*}^{(7)*} : \overline{\text{Ran}}Q_{T_7^*} \rightarrow \overline{\text{Ran}}Q_{T_7^*}$  densely by

$$V_{T_7^*}^{(7)*} Q_{T_7^*} h = Q_{T_7^*} T_7^* h \text{ for } h \in \mathcal{H}. \quad (3.2)$$

Notice that

$$\begin{aligned} \|V_{T_7^*}^{(7)*} Q_{T_7^*} h\|^2 &= \langle V_{T_7^*}^{(7)*} Q_{T_7^*} h, V_{T_7^*}^{(7)*} Q_{T_7^*} h \rangle \\ &= \langle Q_{T_7^*} T_7^* h, Q_{T_7^*} T_7^* h \rangle \\ &= \langle T_7 Q_{T_7^*}^2 T_7^* h, h \rangle \\ &= \lim_{n \rightarrow \infty} \langle T_7^{n+1} T_7^{*n+1} h, h \rangle \\ &= \langle Q_{T_7^*}^2 h, h \rangle \\ &= \|Q_{T_7^*} h\|^2 \text{ for all } h \in \mathcal{H}. \end{aligned} \quad (3.3)$$

Thus,  $V_{T_7^*}^{(7)*}$  is an isometry on  $\overline{\text{Ran}}Q_{T_7^*}$ . Since  $T_i$ 's are contractions, we have  $T_i T_i^* \leq I$  for  $1 \leq i \leq 6$ .

We define  $V_{T_7^*}^{(i)*} : \overline{\text{Ran}}Q_{T_7^*} \rightarrow \overline{\text{Ran}}Q_{T_7^*}$  densely by

$$V_{T_7^*}^{(i)*} Q_{T_7^*} h = Q_{T_7^*} T_i^* h \text{ for } 1 \leq i \leq 6. \quad (3.4)$$

It can be easily checked that the operators defined in (3.4) are well-defined. The commutativity of  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  readily follows from the commutativity of  $T_i$ 's and definition of  $V_{T_7^*}^{(i)*}$ .

Now, we prove that  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. Notice that because  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction then so is  $\mathbf{T}^*$ . From (3.2) and (3.4) we have

$$p(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})h = Q_{T_7^*} p(T_1^*, \dots, T_6^*, T_7^*)h \quad (3.5)$$

for any polynomial  $p$  in 7 variables and  $h \in \mathcal{H}$ . Thus it follows from (3.5) that

$$\begin{aligned} \|p(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})h\| &= \|Q_{T_7^*} p(T_1^*, \dots, T_6^*, T_7^*)h\| \\ &\leq \|p(T_1^*, \dots, T_6^*, T_7^*)h\| \\ &\leq \|p(T_1^*, \dots, T_6^*, T_7^*)\| \|h\| \\ &\leq \|p\|_{\infty, \Gamma_{E(3;3;1,1,1)}} \|h\|. \end{aligned}$$

Hence,  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. Since  $V_{T_7^*}^{(7)*}$  is isometry, it follows from [Theorem 4.4, [43]] that  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. By definition of  $\Gamma_{E(3;3;1,1,1)}$ -isometry,  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  can be extended to a  $\Gamma_{E(3;3;1,1,1)}$ -unitary  $(N_D^{(1)*}, \dots, N_D^{(6)*}, N_D^{(7)*})$  in a larger Hilbert space  $\mathcal{Q}_{T_7^*} \supseteq \overline{\text{Ran}}Q_{T_7^*}$ , where  $N_D^{(7)*}$  acting on  $\mathcal{Q}_{T_7^*}$  is a minimal unitary dilation of  $N_{T_7^*}^{(7)*}$ . Therefore,  $\mathbf{N} = (N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary on  $\mathcal{Q}_{T_7^*}$  with  $N_D^{(7)}$  is a minimal unitary dilation of  $V_{T_7^*}^{(7)}$ .

**Definition 3.1.** Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$  and  $\mathbf{N} = (N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  be the  $\Gamma_{E(3;3;1,1,1)}$ -unitary constructed from  $\mathbf{T}$ . We call  $\mathbf{N}$  the *canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitary associated to the  $\Gamma_{E(3;3;1,1,1)}$ -contraction  $\mathbf{T}$* .

We prove that the canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitary associated with a  $\Gamma_{E(3;3;1,1,1)}$ -contraction is unique up to unitary equivalence.

**Theorem 3.2.** *Let  $\mathbf{T} = (T_1, \dots, T_7)$  on a Hilbert space  $\mathcal{H}$  and  $\mathbf{T}' = (T'_1, \dots, T'_7)$  on a Hilbert space  $\mathcal{H}'$ . Let  $\mathbf{N} = (N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  and  $\mathbf{N}' = (N_D^{(1)'}, \dots, N_D^{(6)'}, N_D^{(7)'})$  be the respective canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitaries. If  $\mathbf{T}$  and  $\mathbf{T}'$  are unitarily equivalent via  $\tau$  then  $\mathbf{N}$  and  $\mathbf{N}'$  are unitarily equivalent via the map  $U_\tau : \mathcal{Q}_{T_7^*} \rightarrow \mathcal{Q}_{T_7'^*}$  defined by*

$$U_\tau(N_D^{(7)n} Q_{T_7^*} h) = N_D^{(7)'n} Q_{T_7'^*} \tau h \quad (3.6)$$

for all  $n \geq 0$  and  $h \in \mathcal{H}$ .

*Proof.* Let  $(V_{T_7^*}^{(1)*}, \dots, V_{T_7^*}^{(6)*}, V_{T_7^*}^{(7)*})$  and  $(V_{T_7'^*}^{(1)*}, \dots, V_{T_7'^*}^{(6)*}, V_{T_7'^*}^{(7)*})$  be the  $\Gamma_{E(3;3;1,1,1)}$ -isometries constructed from  $\mathbf{T}$  and  $\mathbf{T}'$  respectively as in the above construction. Suppose  $\mathcal{Q}_{T_7^*}$  and  $\mathcal{Q}_{T_7'^*}$  are the underlying Hilbert spaces of the canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitaries  $\mathbf{N}$  and  $\mathbf{N}'$  obtained from  $\mathbf{T}$  and  $\mathbf{T}'$  respectively. Since,  $T_7$  and  $T_7'$  are unitarily equivalent via  $\tau$  then it implies that  $\tau Q_{T_7^*} = Q_{T_7'^*} \tau$ . Hence,

$$\tau V_{T_7^*}^{(i)*} Q_{T_7^*} h = \tau Q_{T_7^*} T_i^* h = Q_{T_7'^*} \tau T_i^* h = Q_{T_7'^*} T_i'^* \tau h = V_{T_7'^*}^{(i)*} Q_{T_7'^*} \tau h = V_{T_7'^*}^{(i)*} \tau Q_{T_7^*} h. \quad (3.7)$$

Thus, we have  $\tau V_{T_7^*}^{(i)*} = V_{T_7'^*}^{(i)*} \tau$  for  $1 \leq i \leq 6$ . Using the fact that  $U_\tau|_{\overline{\text{Ran } Q_{T_7^*}}} = \tau$ , we get

$$U_\tau N_D^{(7)} Q_{T_7^*} h = N_D^{(7)'} Q_{T_7'^*} \tau h = N_D^{(7)'} \tau Q_{T_7^*} h = N_D^{(7)'} U_\tau Q_{T_7^*} h.$$

To prove the unitary equivalence we proceed as follows:

$$\begin{aligned} U_\tau N_D^{(i)} N_D^{(7)n} Q_{T_7^*} h &= U_\tau N_D^{(7)n} N_D^{(i)} Q_{T_7^*} h \\ &= U_\tau N_D^{(7)n+1} N_D^{(7)*} N_D^{(i)} Q_{T_7^*} h \end{aligned} \quad (3.8)$$

Since,  $\mathbf{N}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary then by [Theorem 3.2, [43]] we have  $N_D^{(7)*} N_D^{(i)} = N_D^{(7-i)*}$  and hence from (3.8) we obtain

$$U_\tau N_D^{(i)} N_D^{(7)n} Q_{T_7^*} h = N_D^{(7)'n+1} U_\tau N_D^{(7-i)*} Q_{T_7^*} h. \quad (3.9)$$

Observe that  $U_\tau|_{\overline{\text{Ran } Q_{T_7^*}}} = \tau$ ,  $N_D^{(i)}|_{\overline{\text{Ran } Q_{T_7^*}}} = V_{T_7^*}^{(i)}$  for  $1 \leq i \leq 6$ . Thus, from (3.9) we get

$$\begin{aligned} U_\tau N_D^{(i)} N_D^{(7)n} Q_{T_7^*} h &= N_D^{(7)'n+1} \tau V_{T_7^*}^{(7-i)*} Q_{T_7^*} h \\ &= N_D^{(7)'n+1} V_{T_7'^*}^{(7-i)*} Q_{T_7'^*} \tau h \text{ (by (3.7))} \\ &= N_D^{(7)'n+1} N_D^{(7-i)'} Q_{T_7'^*} \tau h \text{ (as } N_D^{(7-i)}|_{\overline{\text{Ran } Q_{T_7^*}}} = V_{T_7^*}^{(7-i)}). \end{aligned} \quad (3.10)$$

Because,  $N_D^{(i)}$  and  $N_D^{(7)}$  are commuting normal operators then from *Fuglede's Theorem* [Theorem 1, [36]], it follows that  $N_D^{(7)'n+1} N_D^{(7-i)*} = N_D^{(7-i)'} N_D^{(7)'n+1}$  for  $1 \leq i \leq 6$  and hence from (3.10) we have the following

$$U_\tau N_D^{(i)} N_D^{(7)n} Q_{T_7^*} h = N_D^{(7-i)'} N_D^{(7)'n+1} Q_{T_7'^*} \tau h. \quad (3.11)$$

Because  $\mathbf{N}'$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary then by [Theorem 3.2, [43]] we have  $N_D^{(7-i)'} N_D^{(7)} = N_D^{(i)'}*$  and hence from (3.11) it is immediate that

$$\begin{aligned} U_\tau N_D^{(i)} N_D^{(7)n} Q_{T_7^*} h &= N_D^{(i)'} N_D^{(7)'}{}^n \tau Q_{T_7^*} h \\ &= N_D^{(i)'} N_D^{(7)'}{}^n U_\tau Q_{T_7^*} h \text{ (as } U_\tau|_{\overline{\text{Ran} Q_{T_7^*}}} = \tau) \\ &= N_D^{(i)'} U_\tau N_D^{(7)n} Q_{T_7^*} h. \end{aligned} \quad (3.12)$$

As  $N_D^{(7)*}$  is the minimal unitary dilation of  $V_{T_7^*}^{(7)*}$  then  $\{N_D^{(7)n} Q_{T_7^*} h : n \geq 0, h \in \mathcal{H}\}$  is dense in  $\mathcal{Q}_{T_7^*}$  then  $N_D^{(i)}$  and  $N_D^{(i)'}$  are unitarily equivalent and therefore,  $\mathbf{N}$  is unitarily equivalent to  $\mathbf{N}'$  via the map  $U_\tau$ . This completes the proof.  $\square$

**3.2. Construction of  $\Gamma_{E(3;2;1,2)}$ -Unitary from a  $\Gamma_{E(3;2;1,2)}$ -Contraction.** Suppose that  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction defined on a Hilbert space  $\mathcal{H}$ . Then  $S_3$  is a contraction. Thus, there exists a positive semi-definite operator  $Q_{S_3^*}$  such that

$$Q_{S_3^*}^2 = SOT - \lim_{n \rightarrow \infty} S_3^n S_3^{*n}. \quad (3.13)$$

We consider an operator  $W_{S_3^*}^{(3)*} : \overline{\text{Ran} Q_{S_3^*}} \rightarrow \overline{\text{Ran} Q_{S_3^*}}$  densely defined by

$$W_{S_3^*}^{(3)*} Q_{S_3^*} h = Q_{S_3^*} S_3^* h. \quad (3.14)$$

By the similar argument given in (3.3) we have that  $W_{S_3^*}^{(3)*}$  is an isometry on  $\overline{\text{Ran} Q_{S_3^*}}$ . As  $\mathbf{S}$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction then  $S_1, \frac{S_2}{2}, \frac{\tilde{S}_1}{2}, \tilde{S}_2$  are contractions. We define  $W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*} : \overline{\text{Ran} Q_{S_3^*}} \rightarrow \overline{\text{Ran} Q_{S_3^*}}$  densely as follows:

$$W_{S_3^*}^{(i)*} Q_{S_3^*} h = Q_{S_3^*} S_i^* h \text{ and } \tilde{W}_{S_3^*}^{(j)*} Q_{S_3^*} h = Q_{S_3^*} \tilde{S}_j^* h \text{ for } 1 \leq i, j \leq 2. \quad (3.15)$$

By the commutativity of  $S_1, S_2, \tilde{S}_1$  and  $\tilde{S}_2$  it can be deduced that  $(W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, W_{S_3^*}^{(3)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*})$  is a commuting tuple of operators.

We show that  $(W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, W_{S_3^*}^{(3)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*})$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction. For any polynomial  $f$  in 5 variables and for all  $h \in \mathcal{H}$  we have

$$\begin{aligned} \|f(W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, W_{S_3^*}^{(3)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*})h\| &= \|Q_{S_3^*} f(S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)h\| \\ &\leq \|f(S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)h\| \\ &\leq \|f(S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)\| \|h\| \\ &\leq \|f\|_{\infty, \Gamma_{E(3;2;1,2)}} \|h\|. \end{aligned}$$

This implies that  $(W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, W_{S_3^*}^{(3)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*})$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction; and as  $(W_{S_3^*}^{(1)*}, W_{S_3^*}^{(2)*}, W_{S_3^*}^{(3)*}, \tilde{W}_{S_3^*}^{(1)*}, \tilde{W}_{S_3^*}^{(2)*})$  an isometry we conclude by [Theorem 3.7, [43]] that  $\mathbf{W}^*$  is a  $\Gamma_{E(3;2;1,2)}$ -isometry on  $\overline{\text{Ran} Q_{S_3^*}}$ . Therefore, by definition of  $\Gamma_{E(3;2;1,2)}$ -isometry, it can be dilated to a  $\Gamma_{E(3;2;1,2)}$ -unitary  $(M_D^{(1)*}, M_D^{(2)*}, M_D^{(3)*}, \tilde{M}_D^{(1)*}, \tilde{M}_D^{(2)*})$  in a larger Hilbert space  $\mathcal{Q}_{S_3^*}$  containing  $\overline{\text{Ran} Q_{S_3^*}}$ , where  $M_D^{(3)*}$  is operating on  $\mathcal{Q}_{S_3^*}$  is a minimal unitary dilation of  $W_{S_3^*}^{(3)*}$  and therefore  $\mathbf{M} = (M_D^{(1)}, M_D^{(2)}, M_D^{(3)}, \tilde{M}_D^{(1)}, \tilde{M}_D^{(2)})$  is a  $\Gamma_{E(3;2;1,2)}$ -unitary on  $\mathcal{Q}_{S_3^*}$ .

**Definition 3.3.** Let  $\mathbf{S} = (S_1, S_3, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  and  $\mathbf{M} = (M_D^{(1)}, M_D^{(2)}, M_D^{(3)}, \tilde{M}_D^{(1)}, \tilde{M}_D^{(2)})$  be the  $\Gamma_{E(3;2;1,2)}$ -unitary constructed from  $\mathbf{S}$ . We call  $\mathbf{M}$  the canonical  $\Gamma_{E(3;2;1,2)}$ -unitary associated to the  $\Gamma_{E(3;2;1,2)}$ -contraction  $\mathbf{S}$ .

We only state the following theorem as the proof is similar to Theorem 3.2.

**Theorem 3.4.** Let  $\mathbf{S} = (S_1, S_3, S_3, \tilde{S}_1, \tilde{S}_2)$  on a Hilbert space  $\mathcal{H}$  and  $\mathbf{S}' = (S'_1, S'_3, S'_3, \tilde{S}'_1, \tilde{S}'_2)$  on a Hilbert space  $\mathcal{H}'$ . Let  $\mathbf{M} = (M_D^{(1)}, M_D^{(2)}, M_D^{(3)}, \tilde{M}_D^{(1)}, \tilde{M}_D^{(2)})$  and  $\mathbf{M}' = (M_D^{(1)'}, M_D^{(2)'}, M_D^{(3)'}, \tilde{M}_D^{(1)'}, \tilde{M}_D^{(2)'})$  be the respective canonical  $\Gamma_{E(3;2;1,2)}$ -unitaries. If  $\mathbf{S}$  and  $\mathbf{S}'$  are unitarily equivalent via the map  $\sigma$  then  $\mathbf{M}$  and  $\mathbf{M}'$  are unitarily equivalent via the map  $U_\sigma : \mathcal{Q}_{S_3^*} \rightarrow \mathcal{Q}_{S_3'^*}$  defined by

$$U_\sigma(M_D^{(3)n} Q_{S_3^*} h) = M_D^{(3)'}{}^n Q_{S_3'^*} \sigma h \quad (3.16)$$

for all  $n \geq 0$  and  $h \in \mathcal{H}$ .

#### 4. MODELS FOR $\Gamma_{E(3;3;1,1,1)}$ -ISOMETRY AND $\Gamma_{E(3;2;1,2)}$ -ISOMETRY

In this section, we develop models for  $\Gamma_{E(3;3;1,1,1)}$ -isometry and  $\Gamma_{E(3;2;1,2)}$ -isometry. Let  $T$  be an isometry on a Hilbert space  $\mathcal{H}$ , then by *von Neumann-Wold decomposition* we have that there exists Hilbert spaces  $\mathcal{E}, \mathcal{F}$  and a unitary  $U : \mathcal{H} \rightarrow \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  such that

$$UTU^* = \begin{pmatrix} M_z & 0 \\ 0 & N_D \end{pmatrix} : \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \rightarrow \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \quad (4.1)$$

where  $M_z$  is the unilateral shift on  $H^2(\mathcal{E})$  and  $N_D$  is a unitary acting on  $\mathcal{F}$ . We show that a 7-tuple (respectively, 5-tuple) of commuting bounded operators  $\mathbf{T} = (T_1, \dots, T_7)$  (respectively,  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$ ) is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry) if and only if it possesses von Neumann-Wold decomposition. We first see some examples of  $\Gamma_{E(3;3;1,1,1)}$ -isometry and  $\Gamma_{E(3;2;1,2)}$ -isometry.

**Example 1.** Let  $\mathcal{E}$  be a Hilbert space and  $H^2(\mathcal{E})$  be the Hardy space of  $\mathcal{E}$ -valued functions and  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{E})$  that satisfy the conditions

$$[F_i, F_j] = 0 \text{ and } [F_i^*, F_{7-j}] = [F_j^*, F_{7-i}] \quad (4.2)$$

and

$$\|F_i^* + F_{7-i}z\|_{\infty, \mathbb{T}} \leq 1 \quad (4.3)$$

for  $1 \leq i, j \leq 6$ . Consider the operators

$$T_i = M_{F_i^* + F_{7-i}z} \text{ for } 1 \leq i \leq 6 \text{ and } T_7 = M_z \text{ on } H^2(\mathcal{E}).$$

Then  $T_7$  commutes with  $T_i$ . Again it follows from (4.2) that  $T_i T_j = T_j T_i$  for  $1 \leq i, j \leq 6$ . Notice that

$$\begin{aligned} T_{7-i}^* T_7 &= M_{F_{7-i}^* + F_i z}^* M_z \\ &= (I_{H^2} \otimes F_{7-i} + M_z^* \otimes F_i^*)(M_z \otimes I_{\mathcal{E}}) \\ &= M_z \otimes F_{7-i} + I_{H^2} \otimes F_i^* \\ &= M_{F_i^* + F_{7-i} z} \\ &= T_i. \end{aligned}$$

Thus,  $T_i = T_{7-i}^* T_7$  for  $1 \leq i \leq 6$ . From (4.3) we have  $M_{F_i^* + F_{7-i} z}$  are contractions for  $1 \leq i \leq 6$ . Therefore, by [Theorem 4.4, [43]] we have  $(M_{F_1^* + F_6 z}, \dots, M_{F_6^* + F_1 z}, M_z)$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry on  $H^2(\mathcal{E})$ . Also by [Theorem 4.6, [43]], any pure  $\Gamma_{E(3;3;1,1,1)}$ -isometry is unitarily equivalent to a  $\Gamma_{E(3;3;1,1,1)}$ -isometry of this form.

**Example 2.** Let  $\mathcal{E}$  and  $H^2(\mathcal{E})$  are as in Example 1. Take  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2 \in \mathcal{B}(\mathcal{E})$  such that

$$[G_1, \tilde{G}_i] = 0 \text{ for } 1 \leq i \leq 2, [G_2, \tilde{G}_j] = 0 \text{ for } 1 \leq j \leq 2, \text{ and } [G_1, G_2] = [\tilde{G}_1, \tilde{G}_2] = 0, \quad (4.4)$$

and

$$\begin{aligned} [G_1, G_1^*] &= [\tilde{G}_2, \tilde{G}_2^*], [G_2, G_2^*] = [\tilde{G}_1, \tilde{G}_1^*], [G_1, \tilde{G}_1^*] = [G_2, \tilde{G}_2^*], \\ [\tilde{G}_1, G_1^*] &= [\tilde{G}_2, G_2^*], [G_1, G_2^*] = [\tilde{G}_1, \tilde{G}_2^*], [G_1^*, G_2] = [\tilde{G}_1^*, \tilde{G}_2]. \end{aligned} \quad (4.5)$$

Suppose  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  satisfy

$$\|G_1^* + \tilde{G}_2 z\|_{\infty, \mathbb{T}} \leq 1 \text{ and } \|G_2^* + \tilde{G}_1 z\|_{\infty, \mathbb{T}} \leq 1. \quad (4.6)$$

Let us consider the following operators:

$$S_1 = M_{G_1^* + \tilde{G}_2 z}, S_2 = M_{2G_2^* + 2\tilde{G}_1 z}, S_3 = M_z, \tilde{S}_1 = M_{2\tilde{G}_1^* + 2G_2 z}, \tilde{S}_2 = M_{\tilde{G}_2^* + G_1 z} \text{ on } H^2(\mathcal{E}).$$

One can easily verify that  $S_1 = \tilde{S}_2^* S_3$  and  $S_2 = \tilde{S}_1^* S_3$ . It yields from (4.6) that  $\|S_1\| \leq 1, \|S_2\| \leq 2, \|\tilde{S}_1\| \leq 2$  and  $\|\tilde{S}_2\| \leq 1$ . Therefore, by [Theorem 4.5, [43]],  $(S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  is a  $\Gamma_{E(3;2;1,2)}$ -isometry and by [Theorem 4.7, [43]] we have that any pure  $\Gamma_{E(3;2;1,2)}$ -isometry is unitarily equivalent to a  $\Gamma_{E(3;2;1,2)}$ -isometry of this form.

Proof of the following lemma is straight forward and it can be found in [48]. We thus omit the proof.

**Lemma 4.1.** Let  $V$  be a unitary operator on  $\mathcal{H}_2$  and  $T$  be an operator on  $\mathcal{H}_1$  such that  $T^{*n} \rightarrow 0$  in the strong operator topology as  $n \rightarrow \infty$ . If  $X$  is a bounded operator from  $\mathcal{H}_2$  to  $\mathcal{H}_1$  such that  $XV = TX$  then  $X = 0$ .

Now we will proceed for the main results of this section.

**Theorem 4.2** (Model for  $\Gamma_{E(3;3;1,1,1)}$ -Isometry). Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a commuting 7-tuple of bounded operators on a Hilbert space  $\mathcal{H}$ . Then  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry if and only if there exists

Hilbert spaces  $\mathcal{E}, \mathcal{F}$  such that  $\mathcal{H}$  is isomorphic to  $\begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  and with respect to the same unitary,  $\mathbf{T}$  is unitarily equivalent to

$$\left( \begin{pmatrix} M_{F_1^*+F_6z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) \quad (4.7)$$

acting on  $\begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  for some  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{E})$  satisfying (4.2) and (4.3) and  $(N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary acting on  $\mathcal{F}$ .

*Proof.* The sufficiency part is immediate from Example 1.

In order to prove the other direction, let  $\mathbf{T}$  be a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. Thus by [Theorem 4.4, [43]],  $T_7$  is an isometry. Then by von Neumann-Wold decomposition of  $T_7$ , there exists Hilbert spaces  $\mathcal{E}, \mathcal{F}$  and a unitary  $U : \mathcal{H} \rightarrow \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  such that

$$UT_7U^* = \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} : \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \rightarrow \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$$

for some unitary  $N_D^{(7)}$  acting on  $\mathcal{F}$ . Assume that

$$UT_iU^* = \begin{pmatrix} A_{11}^{(i)} & A_{12}^{(i)} \\ A_{21}^{(i)} & A_{22}^{(i)} \end{pmatrix} : \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \rightarrow \begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \text{ for } 1 \leq i \leq 6.$$

Since  $T_i$  commutes with  $T_7$  then  $UT_iU^*$  commutes with  $UT_7U^*$  as well. Thus, we have the following:

$$A_{11}^{(i)}M_z = M_zA_{11}^{(i)}, A_{12}^{(i)}N_D^{(7)} = M_zA_{12}^{(i)}, A_{21}^{(i)}M_z = N_D^{(7)}A_{21}^{(i)}, A_{22}^{(i)}N_D^{(7)} = N_D^{(7)}A_{22}^{(i)} \text{ for } 1 \leq i \leq 6. \quad (4.8)$$

It now follows from Lemma 4.1 that  $A_{21}^{(i)} = 0$  for  $1 \leq i \leq 6$ . As  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry then by [Theorem 4.4, [43]] we have  $T_i = T_{7-i}^*T_7$  for  $1 \leq i \leq 6$ . Observe that

$$\begin{aligned} \begin{pmatrix} A_{11}^{(i)} & A_{12}^{(i)} \\ 0 & A_{22}^{(i)} \end{pmatrix} &= T_i = T_{7-i}^*T_7 \\ &= \begin{pmatrix} A_{11}^{(7-i)*} & 0 \\ A_{12}^{(7-i)*} & A_{22}^{(7-i)*} \end{pmatrix} \begin{pmatrix} M_z & 0 \\ 0 & N_D \end{pmatrix} \\ &= \begin{pmatrix} A_{11}^{(7-i)*}M_z & 0 \\ A_{12}^{(7-i)*}M_z & A_{22}^{(7-i)*}N_D \end{pmatrix}. \end{aligned} \quad (4.9)$$

From (4.9) we see that  $A_{12}^{(i)} = 0$  for  $1 \leq i \leq 6$ . Since  $A_{11}^{(i)}$  commutes with  $M_z$  then there exists  $\Phi_i \in H^\infty(\mathcal{E})$  such that  $X_i = M_{\Phi_i}$ . This implies that  $M_{\Phi_i} = M_{\Phi_{7-i}}^*M_z$  and hence we obtain from here that  $\Phi_i(z) = z\Phi_{7-i}^*(z)$  for all  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ . Let the power series expansion of  $\Psi_i$  be

$$\Phi_i(z) = \sum_{n \geq 0} C_n^{(i)} z^n \text{ for } 1 \leq i \leq 6 \text{ and } z \in \mathbb{T}.$$

Then from  $\Phi_i(z) = z\Phi_{7-i}^*(z)$  we obtain the following:

$$C_0^{(i)} + C_1^{(i)}z + \sum_{n \geq 2} C_n^{(i)}z^n = z \sum_{n \geq 0} C_n^{(7-i)^*} \bar{z}^n = C_0^{(7-i)^*}z + C_1^{(7-i)^*} + \sum_{n \geq 2} C_n^{(7-i)^*} \bar{z}^{n-1} \quad (4.10)$$

for all  $z \in \mathbb{T}$ . Thus comparing the coefficients of  $z^n, \bar{z}^n$  for  $n \geq 2$  and the constant terms we obtain

$$C_0^{(i)} = C_1^{(7-i)}, C_1^{(i)} = C_0^{(7-i)}. \quad (4.11)$$

It follows from here that  $\Phi_i$ 's are of the form

$$\Phi_i(z) = F_i^* + F_{7-i}z \quad (4.12)$$

for some  $F_i \in \mathcal{B}(\mathcal{D}_{T_7})$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ .

Therefore, it follows from (4.12) that  $UT_i U^*$  are of the form

$$UT_i U^* = \begin{pmatrix} M_{F_i^* + F_{7-i}z} & 0 \\ 0 & N_D^{(i)} \end{pmatrix} \text{ for } 1 \leq i \leq 6$$

Hence, by [Theorem 4.6, [43]],  $(M_{F_1^* + F_6z}, \dots, M_{F_6^* + F_1z}, M_z)$  is a pure  $\Gamma_{E(3;3;1,1,1)}$ -isometry. On the other hand,  $(N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction with  $N_D^{(7)}$  unitary on  $\mathcal{F}$ . Therefore, by [Theorem 3.2, [43]],  $(N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary acting on  $\mathcal{F}$ . This completes the proof.  $\square$

The following result is analogous for  $\Gamma_{E(3;2;1,2)}$ -isometry. We only state the theorem as the proof is similar to that of Theorem 4.2.

**Theorem 4.3** (Model for  $\Gamma_{E(3;2;1,2)}$ -Isometry). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a commuting 5-tuple of bounded operators on a Hilbert space  $\mathcal{H}$ . Then  $\mathbf{S}$  is a  $\Gamma_{E(3;2;1,2)}$ -isometry if and only if there exists Hilbert spaces  $\mathcal{E}, \mathcal{F}$  such that  $\mathcal{H}$  is isomorphic to  $\begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  and with respect to the same unitary,  $\mathbf{S}$  is unitarily equivalent to*

$$\begin{pmatrix} \begin{pmatrix} M_{G_1^* + \tilde{G}_2z} & 0 \\ 0 & M_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{2G_2^* + 2\tilde{G}_1z} & 0 \\ 0 & M_D^{(2)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_D^{(3)} \end{pmatrix}, \\ \begin{pmatrix} M_{2\tilde{G}_1^* + 2G_2z} & 0 \\ 0 & \tilde{M}_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{\tilde{G}_2^* + G_1z} & 0 \\ 0 & \tilde{M}_D^{(2)} \end{pmatrix} \end{pmatrix} \quad (4.13)$$

acting on  $\begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  for some bounded operators  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2$  defined on  $\mathcal{E}$  satisfying (4.4), (4.5), (4.6) and  $(M_D^{(1)}, M_D^{(2)}, M_D^{(3)}, \tilde{M}_D^{(1)}, \tilde{M}_D^{(2)})$  is a  $\Gamma_{E(3;2;1,2)}$ -unitary acting on  $\mathcal{F}$ .

In one variable case, any isometry can always be extended to a unitary. The following results present an analogous version for  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry). Those results indicate that any  $\Gamma_{E(3;3;1,1,1)}$ -isometry (respectively,  $\Gamma_{E(3;2;1,2)}$ -isometry) can be extended to a  $\Gamma_{E(3;3;1,1,1)}$ -unitary (respectively,  $\Gamma_{E(3;2;1,2)}$ -unitary).

**Corollary 4.4.** *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a commuting 7-tuple of bounded operators on a Hilbert space  $\mathcal{H}$ . Then  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry if and only if it can be extended to a  $\Gamma_{E(3;3;1,1,1)}$ -unitary acting on a Hilbert space of minimal unitary dilation of the isometry  $T_7$ .*

*Proof.* Let  $\mathbf{T}$  can be extended to a  $\Gamma_{E(3;3;1,1,1)}$ -unitary acting on Hilbert space of minimal unitary dilation of the isometry  $T_7$ . Then it is clear that  $\mathbf{T}$  is the restriction of a  $\Gamma_{E(3;3;1,1,1)}$ -unitary to a joint invariant subspace. Therefore  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry.

Conversely, let  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. Without loss of generality, let us consider  $\mathbf{T}$  be

$$\left( \begin{pmatrix} M_{F_1^*+F_6z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right)$$

acting on  $\begin{pmatrix} H^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$  for some  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{E})$  satisfying (4.2) and (4.3) and  $(N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary acting on  $\mathcal{F}$ . We consider  $H^2(\mathcal{E})$  as a closed subspace of  $L^2(\mathcal{E})$ . Then 7-tuple of operators

$$\left( \begin{pmatrix} M_{F_1^*+F_6\omega} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1\omega} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_\omega & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) : \begin{pmatrix} L^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix} \rightarrow \begin{pmatrix} L^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}. \quad (4.14)$$

is an extension of  $\mathbf{T}$ . Observe that  $\begin{pmatrix} M_\omega & 0 \\ 0 & N_D^{(7)} \end{pmatrix}$  is a minimal unitary dilation of  $\begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix}$ . Also note that since  $(M_{F_1^*+F_6z}, \dots, M_{F_6^*+F_1z}, M_z)$  is commutative then

$$M_{F_i^*+F_{7-i}z}M_{F_j^*+F_{7-j}z} = M_{F_j^*+F_{7-j}z}M_{F_i^*+F_{7-i}z} \quad (4.15)$$

for all  $z \in \mathbb{T}$  and  $1 \leq i, j \leq 6$ . By (4.15) we get

$$(F_i^* + F_{7-i}z)(F_j^* + F_{7-j}z) = (F_j^* + F_{7-j}z)(F_i^* + F_{7-i}z) \quad (4.16)$$

for all  $z \in \mathbb{T}$  and  $1 \leq i, j \leq 6$ . It is immediate from (4.16) that

$$(F_i^* + F_{7-i}\omega)(F_j^* + F_{7-j}\omega) = (F_j^* + F_{7-j}\omega)(F_i^* + F_{7-i}\omega) \quad (4.17)$$

for all  $\omega \in \mathbb{T}$  and  $1 \leq i, j \leq 6$ . Thus it follows from (4.17) that

$$\left( \begin{pmatrix} M_{F_1^*+F_6\omega} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1\omega} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_\omega & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right)$$

is commutative. Furthermore, the extensions  $M_{F_i^*+F_{7-i}\omega}$  acting on  $L^2(\mathcal{E})$  of the operators  $M_{F_i^*+F_{7-i}z}$  on  $H^2(\mathcal{E})$  is norm-preserving. Thus whenever the operator norm of  $M_{F_i^*+F_{7-i}z}$  does not exceed one, then the operator norm of  $M_{F_i^*+F_{7-i}\omega}$  does not exceed one. On the other hand, it can also be deduced that

$$M_{F_i^*+F_{7-i}\omega} = M_{F_{7-i}^*+F_i\omega}^* M_\omega$$

for all  $\omega \in \mathbb{T}$  and  $1 \leq i \leq 6$ . Therefore, by [Theorem 4.4, [43]],

$$\left( \begin{pmatrix} M_{F_1^*+F_6\omega} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1\omega} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_\omega & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) \quad (4.18)$$

is the required  $\Gamma_{E(3;3;1,1,1)}$ -unitary on  $\begin{pmatrix} L^2(\mathcal{E}) \\ \mathcal{F} \end{pmatrix}$ . This completes the proof.  $\square$

We only state the following result, as its proof is exactly similar to that of Corollary 4.4.

**Corollary 4.5.** *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a commuting 5-tuple of bounded operators on a Hilbert space  $\mathcal{H}$ . Then  $\mathbf{S}$  is a  $\Gamma_{E(3;2;1,2)}$ -isometry if and only if it can be extended to a  $\Gamma_{E(3;2;1,2)}$ -unitary acting on a Hilbert space of minimal unitary dilation of the isometry  $S_3$ .*

## 5. DOUGLAS TYPE FUNCTIONAL MODEL FOR $\Gamma_{E(3;3;1,1,1)}$ -CONTRACTION AND $\Gamma_{E(3;2;1,2)}$ -CONTRACTION

The classical *Douglas model* for a contraction  $T$  acting on a Hilbert space  $\mathcal{H}$  can be found in [28]. In this section, we develop Douglas type functional model for  $\Gamma_{E(3;3;1,1,1)}$ -contraction and  $\Gamma_{E(3;2;1,2)}$ -contraction.

Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on Hilbert space  $\mathcal{H}$  with  $F_1, \dots, F_6$  be the fundamental operators for  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ . Let  $\mathbf{N} = (N_D^{(1)}, \dots, N_D^{(6)}, N_D^{(7)})$  defined on  $\mathcal{Q}_{T_7^*}$  be the canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitary associated with  $\mathbf{T}$ . Define  $\Pi_D^{\mathbf{T}} = \begin{pmatrix} \mathcal{O}_{D_{T_7^*}, T_7^*} \\ Q_{T_7^*} \end{pmatrix}$  where  $\mathcal{O}_{D_{T_7^*}, T_7^*}(z) = \sum_{n \geq 0} z^n D_{T_7^*} T_7^{*n}$ . Notice that

$$\begin{aligned} \|\Pi_D^{\mathbf{T}} h\|^2 &= \|\mathcal{O}_{D_{T_7^*}, T_7^*}(z)h\|_{H^2(\mathcal{D}_{T_7^*})}^2 + \|Q_{T_7^*} h\|^2 \\ &= \sum_{n \geq 0} \|D_{T_7^*} T_7^{*n} h\|^2 + \lim_{n \rightarrow \infty} \|T_7^{*n} h\|^2 \\ &= \lim_{n \rightarrow \infty} \sum_{k=1}^n (\|T_7^{*k-1} h\|^2 - \|T_7^{*k} h\|^2) + \lim_{n \rightarrow \infty} \|T_7^{*n} h\|^2 \\ &= \|h\|. \end{aligned} \tag{5.1}$$

This implies that  $\Pi_D^{\mathbf{T}}$  is an isometry and hence  $\Pi_D^{\mathbf{T}}$  is an isometric embedding of  $\mathcal{H}$  into  $\begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}$ .

We show that

$$P_{\mathcal{H}_D^{\mathbf{T}}} \left( \begin{pmatrix} M_{F_1^* + F_6 z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^* + F_1 z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) \Big|_{\mathcal{H}_D^{\mathbf{T}}} \tag{5.2}$$

is a functional model for  $\mathbf{T}$  with  $\mathcal{H}_D^{\mathbf{T}} := \text{Ran } \Pi_D^{\mathbf{T}} \subset \begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}$  is the corresponding model space, where  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $\mathbf{T}^*$ .

**Theorem 5.1** (Douglas Model for  $\Gamma_{E(3;3;1,1,1)}$ -Contraction). *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$  with  $\tilde{F}_1, \dots, \tilde{F}_6$  be the fundamental operators of  $\mathbf{T}^*$ . Suppose  $(N_D^{(1)*}, \dots, N_D^{(6)*}, N_D^{(7)*})$  be the canonical  $\Gamma_{E(3;3;1,1,1)}$ -unitary associated to  $\mathbf{T}$  and  $\Pi_D^{\mathbf{T}} = \begin{pmatrix} \mathcal{O}_{D_{T_7^*}, T_7^*} \\ Q_{T_7^*} \end{pmatrix}$*

be the Douglas isometric embedding of  $\mathcal{H}$  into  $\begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}$ . Then  $\mathbf{T}$  is unitarily equivalent to

$$P_{\mathcal{H}_D^T} \left( \begin{pmatrix} M_{\tilde{F}_1^* + \tilde{F}_6 z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{\tilde{F}_6^* + \tilde{F}_1 z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) \Big|_{\mathcal{H}_D^T} \quad (5.3)$$

( $\tilde{F}_1, \dots, \tilde{F}_6$  satisfy (4.2) when (5.3) is commutative) where  $\mathcal{H}_D^T$  is the functional model space of  $\mathbf{T}$  given by

$$\mathcal{H}_D^T := \text{Ran } \Pi_D^T \subset \begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}. \quad (5.4)$$

*Proof.* Notice that  $\Pi_D^T : \mathcal{H} \rightarrow \text{Ran } \Pi_D^T$  is unitary. In order to prove  $\mathbf{T}$  is unitarily equivalent to (5.3), it is enough to establish

$$\Pi_D^T(T_1^*, \dots, T_7^*) = \left( \begin{pmatrix} M_{\tilde{F}_1^* + \tilde{F}_6 z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}^*, \dots, \begin{pmatrix} M_{\tilde{F}_6^* + \tilde{F}_1 z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}^*, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix}^* \right) \Pi_D^T, \quad (5.5)$$

which is equivalent to the following:

$$\mathcal{O}_{D_{T_7^*}, T_7^*}(z)(T_1^*, \dots, T_6^*, T_7^*) = (M_{\tilde{F}_1^* + \tilde{F}_6 z}^*, \dots, M_{\tilde{F}_6^* + \tilde{F}_1 z}^*, M_z^*) \mathcal{O}_{D_{T_7^*}, T_7^*}(z), \quad (5.6)$$

and

$$Q_{T_7^*}(T_1^*, \dots, T_6^*, T_7^*) = (N_D^{(1)*}, \dots, N_D^{(6)*}, N_D^{(7)*}) Q_{T_7^*}. \quad (5.7)$$

By (3.4) of the canonical construction of  $\Gamma_{E(3;3;1,1,1)}$ -unitary it is immediate that (5.7) holds. Thus, we only show (5.6). Since,  $(T_1^*, \dots, T_7^*)$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction then by applying Proposition 2.1 to  $\mathbf{T}^*$  we have

$$D_{T_7^*} T_i^* = \tilde{F}_i D_{T_7^*} + \tilde{F}_{7-i}^* D_{T_7^*} T_7^* \text{ for } 1 \leq i \leq 6, \quad (5.8)$$

where  $\tilde{F}_1, \dots, \tilde{F}_6$  defined on  $\mathcal{D}_{T_7^*}$  are the fundamental operators of  $\mathbf{T}^*$ . Since,  $T_7^*$  is contraction then  $(I - zT_7^*)$  is invertible and thus multiplying  $(I - zT_7^*)^{-1}$  both side of (5.8) we have

$$D_{T_7^*} T_i^* (I - zT_7^*)^{-1} = (\tilde{F}_i D_{T_7^*} + \tilde{F}_{7-i}^* D_{T_7^*} T_7^*) (I - zT_7^*)^{-1} \text{ for } 1 \leq i \leq 6. \quad (5.9)$$

As  $T_i$  commutes with  $T_7$  then from (5.9) we get

$$D_{T_7^*} (I - zT_7^*)^{-1} T_i^* = \tilde{F}_i D_{T_7^*} (I - zT_7^*)^{-1} + \tilde{F}_{7-i}^* D_{T_7^*} (I - zT_7^*)^{-1} T_7^*. \quad (5.10)$$

By routine computation one can obtain that (5.10) is equivalent to (5.6). This completes the proof.  $\square$

It is important to observe that

$$\left( \begin{pmatrix} M_{\tilde{F}_1^* + \tilde{F}_6 z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{\tilde{F}_6^* + \tilde{F}_1 z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right)$$

with  $\tilde{F}_1, \dots, \tilde{F}_6$  are the fundamental operators of  $\mathbf{T}^*$ , is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. In the next theorem we prove that if a  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction with  $T_7$  has a minimal isometric dilation  $V_7$  on a larger Hilbert space  $\mathcal{K}$  containing  $\mathcal{H}$  then  $\mathbf{T}$  has unique isometric lift to  $\mathcal{K}$ .

**Theorem 5.2.** *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$  with  $\tilde{F}_1, \dots, \tilde{F}_6$  be the fundamental operators for  $\mathbf{T}^*$  and  $V_7$  be the minimal isometric dilation of  $T_7$  on a larger Hilbert space  $\mathcal{K}$  containing  $\mathcal{H}$ . Then there exists unique operators  $V_1, \dots, V_6$  acting on  $\mathcal{K}$  such that  $\mathbf{V} = (V_1, \dots, V_7)$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometric dilation of  $\mathbf{T}$  provided  $F_1, \dots, F_6$  satisfies (4.2).*

*Proof.* It is clear from Theorem 5.1 that

$$\left( V_1 = \begin{pmatrix} M_{\tilde{F}_1^* + \tilde{F}_6 z} & 0 \\ 0 & N_D^{(1)} \end{pmatrix}, \dots, V_6 = \begin{pmatrix} M_{\tilde{F}_6^* + \tilde{F}_1 z} & 0 \\ 0 & N_D^{(6)} \end{pmatrix}, V_7 = \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right)$$

is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. This proves the existence of  $\Gamma_{E(3;3;1,1,1)}$ -isometric dilation of  $\mathbf{T}$ .

To prove the uniqueness, let  $\Pi_D^{\mathbf{T}} = \begin{pmatrix} \mathcal{O}_{D_{T_7^*}, T_7^*} \\ Q_{T_7^*} \end{pmatrix}$  be the Douglas isometric embedding and  $\begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}$

be the model space for minimal isometric lift of  $T_7$  with  $V_D^{(7)} = \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix}$  be the minimal isometric dilation of  $T_7$ . Suppose  $V_i$ 's are unitarily equivalent to

$$V_D^{(i)} = \begin{pmatrix} V_{11}^{(i)} & V_{12}^{(i)} \\ V_{21}^{(i)} & V_{22}^{(i)} \end{pmatrix} \text{ for } 1 \leq i \leq 6. \quad (5.11)$$

with respect to the embedding  $\Pi_D$ . Thus,

$$\mathbf{V}_D = \left( \begin{pmatrix} V_{11}^{(1)} & V_{12}^{(1)} \\ V_{21}^{(1)} & V_{22}^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} V_{11}^{(6)} & V_{12}^{(6)} \\ V_{21}^{(6)} & V_{22}^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_D^{(7)} \end{pmatrix} \right) \quad (5.12)$$

is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry. Then by proceeding similarly as (4.8) and (4.9) we obtain

$$V_D^{(i)} = \begin{pmatrix} M_{X_i^* + X_{7-i}z} & 0 \\ 0 & V_{22}^{(i)} \end{pmatrix} \text{ for } 1 \leq i \leq 6 \quad (5.13)$$

for some  $X_i \in \mathcal{B}(\mathcal{D}_{T_7^*})$  such that  $X_i^* + X_{7-i}z$  is a contraction for all  $z \in \mathbb{D}$ . Since  $\mathbf{V}_D$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometric lift of  $\mathbf{T}$  then  $V_D^{(i)}$ 's satisfy the following:

$$\begin{pmatrix} M_{X_i^* + X_{7-i}z} & 0 \\ 0 & V_{22}^{(i)*} \end{pmatrix} \begin{pmatrix} \mathcal{O}_{D_{T_7^*}, T_7^*} \\ Q_{T_7^*} \end{pmatrix} = \begin{pmatrix} \mathcal{O}_{D_{T_7^*}, T_7^*} \\ Q_{T_7^*} \end{pmatrix} T_i^* \text{ for } 1 \leq i \leq 6. \quad (5.14)$$

Note that (5.14) can be split into

$$M_{X_i^* + X_{7-i}z} \mathcal{O}_{D_{T_7^*}, T_7^*} = \mathcal{O}_{D_{T_7^*}, T_7^*} T_i^* \quad (5.15)$$

and

$$V_{22}^{(i)*} Q_{T_7^*} = Q_{T_7^*} T_i^* \quad (5.16)$$

for  $1 \leq i \leq 6$ . We show that  $V_{22}^{(i)} = N_D^{(i)}$  and  $X_i = F_i$  for  $1 \leq i \leq 6$ . Observe that  $V_{22}^{(i)} N_D^{(7)} = N_D^{(7)} V_{22}^{(i)}$  and as  $N_D^{(7)}$  is unitary then from (5.16) we get

$$\begin{aligned} V_{22}^{(i)*} (N_D^{(7)n} Q_{T_7^*} h) &= N_D^{(7)n} V_{22}^{(i)*} Q_{T_7^*} h \\ &= N_D^{(7)n} Q_{T_7^*} T_i^* h \\ &= N_D^{(7)n} N_D^{(i)*} Q_{T_7^*} T_i^* h \\ &= N_D^{(i)*} (N_D^{(7)n} Q_{T_7^*} T_i^* h). \end{aligned} \tag{5.17}$$

Since,  $\{N_D^{(7)n} Q_{T_7^*} h : n \geq 0, h \in \mathcal{H}\}$  is dense in  $\mathcal{Q}_{T_7^*}$  then it follows that  $V_{22}^{(i)*} = N_D^{(i)*}$  for  $1 \leq i \leq 6$ .

Observe that (5.15) can be written by expanding the power series of  $\mathcal{O}_{D_{T_7^*}, T_7^*}$  as

$$X_i D_{T_7^*} + X_{7-i}^* D_{T_7^*} T_7^* = D_{T_7^*} T_i^* \text{ for } 1 \leq i \leq 6. \tag{5.18}$$

By [Theorem 2.7, [44]] it follows that  $X_1, \dots, X_6$  are the fundamental operators of  $\mathbf{T}^*$ ; that is  $X_i = \tilde{F}_i$  for  $1 \leq i \leq 6$ . This proves the uniqueness of  $V_1, \dots, V_6$ . Hence, the proof is done.  $\square$

Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  with  $\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2$  be the fundamental operators of  $\mathbf{S}^*$ . Suppose  $\mathbf{M} = (M_D^{(1)}, M_D^{(2)}, M_D^{(3)}, \tilde{M}_D^{(1)}, \tilde{M}_D^{(2)})$  defined on  $\mathcal{Q}_{S_3^*}$  be the canonical  $\Gamma_{E(3;2;1,2)}$ -unitary associated to  $\mathbf{S}$ . We define  $\Pi_D^{\mathbf{S}} = \begin{pmatrix} \mathcal{O}_{D_{S_3^*}, S_3^*} \\ Q_{S_3^*} \end{pmatrix}$  where  $\mathcal{O}_{D_{S_3^*}, S_3^*}(z) = \sum_{n \geq 0} z^n D_{S_3^*} S_3^{*n}$ . It follows similarly as (5.1) that  $\Pi_D^{\mathbf{S}}$  is an isometry. We prove that

$$\begin{aligned} P_{\mathcal{H}_D^{\mathbf{S}}} \left( \begin{pmatrix} M_{\hat{G}_1^* + \hat{G}_2 z} & 0 \\ 0 & M_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{2\hat{G}_2^* + 2\hat{\tilde{G}}_1 z} & 0 \\ 0 & M_D^{(2)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_D^{(3)} \end{pmatrix}, \right. \\ \left. \begin{pmatrix} M_{2\hat{\tilde{G}}_1^* + 2\hat{G}_2 z} & 0 \\ 0 & \tilde{M}_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{\hat{\tilde{G}}_2^* + \hat{G}_1 z} & 0 \\ 0 & \tilde{M}_D^{(2)} \end{pmatrix} \right) \Big|_{\mathcal{H}_D^{\mathbf{S}}} \end{aligned} \tag{5.19}$$

is a model for  $\mathbf{S}$  with  $\mathcal{H}_D^{\mathbf{S}} := \text{Ran } \Pi_D^{\mathbf{S}} \subset \begin{pmatrix} H^2(\mathcal{D}_{S_3^*}) \\ \mathcal{Q}_{S_3^*} \end{pmatrix}$  is the functional model space, where  $\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2$  are the fundamental operators of  $\mathbf{S}^*$ .

We only state the following theorem as the proof is similar to that of Theorem 5.1.

**Theorem 5.3** (Douglas Model for  $\Gamma_{E(3;2;1,2)}$ -Contraction). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  with  $\hat{G}_1, 2\hat{G}_2, 2\hat{\tilde{G}}_1, \hat{\tilde{G}}_2$  be the fundamental operators of  $\mathbf{S}^*$ . Suppose  $(M_D^{(1)*}, M_D^{(2)*}, M_D^{(3)*},$*

*$\tilde{M}_D^{(1)*}, \tilde{M}_D^{(2)*})$  is the canonical  $\Gamma_{E(3;2;1,2)}$ -unitary associated to  $\mathbf{S}$  and  $\Pi_D^{\mathbf{S}} = \begin{pmatrix} \mathcal{O}_{D_{S_3^*}, S_3^*} \\ Q_{S_3^*} \end{pmatrix}$  be the Douglas*

isometric embedding of  $\mathcal{H}$  into  $\begin{pmatrix} H^2(\mathcal{D}_{S_3^*}) \\ \mathcal{Q}_{S_3^*} \end{pmatrix}$ . Then  $\mathbf{S}$  is unitarily equivalent to

$$P_{\mathcal{H}_D^S} \left( \begin{pmatrix} M_{\hat{G}_1^* + \hat{G}_2 z} & 0 \\ 0 & M_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{2\hat{G}_2^* + 2\hat{G}_1 z} & 0 \\ 0 & M_D^{(2)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_D^{(3)} \end{pmatrix}, \right. \\ \left. \begin{pmatrix} M_{2\hat{G}_1^* + 2\hat{G}_2 z} & 0 \\ 0 & \tilde{M}_D^{(1)} \end{pmatrix} \begin{pmatrix} M_{\hat{G}_2^* + \hat{G}_1 z} & 0 \\ 0 & \tilde{M}_D^{(2)} \end{pmatrix} \right) \Big|_{\mathcal{H}_D^S} \quad (5.20)$$

( $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  satisfy (4.4) and (4.5) when (5.20) is commutative) where  $\mathcal{H}_D^S$  is the functional model space of  $\mathbf{S}$  given by

$$\mathcal{H}_D^S := \text{Ran } \Pi_D^S \subset \begin{pmatrix} H^2(\mathcal{D}_{S_3^*}) \\ \mathcal{Q}_{S_3^*} \end{pmatrix}. \quad (5.21)$$

From Theorem 5.3, we notice that

$$\left( \begin{pmatrix} M_{\hat{G}_1^* + \hat{G}_2 z} & 0 \\ 0 & M_D^{(1)} \end{pmatrix}, \begin{pmatrix} M_{2\hat{G}_2^* + 2\hat{G}_1 z} & 0 \\ 0 & M_D^{(2)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_D^{(3)} \end{pmatrix}, \right. \\ \left. \begin{pmatrix} M_{2\hat{G}_1^* + 2\hat{G}_2 z} & 0 \\ 0 & \tilde{M}_D^{(1)} \end{pmatrix} \begin{pmatrix} M_{\hat{G}_2^* + \hat{G}_1 z} & 0 \\ 0 & \tilde{M}_D^{(2)} \end{pmatrix} \right)$$

with  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  are the fundamental operators of  $\mathbf{S}^*$ , is a  $\Gamma_{E(3;2;1,2)}$ -isometry. We provide that if  $\mathbf{S}$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction with  $W_3$  is the minimal isometric dilation  $S_3$  on a larger Hilbert space  $\mathcal{K}$  containing  $\mathcal{H}$  then  $\mathbf{S}$  has unique isometric lift to  $\mathcal{K}$ . We omit the proof of the following theorem as it is similar to proof of Theorem 5.2.

**Theorem 5.4.** *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  and  $W_3$  be the minimal isometric dilation of  $S_3$  on a larger Hilbert space  $\mathcal{K}$  containing  $\mathcal{H}$ . Then there exists unique operators  $W_1, W_2, \tilde{W}_1, \tilde{W}_2$  acting on  $\mathcal{K}$  such that  $\mathbf{W} = (W_1, W_2, W_3, \tilde{W}_1, \tilde{W}_2)$  is a  $\Gamma_{E(3;2;1,2)}$ -isometric dilation of  $\mathbf{S}$ .*

## 6. SZ.-NAGY-FOIAS TYPE FUNCTIONAL MODEL FOR C.N.U. $\Gamma_{E(3;3;1,1,1)}$ -CONTRACTION AND C.N.U. $\Gamma_{E(3;2;1,2)}$ -CONTRACTION

The classical Nagy-Foias model for c.n.u. contraction can be found [49]. We demonstrate Nagy-Foias type functional model for c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction and c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contraction in this section.

Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Thus  $T_7$  is c.n.u. contraction. Consider the function

$$\Delta_{T_7}(\omega) = (I - \Theta_{T_7}(\omega)^* \Theta_{T_7}(\omega))^{1/2}. \quad (6.1)$$

According to Sz.-Nagy and Foias,

$$V_{NF}^{\mathbf{T}} := \begin{pmatrix} M_z & 0 \\ 0 & M_\omega|_{\Delta_{T_7} L^2(\mathcal{D}_{T_7})} \end{pmatrix} : \begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \Delta_{T_7} L^2(\mathcal{D}_{T_7}) \end{pmatrix} \rightarrow \begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \Delta_{T_7} L^2(\mathcal{D}_{T_7}) \end{pmatrix} \quad (6.2)$$

is a minimal isometric dilation of  $T_7$  via the corresponding isometric embedding

$$\Pi_{NF}^{\mathbf{T}} : \mathcal{H} \rightarrow \left( \frac{H^2(\mathcal{D}_{T_7^*})}{\Delta_{T_7} L^2(\mathcal{D}_{T_7})} \right) \quad (6.3)$$

such that

$$\mathcal{H}_{NF}^{\mathbf{T}} = \text{Ran } \Pi_{NF}^{\mathbf{T}} = \left( \frac{H^2(\mathcal{D}_{T_7^*})}{\Delta_{T_7} L^2(\mathcal{D}_{T_7})} \right) \ominus \left( \begin{smallmatrix} \Theta_{T_7} \\ \Delta_{T_7} \end{smallmatrix} \right) H^2(\mathcal{D}_{T_7}). \quad (6.4)$$

Since, Sz.-Nagy-Foias isometric dilation and Douglas isometric dilations are minimal then they are unitarily equivalent. In another word, there exists a unitary  $\Phi : \begin{pmatrix} H^2(\mathcal{D}_{T_7^*}) \\ \mathcal{Q}_{T_7^*} \end{pmatrix}$  such that

$$\Pi_{NF}^{\mathbf{T}} = \Phi \Pi_D^{\mathbf{T}}. \quad (6.5)$$

In [14], Ball and Sau showed that there exists a unitary  $u_{\min}^{\mathbf{T}} : \mathcal{Q}_{T_7^*} \rightarrow \overline{\Delta_{T_7} L^2(\mathcal{D}_{T_7})}$  such that

$$u_{\min}^{\mathbf{T}} N_D^{(7)} = M_{\omega}|_{\overline{\Delta_{T_7} L^2(\mathcal{D}_{T_7})}} u_{\min}^{\mathbf{T}} \quad (6.6)$$

and

$$\Pi_{NF}^{\mathbf{T}} = \begin{pmatrix} I_{H^2(\mathcal{D}_{T_7^*})} & 0 \\ 0 & u_{\min}^{\mathbf{T}} \end{pmatrix} \Pi_D^{\mathbf{T}}. \quad (6.7)$$

We define  $N_{NF}^{(i)}$  on  $\overline{\Delta_{T_7} L^2(\mathcal{D}_{T_7})}$  by

$$N_{NF}^{(i)} = u_{\min}^{\mathbf{T}} N_D^{(i)} u_{\min}^{\mathbf{T}*} \text{ for } 1 \leq i \leq 7. \quad (6.8)$$

Therefore, the following theorem on functional model for c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction is straightforward application of (6.7) and Theorem 5.1.

**Theorem 6.1** (Sz.-Nagy-Foias Model for C.N.U.  $\Gamma_{E(3;3;1,1,1)}$ -Contraction). *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$  with  $F_1, \dots, F_6$  be the fundamental operators of  $\mathbf{T}^*$ . Then  $\mathbf{T}$  is unitarily equivalent to*

$$P_{\mathcal{H}_{NF}^{\mathbf{T}}} \left( \left( \begin{pmatrix} M_{\tilde{F}_1^* + \tilde{F}_6 z} & 0 \\ 0 & N_{NF}^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{\tilde{F}_6^* + \tilde{F}_1 z} & 0 \\ 0 & N_{NF}^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & N_{NF}^{(7)} \end{pmatrix} \right) \right) \Big|_{\mathcal{H}_{NF}^{\mathbf{T}}} \quad (6.9)$$

( $\tilde{F}_1, \dots, \tilde{F}_6$  satisfy (4.2) when (5.3) is commutative) where  $\mathcal{H}_{NF}^{\mathbf{T}}$  is the functional model space of  $\mathbf{T}$  defined by

$$\mathcal{H}_{NF}^{\mathbf{T}} := \text{Ran } \Pi_{NF}^{\mathbf{T}} = \left( \frac{H^2(\mathcal{D}_{T_7^*})}{\Delta_{T_7} L^2(\mathcal{D}_{T_7})} \right) \ominus \left( \begin{smallmatrix} \Theta_{T_7} \\ \Delta_{T_7} \end{smallmatrix} \right) H^2(\mathcal{D}_{T_7}). \quad (6.10)$$

It is a fact that every pure contraction is c.n.u. In case of  $T_7$  is pure, we note that  $\Theta_{T_7}$  is an inner function, which implies that  $M_{\Theta_{T_7}}$  is an isometry. On the other hand,  $\mathcal{Q}_{T_7^*} = 0$  and hence  $\overline{\Delta_{T_7} L^2(\mathcal{D}_{T_7})} = 0$ . This implies that  $\mathcal{H}_{NF}^{\mathbf{T}} = H^2(\mathcal{D}_{T_7^*}) \ominus \Theta_{T_7} H^2(\mathcal{D}_{T_7}) = \mathcal{H}_{T_7}$  [See Section 3, [44]]. Thus, for this case, Nagy-Foias model for c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction reduced to the functional model for pure  $\Gamma_{E(3;3;1,1,1)}$ -contraction. We only state the model in the following theorem.

**Theorem 6.2** (Theorem 3.2, [44]). *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a pure  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Suppose that  $\tilde{F}_i, 1 \leq i \leq 6$  are fundamental operators of  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ . Then*

- (1)  $T_i$  is unitarily equivalent to  $P_{\mathcal{H}_{T_7}}(I \otimes \tilde{F}_i^* + M_z \otimes \tilde{F}_{7-i})|_{\mathcal{H}_{T_7}}$  for  $1 \leq i \leq 6$ , and  
 (2)  $T_7$  is unitarily equivalent to  $P_{\mathcal{H}_{T_7}}(M_z \otimes I_{\mathcal{D}_{T_7}^*})|_{\mathcal{H}_{T_7}}$ ,  
 where  $\mathcal{H}_{T_7} = (H^2(\mathbb{D}) \otimes \mathcal{D}_{T_7}^*) \ominus M_{\Theta_{T_7}}(H^2(\mathbb{D}) \otimes \mathcal{D}_{T_7})$ .

Since, for the case  $T_7$  pure,  $\mathcal{Q}_{T_7}^* = 0$ , then  $\mathcal{H}_D^{\mathbf{T}} = \text{Ran } \mathcal{O}_{D_{T_7}^*, T_7^*}$ . Therefore, the Douglas model for  $\Gamma_{E(3;3;1,1,1)}$ -contraction reduced to the following one.

**Theorem 6.3.** *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a pure  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Suppose that  $\tilde{F}_i, 1 \leq i \leq 6$  are fundamental operators of  $\mathbf{T}^* = (T_1^*, \dots, T_7^*)$ . Then*

- (1)  $T_i$  is unitarily equivalent to  $P_{\text{Ran } \mathcal{O}_{D_{T_7}^*, T_7^*}}(I \otimes \tilde{F}_i^* + M_z \otimes \tilde{F}_{7-i})|_{\text{Ran } \mathcal{O}_{D_{T_7}^*, T_7^*}}$  for  $1 \leq i \leq 6$ , and  
 (2)  $T_7$  is unitarily equivalent to  $P_{\text{Ran } \mathcal{O}_{D_{T_7}^*, T_7^*}}(M_z \otimes I_{\mathcal{D}_{T_7}^*})|_{\text{Ran } \mathcal{O}_{D_{T_7}^*, T_7^*}}$ ,

Analogously, we describe the Nagy-Foias model for c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contraction. Let us consider  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  such that  $S_3$  is c.n.u. contraction. Consider the function

$$\Delta_{S_3}(\omega) = (I - \Theta_{S_3}(\omega)^* \Theta_{S_3}(\omega))^{1/2}. \quad (6.11)$$

Due to Sz.-Nagy and Foias,

$$V_{NF}^{\mathbf{S}} := \begin{pmatrix} M_z & 0 \\ 0 & M_\omega|_{\Delta_{S_3} L^2(\mathcal{D}_{S_3})} \end{pmatrix} : \begin{pmatrix} H^2(\mathcal{D}_{S_3}^*) \\ \Delta_{S_3} L^2(\mathcal{D}_{S_3}) \end{pmatrix} \rightarrow \begin{pmatrix} H^2(\mathcal{D}_{S_3}^*) \\ \Delta_{S_3} L^2(\mathcal{D}_{S_3}) \end{pmatrix} \quad (6.12)$$

is a minimal isometric dilation of  $S_3$  via the isometric embedding

$$\Pi_{NF}^{\mathbf{S}} : \mathcal{H} \rightarrow \begin{pmatrix} H^2(\mathcal{D}_{S_3}^*) \\ \Delta_{S_3} L^2(\mathcal{D}_{S_3}) \end{pmatrix} \quad (6.13)$$

such that

$$\mathcal{H}_{NF}^{\mathbf{S}} = \text{Ran } \Pi_{NF}^{\mathbf{S}} = \begin{pmatrix} H^2(\mathcal{D}_{S_3}^*) \\ \Delta_{S_3} L^2(\mathcal{D}_{S_3}) \end{pmatrix} \ominus \begin{pmatrix} \Theta_{S_3} \\ \Delta_{S_3} \end{pmatrix} H^2(\mathcal{D}_{S_3}). \quad (6.14)$$

Now we define  $M_{NF}^{(1)}, M_{NF}^{(2)}, \tilde{M}_{NF}^{(1)}, \tilde{M}_{NF}^{(2)}$  on  $\overline{\Delta_{S_3} L^2(\mathcal{D}_{S_3})}$  by

$$M_{NF}^{(i)} = u_{\min}^{\mathbf{S}} M_D^{(i)} u_{\min}^{\mathbf{S}*}, \text{ and } \tilde{M}_{NF}^{(j)} = u_{\min}^{\mathbf{S}} \tilde{M}_D^{(j)} u_{\min}^{\mathbf{S}*} \text{ for } 1 \leq i, j \leq 2. \quad (6.15)$$

Similar to the case of  $\Gamma_{E(3;3;1,1,1)}$ -contraction, there exists a unitary  $u_{\min}^{\mathbf{S}} : \mathcal{Q}_{S_3}^* \rightarrow \overline{\Delta_{S_3} L^2(\mathcal{D}_{S_3})}$  such that

$$u_{\min}^{\mathbf{S}} M_D^{(3)} = M_\omega|_{\overline{\Delta_{S_3} L^2(\mathcal{D}_{S_3})}} u_{\min}^{\mathbf{S}} \quad (6.16)$$

and

$$\Pi_{NF}^{\mathbf{S}} = \begin{pmatrix} I_{H^2(\mathcal{D}_{S_3}^*)} & 0 \\ 0 & u_{\min}^{\mathbf{S}} \end{pmatrix} \Pi_D^{\mathbf{S}}. \quad (6.17)$$

Therefore, the following theorem on functional model for c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contraction is straightforward consequence of (6.17) and Theorem 5.3.

**Theorem 6.4** (Sz.-Nagy-Foias Model for C.N.U.  $\Gamma_{E(3;2;1,2)}$ -Contraction). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$  and  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  be the fundamental operators of  $\mathbf{S}^*$ . Then  $\mathbf{S}$  is unitarily equivalent to*

$$P_{\mathcal{H}_{NF}^{\mathbf{S}}} \left( \begin{pmatrix} M_{\hat{G}_1^* + \hat{G}_2 z} & 0 \\ 0 & M_{NF}^{(1)} \end{pmatrix}, \begin{pmatrix} M_{2\hat{G}_2^* + 2\hat{G}_1 z} & 0 \\ 0 & M_{NF}^{(2)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_{NF}^{(3)} \end{pmatrix}, \right. \\ \left. \begin{pmatrix} M_{2\hat{G}_1^* + 2\hat{G}_2 z} & 0 \\ 0 & \tilde{M}_{NF}^{(1)} \end{pmatrix}, \begin{pmatrix} M_{\hat{G}_2^* + \hat{G}_1 z} & 0 \\ 0 & \tilde{M}_{NF}^{(2)} \end{pmatrix} \right) \Big|_{\mathcal{H}_{NF}^{\mathbf{S}}} \quad (6.18)$$

( $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  satisfy (4.4) and (4.5) when (5.20) is commutative) where  $\mathcal{H}_{NF}^{\mathbf{S}}$  is the functional model space of  $\mathbf{S}$  defined by

$$\mathcal{H}_{NF}^{\mathbf{S}} := \text{Ran } \Pi_{NF}^{\mathbf{S}} = \left( \frac{H^2(\mathcal{D}_{S_3^*})}{\Delta_{S_3} L^2(\mathcal{D}_{S_3})} \right) \ominus \left( \frac{\Theta_{S_3}}{\Delta_{S_3}} \right) H^2(\mathcal{D}_{S_3}). \quad (6.19)$$

In case of  $S_3$  a pure contraction,  $\Theta_{S_3}$  is an inner function and hence,  $M_{\Theta_{S_3}}$  is an isometry. Since,  $S_3$  is pure it is clear that  $\mathcal{Q}_{S_3^*} = 0$  and thus,  $\overline{\Delta_{S_3} L^2(\mathcal{D}_{S_3})} = 0$  [See Section 3, [44]]. It therefore implies that  $\mathcal{H}_{NF}^{\mathbf{S}} = H^2(\mathcal{D}_{S_3^*}) \ominus \Theta_{S_3} H^2(\mathcal{D}_{S_3}) = \mathcal{H}_{S_3}$ . Therefore, the functional model for pure  $\Gamma_{E(3;2;1,2)}$ -contraction is immediate from Nagy-Foias model for c.n.u.  $\Gamma_{E(3;2;1,2)}$ -contraction.

Let  $\hat{A}_1 = P_{\mathcal{H}_{S_3}}(I \otimes \hat{G}_1^* + M_z \otimes \hat{G}_2)|_{\mathcal{H}_{S_3}}$ ,  $\hat{A}_2 = P_{\mathcal{H}_{S_3}}(I \otimes 2\hat{G}_2^* + M_z \otimes 2\hat{G}_1)|_{\mathcal{H}_{S_3}}$ ,  $\hat{A}_3 = P_{\mathcal{H}_{S_3}}(M_z \otimes I_{\mathcal{D}_{S_3^*}})|_{\mathcal{H}_{S_3}}$ ,  $\hat{B}_1 = P_{\mathcal{H}_{S_3}}(I \otimes \hat{G}_2^* + M_z \otimes \hat{G}_1)|_{\mathcal{H}_{S_3}}$ ,  $\hat{B}_2 = P_{\mathcal{H}_{S_3}}(I \otimes \hat{G}_1^* + M_z \otimes \hat{G}_2)|_{\mathcal{H}_{S_3}}$ , where  $\mathcal{H}_{S_3} = (H^2(\mathbb{D}) \otimes \mathcal{D}_{S_3^*}) \ominus M_{\Theta_{S_3}}(H^2(\mathbb{D}) \otimes \mathcal{D}_{S_3})$ . We then only state the following theorem from [44].

**Theorem 6.5** (Theorem 3.6, [44]). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a pure  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Let  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  be fundamental operators for  $\mathbf{S}^* = (S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)$ . Then*

- (1)  $S_1$  is unitarily equivalent to  $\hat{A}_1$ ,
- (2)  $S_2$  is unitarily equivalent to  $\hat{A}_2$ ,
- (3)  $S_3$  is unitarily equivalent to  $\hat{A}_3$ ,
- (4)  $\tilde{S}_1$  is unitarily equivalent to  $\hat{B}_1$ ,
- (5)  $\tilde{S}_2$  is unitarily equivalent to  $\hat{B}_2$ .

When  $S_3$  is pure then  $\mathcal{Q}_{S_3^*} = 0$  and hence,  $\mathcal{H}_D^{\mathbf{S}} = \text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}$ . Suppose that

$\tilde{A}_1 = P_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}(I \otimes \hat{G}_1^* + M_z \otimes \hat{G}_2)|_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}$ ,  $\tilde{A}_2 = P_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}(I \otimes 2\hat{G}_2^* + M_z \otimes 2\hat{G}_1)|_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}$ ,  $\tilde{A}_3 = P_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}(M_z \otimes I_{\mathcal{D}_{S_3^*}})|_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}$ ,  $\tilde{B}_1 = P_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}(I \otimes \hat{G}_2^* + M_z \otimes \hat{G}_1)|_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}$ ,  $\tilde{B}_2 = P_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}(I \otimes \hat{G}_1^* + M_z \otimes \hat{G}_2)|_{\text{Ran } \mathcal{O}_{D_{S_3^*}, S_3^*}}$ . Consequently, the Douglas model for  $\Gamma_{E(3;2;1,2)}$ -contraction is reduced to the following one.

**Theorem 6.6.** *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a pure  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Let  $\hat{G}_1, 2\hat{G}_2, 2\hat{G}_1, \hat{G}_2$  be fundamental operators for  $\mathbf{S}^* = (S_1^*, S_2^*, S_3^*, \tilde{S}_1^*, \tilde{S}_2^*)$ . Then*

- (1)  $S_1$  is unitarily equivalent to  $\tilde{A}_1$ ,

- (2)  $S_2$  is unitarily equivalent to  $\tilde{A}_2$ ,
- (3)  $S_3$  is unitarily equivalent to  $\tilde{A}_3$ ,
- (4)  $\tilde{S}_1$  is unitarily equivalent to  $\tilde{B}_1$ ,
- (5)  $\tilde{S}_2$  is unitarily equivalent to  $\tilde{B}_2$ .

**Remark 6.7.** From Theorem 6.2 it is clear that a pure  $\Gamma_{E(3;3;1,1,1)}$ -contraction dilates to a pure  $\Gamma_{E(3;3;1,1,1)}$ -isometry. In a similar way, Theorem 6.5 implies that a pure  $\Gamma_{E(3;2;1,2)}$ -contraction dilates to a pure  $\Gamma_{E(3;2;1,2)}$ -isometry.

## 7. SCHÄFFER TYPE ISOMETRIC DILATION OF $\Gamma_{E(3;3;1,1,1)}$ -CONTRACTION AND $\Gamma_{E(3;2;1,2)}$ -CONTRACTION: A MODEL THEORETIC APPROACH

Schäffer's construction of isometric dilation of a contraction can be found in [57]. We develop a Schäffer type model for  $\Gamma_{E(3;3;1,1,1)}$ -isometric dilation and  $\Gamma_{E(3;2;1,2)}$ -isometric dilation.

Let

$$V_{Sc}^{(i)} = \begin{pmatrix} T_i & 0 \\ F_{7-i}^* D_{T_7} & M_{F_i + F_{7-i}^* z} \end{pmatrix} \text{ for } 1 \leq i \leq 6 \text{ and } V_{Sc}^{(7)} = \begin{pmatrix} T_7 & 0 \\ D_{T_7} & M_z \end{pmatrix}. \quad (7.1)$$

We demonstrate that  $\mathbf{V}_{Sc} = (V_{Sc}^{(1)}, \dots, V_{Sc}^{(6)}, V_{Sc}^{(7)})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometric dilation on the model space  $\begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{T_7}) \end{pmatrix}$  with respect to the isometry

$$\Pi_{Sc}^T : \mathcal{H} \rightarrow \begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{T_7}) \end{pmatrix} \text{ defined by } \Pi_{Sc}^T h = (h, 0) \text{ for all } h \in \mathcal{H}. \quad (7.2)$$

**Theorem 7.1** (Schäffer Isometric Dilation for  $\Gamma_{E(3;3;1,1,1)}$ -Contraction). *Let  $\mathbf{T} = (T_1, \dots, T_7)$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Then*

$$\mathbf{V}_{Sc} = (V_{Sc}^{(1)}, \dots, V_{Sc}^{(6)}, V_{Sc}^{(7)}) \quad (7.3)$$

*is the  $\Gamma_{E(3;3;1,1,1)}$ -isometric (Schäffer type) dilation of  $\mathbf{T}$  on the model space  $\begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{T_7}) \end{pmatrix}$  satisfies*

$$\Pi_{Sc}^T T_i^* = V_{Sc}^{(i)*} \Pi_{Sc}^T \quad (7.4)$$

*and that is uniquely determined by the operators  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{D}_{T_7})$  satisfying (1.6), (2.7) and  $w(F_i + F_{7-i}^* z) \leq 1$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ .*

*Conversely, let  $\mathbf{T} = (T_1, \dots, T_7)$  be commuting 7-tuple of bounded operators, acting on a Hilbert space  $\mathcal{H}$  such that  $\|T_i\| \leq 1$  and satisfies (??), (2.7) for some  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{D}_{T_7})$  with  $w(F_i + F_{7-i}^* z) \leq 1$  for all  $z \in \mathbb{T}$ . Then  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction.*

*Proof.* Let  $\mathbf{T}$  be a  $\Gamma_{E(3;3;1,1,1)}$ -contraction on  $\mathcal{H}$ . Then by [Theorem 6.3, [43]] we have that  $\mathcal{H}$  can be decomposed into a direct sum  $\mathcal{H} = \mathcal{H}_u \oplus \mathcal{H}_{cnu}$  of two closed proper subspaces  $\mathcal{H}_u$  and  $\mathcal{H}_{cnu}$  such that  $\mathbf{T}|_{\mathcal{H}_{cnu}}$  is a c.n.u.  $\Gamma_{E(3;3;1,1,1)}$ -contraction and  $\mathcal{H}_u$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary. Since,  $\mathbf{T}|_{\mathcal{H}_{cnu}}$  is a c.n.u.

$\Gamma_{E(3;3;1,1,1)}$ -contraction then  $T_7|_{\mathcal{H}_{cnu}}$  is a c.n.u. contraction. By factorization of dilation [Theorem 4.1, [56]], there exists an isometry  $\Phi : \mathcal{H}_{NF}^{\mathbf{T}} \rightarrow \begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{T_7}) \end{pmatrix}$  such that

$$\Pi_{Sc}^{\mathbf{T}} = \Phi \Pi_{NF}^{\mathbf{T}}. \quad (7.5)$$

Since Schäffer dilation is minimal, then it follows that  $\Phi$  is a unitary. Let  $\mathbf{V} = (V_1, \dots, V_6, V_7)$  be the isometric dilation of  $\mathbf{T}$ . Then

$$(V_1, \dots, V_6, V_7) = \Phi \left( \begin{pmatrix} M_{F_1^*+F_6z} & 0 \\ 0 & N_{NF}^{(1)} \end{pmatrix}, \dots, \begin{pmatrix} M_{F_6^*+F_1z} & 0 \\ 0 & N_{NF}^{(6)} \end{pmatrix}, \begin{pmatrix} M_z & 0 \\ 0 & M_{e^{it}|_{\overline{\Delta_{T_7}L^2(\mathcal{D}_{T_7})}}} \end{pmatrix} \right) \Phi^*, \quad (7.6)$$

where  $(N_{NF}^{(1)}, \dots, N_{NF}^{(6)}, M_{e^{it}|_{\overline{\Delta_{T_7}L^2(\mathcal{D}_{T_7})}}})$  is a  $\Gamma_{E(3;3;1,1,1)}$ -unitary on  $\overline{\Delta_{T_7}L^2(\mathcal{D}_{T_7})}$  and

$$\Pi_{Sc}^{\mathbf{T}} T_7^* = V_7^* \Pi_S^{\mathbf{T}} \text{ and } \Pi_S^{\mathbf{T}} T_i^* = V_i^* \Pi_{Sc}^{\mathbf{T}} \text{ for } 1 \leq i \leq 6. \quad (7.7)$$

Now taking the direct sum of  $\mathbf{T}|_{\mathcal{H}_u}$  with  $\mathbf{T}|_{\mathcal{H}_{cnu}}$ , we conclude that  $\Pi_{Sc}^{\mathbf{T}}$  is a minimal isometric dilation of  $\mathbf{T}$ . By uniqueness of Schäffer dilation we have that

$$V_7 = \begin{pmatrix} T_7 & 0 \\ D_{T_7} & M_z \end{pmatrix} = V_{Sc}^{(7)}. \quad (7.8)$$

We show that

$$V_i = \begin{pmatrix} T_i & 0 \\ F_{7-i}^* D_{T_7} & M_{F_i+F_{7-i}^*z} \end{pmatrix} = V_{Sc}^{(i)} \quad (7.9)$$

for some  $F_1, \dots, F_6 \in \mathcal{B}(\mathcal{D}_{T_7})$  satisfying  $w(F_i + F_{7-i}^*z) \leq 1$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ . Let us assume

$$V_i = \begin{pmatrix} T_i & 0 \\ A_{21}^{(i)} & A_{22}^{(i)} \end{pmatrix} \text{ for } 1 \leq i \leq 6.$$

Since  $\mathbf{V}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry then by [Theorem 4.4, [43]] we have  $V_i = V_{7-i}^* V_7$  for  $1 \leq i \leq 6$ , i.e.,

$$\begin{aligned} \begin{pmatrix} T_i & 0 \\ A_{21}^{(i)} & A_{22}^{(i)} \end{pmatrix} &= \begin{pmatrix} T_{7-i}^* & A_{21}^{(7-i)*} \\ 0 & A_{22}^{(i)*} \end{pmatrix} \begin{pmatrix} T_7 & 0 \\ D_{T_7} & M_z \end{pmatrix} \\ &= \begin{pmatrix} T_{7-i}^* T_7 + A_{21}^{(7-i)*} D_{T_7} & A_{21}^{(7-i)*} M_z \\ A_{22}^{(7-i)*} D_{T_7} & A_{22}^{(7-i)*} M_z \end{pmatrix}. \end{aligned} \quad (7.10)$$

From (7.10) we have

$$T_i = T_{7-i}^* T_7 + A_{21}^{(7-i)*} D_{T_7}, A_{21}^{(7-i)*} M_z = 0, A_{21}^{(i)} = A_{22}^{(7-i)*} D_{T_7} \text{ and } A_{22}^{(i)} = A_{22}^{(7-i)*} M_z. \quad (7.11)$$

Also by the commutativity of  $V_i$  with  $V_7$  we get  $A_{22}^{(i)} M_z = M_z A_{22}^{(i)}$ . This implies that there exists  $\Psi_i \in \mathcal{B}(\mathcal{D}_{T_7})$  such that  $A_{22}^{(i)} = M_{\Psi_i}$  for  $1 \leq i \leq 6$ . Then proceeding similarly as in Theorem 4.2 we see that  $\Psi_i$ 's are of the form  $\Psi_i(z) = F_i + F_{7-i}^* z$  for some  $F_i \in \mathcal{B}(\mathcal{D}_{T_7})$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ . Again from (7.11) we get

$$A_{21}^{(i)} = A_{22}^{(7-i)*} D_{T_7} = M_{\Psi_{7-i}}^* D_{T_7} = M_{F_{7-i}^*+F_i^*z}^* D_{T_7} = (F_{7-i}^* + F_i M_z^*) D_{T_7} = F_{7-i}^* D_{T_7}. \quad (7.12)$$

Thus from  $T_i = T_{7-i}^* T_7 + A_{21}^{(7-i)*} D_{T_7}$  in (7.11) and (7.12) we obtain the following:

$$T_i - T_{7-i}^* T_7 = D_{T_7} F_i D_{T_7} \text{ for } 1 \leq i \leq 6. \quad (7.13)$$

Hence  $F_1, \dots, F_6$  are the fundamental operators of  $\mathbf{T}$  and hence  $F_i$ 's are unique proving that  $V_i$ 's are of the form that in (7.9) and that are uniquely determined. And since  $F_1, \dots, F_6$  are the fundamental operators of  $\mathbf{T}$ , we have  $w(F_i + F_{7-i}^* z) \leq 1$  for  $1 \leq i \leq 6$ . And hence  $\Pi_{S_c}^{\mathbf{T}} T_i^* = V_{S_c}^{(i)*} \Pi_{S_c}^{\mathbf{T}}$ .

Conversely, let  $\mathbf{T} = (T_1, \dots, T_7)$  be a commuting 7-tuple of bounded operators on  $\mathcal{H}$  such that  $\|T_i\| \leq 1$  and  $T_i - T_{7-i}^* T_7 = D_{T_7} F_i D_{T_7}$  for some  $F_i \in \mathcal{B}(\mathcal{D}_{T_7})$  with  $w(F_i + F_{7-i}^* z) \leq 1$  for  $1 \leq i \leq 6$  and  $z \in \mathbb{T}$ . Assume that the Schäffer dilation  $\Pi_{S_c}^{\mathbf{T}}$  satisfies

$$\Pi_{S_c}^{\mathbf{T}} T_7^* = V_{S_c}^{(7)*} \Pi_{S_c}^{\mathbf{T}} \text{ and } \Pi_{S_c}^{\mathbf{T}} T_i^* = V_{S_c}^{(i)*} \Pi_{S_c}^{\mathbf{T}} \text{ for } 1 \leq i \leq 6,$$

where  $V_{S_c}^{(i)}$  is the operator  $\begin{pmatrix} T_i & 0 \\ F_{7-i}^* D_{T_7} & M_{F_i + F_{7-i}^* z} \end{pmatrix}$  for  $1 \leq i \leq 6$ . Then one can easily check that  $V_{S_c}^{(i)} = V_{S_c}^{(7-i)*} V_{S_c}^{(7)}$  for  $1 \leq i \leq 6$ . Since  $V_{S_c}^{(i)}$  commutes with  $V_{S_c}^{(7)}$  and  $V_{S_c}^{(i)} = V_{S_c}^{(7-i)*} V_{S_c}^{(7)}$  then  $V_{S_c}^{(i)}$  are hyponormal operators and then by [Theorem 1, [58]] we have that  $\|V_{S_c}^{(i)}\| = r(V_{S_c}^{(i)})$  for  $1 \leq i \leq 6$ . We show that  $r(V_{S_c}^{(i)}) \leq 1$ . Now by [Lemma 1, [38]],  $\sigma(V_{S_c}^{(i)}) \subseteq \sigma(T_i) \cup \sigma(M_{F_i + F_{7-i}^* z})$ . Since  $\|T_i\| \leq 1$  then  $r(T_i) \leq 1$ . Since  $r(V_{S_c}^{(i)}) \leq w(V_{S_c}^{(i)})$  then we just show  $w(V_{S_c}^{(i)}) \leq 1$ . By the similar method in [Theorem 4.6, [43]] we obtain  $w(V_{S_c}^{(i)}) \leq 1$ . It now follows from here that  $\mathbf{V}_{S_c}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -isometry; and as  $\mathbf{T}$  is the restriction of  $\mathbf{V}_{S_c}$  on  $\mathcal{H}$  hence  $\mathbf{T}$  is a  $\Gamma_{E(3;3;1,1,1)}$ -contraction. This finishes the proof.  $\square$

We next produce an analogous dilation for  $\Gamma_{E(3;2;1,2)}$ -contraction. Let us consider the operators  $W_{S_c}^{(1)}, W_{S_c}^{(2)}, W_{S_c}^{(3)}, \tilde{W}_{S_c}^{(1)}, \tilde{W}_{S_c}^{(2)}$  as follows

$$\begin{aligned} W_{S_c}^{(1)} &= \begin{pmatrix} S_1 & 0 \\ \tilde{G}_2^* D_{S_3} & M_{G_1 + \tilde{G}_2^* z} \end{pmatrix}, W_{S_c}^{(2)} = \begin{pmatrix} S_2 & 0 \\ 2\tilde{G}_1^* D_{S_3} & M_{2G_2 + 2\tilde{G}_1^* z} \end{pmatrix}, W_{S_c}^{(3)} = \begin{pmatrix} S_3 & 0 \\ D_{S_3} & M_z \end{pmatrix}, \\ \tilde{W}_{S_c}^{(1)} &= \begin{pmatrix} \tilde{S}_1 & 0 \\ 2G_2^* D_{S_3} & M_{2\tilde{G}_1 + 2G_2^* z} \end{pmatrix}, \tilde{W}_{S_c}^{(2)} = \begin{pmatrix} \tilde{S}_2 & 0 \\ G_1^* D_{S_3} & M_{\tilde{G}_2 + G_1^* z} \end{pmatrix}. \end{aligned} \quad (7.14)$$

We prove that  $\mathbf{W}_{S_c} = (W_{S_c}^{(1)}, W_{S_c}^{(2)}, W_{S_c}^{(3)}, \tilde{W}_{S_c}^{(1)}, \tilde{W}_{S_c}^{(2)})$  is an  $\Gamma_{E(3;2;1,2)}$ -isometric dilation of  $\mathbf{S}$  acting on the model space  $\begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{S_3}) \end{pmatrix}$  with respect to the isometry

$$\Pi_{S_c}^{\mathbf{S}} : \mathcal{H} \rightarrow \begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{S_3}) \end{pmatrix} \text{ defined by } \Pi_{S_c}^{\mathbf{S}} h = (h, 0) \text{ for all } h \in \mathcal{H}. \quad (7.15)$$

We skip the proof of the following theorem as it is identical with the proof of Theorem 7.1.

**Theorem 7.2** (Schäffer Isometric Dilation for  $\Gamma_{E(3;2;1,2)}$ -Contraction). *Let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be a  $\Gamma_{E(3;2;1,2)}$ -contraction on a Hilbert space  $\mathcal{H}$ . Then*

$$\mathbf{W}_{S_c} = (W_{S_c}^{(1)}, W_{S_c}^{(2)}, W_{S_c}^{(3)}, \tilde{W}_{S_c}^{(1)}, \tilde{W}_{S_c}^{(2)}) \quad (7.16)$$

is the Schäffer isometric dilation of  $\mathbf{S}$  on the model space  $\begin{pmatrix} \mathcal{H} \\ H^2(\mathcal{D}_{S_3}) \end{pmatrix}$  satisfies

$$\Pi_{S_c}^{\mathbf{S}} S_i^* = W_{S_c}^{(i)*} \Pi_{S_c}^{\mathbf{S}} \text{ and } \Pi_{S_c}^{\mathbf{S}} \tilde{S}_j^* = \tilde{W}_{S_c}^{(j)*} \Pi_{S_c}^{\mathbf{S}} \quad (7.17)$$

for  $1 \leq i, j \leq 2$  and that is uniquely determined by the operators  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2 \in \mathcal{B}(\mathcal{D}_{S_3})$  satisfying (1.7), (1.8), (2.20), (2.21) and  $w(G_1 + \tilde{G}_2^* z) \leq 1$  and  $w(G_2 + \tilde{G}_1^* z) \leq 1$  for all  $z \in \mathbb{T}$ .

Conversely, let  $\mathbf{S} = (S_1, S_2, S_3, \tilde{S}_1, \tilde{S}_2)$  be commuting 5-tuple of bounded operators, acting on a Hilbert space  $\mathcal{H}$  such that  $\|S_1\| \leq 1, \|\tilde{S}_2\|, \|S_2\| \leq 2$ , and  $\|\tilde{S}_1\| \leq 2$  and that satisfy (1.7), (1.8), (2.20), (2.21) for some  $G_1, 2G_2, 2\tilde{G}_1, \tilde{G}_2 \in \mathcal{B}(\mathcal{D}_{S_3})$  with  $w(G_1 + \tilde{G}_2^* z) \leq 1$  and  $w(G_2 + \tilde{G}_1^* z) \leq 1$  for all  $z \in \mathbb{T}$ . Then  $\mathbf{S}$  is a  $\Gamma_{E(3;2;1,2)}$ -contraction.

We end this section by a concluding remark on Theorem 7.1 and Theorem 7.2.

**Remark 7.3.** An important observation is that Theorem 7.1 is nothing but the conditional isometric dilation of  $\Gamma_{E(3;3;1,1,1)}$  described in [Theorem 4.6, [43]]. Here we have reformulated the conditional dilation in a model theoretic point of view. On the other hand, Theorem 7.2 is also a model theoretic reformulation of [Theorem 4.7, [43]] where the conditional isometric dilation of  $\Gamma_{E(3;2;1,2)}$ -contraction is developed.

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(A. Pal) DEPARTMENT OF MATHEMATICS, IIT BHILAI, 6TH LANE ROAD, JEVRA, CHHATTISGARH 491002

E-mail address: A. Pal:avijit@iitbhilai.ac.in

(B. Paul) DEPARTMENT OF MATHEMATICS, IIT BHILAI, 6TH LANE ROAD, JEVRA, CHHATTISGARH 491002

E-mail address: B. Paul:bhaskarpaul@iitbhilai.ac.in

(A. Pal) DEPARTMENT OF MATHEMATICS, IIT BHILAI, 6TH LANE ROAD, JEVRA, CHHATTISGARH 491002

Email address: A. Pal:avijit@iitbhilai.ac.in

(B. Paul) DEPARTMENT OF MATHEMATICS, IIT BHILAI, 6TH LANE ROAD, JEVRA, CHHATTISGARH 491002

Email address: B. Paul:bhaskarpaul@iitbhilai.ac.in