

Affine rigidity of functions with additive oscillation

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Abstract

We prove that a locally integrable function $f : (a, b) \rightarrow \mathbb{R}$ must be affine if its mean oscillation, considered as a function of intervals, can be extended to a locally finite Borel measure. In particular, we show that any function f satisfying the integro-differential identity $|Df|(I) = 4 \operatorname{osc}(f, I)$ for all intervals $I \subset (a, b)$ must be affine.

1 Introduction

Let $a, b \in \mathbb{R}$ be two reals satisfying $a < b$ and let $f : (a, b) \subset \mathbb{R} \rightarrow \mathbb{R}$ be a locally integrable function. For an interval $I \subset \subset (a, b)$, we define the mean value of f over I as

$$(f)_I := \frac{1}{|I|} \int_I f(x) dx = \oint_I f(x) dx.$$

The oscillation of f on I measures the averaged deviation from its mean value:

$$\operatorname{osc}(f, I) := \oint_I |f - (f)_I| dx.$$

Since f is locally integrable, its distributional derivative Df is well-defined as a linear functional on $C_c^\infty((a, b))$. The total variation of Df on I is given by the (extended) dual norm:

$$|Df|(I) := \sup \left\{ \int_I f \varphi' dx : \varphi \in C_c^\infty(I), \|\varphi\|_{L^\infty(I)} \leq 1 \right\} \in [0, \infty].$$

We say that f has bounded variation on I if $|Df|(I) < \infty$. We then denote such a function as $f \in BV(I)$, and if this holds for all $I \subset \subset (a, b)$, we say $f \in BV_{\text{loc}}((a, b))$.

The Poincaré-Wirtinger inequality states that the oscillation of f is controlled by its total variation:

$$\operatorname{osc}(f, I) \leq \frac{1}{2} |Df|(I).$$

The constant $\frac{1}{2}$ is sharp, and equality holds precisely for BV functions with a single jump discontinuity at the midpoint of I (see [6] and [4, Lemma 7.1]). In this sense, one may think of the *integro-differential equation*

$$\text{osc}(f, I) = \frac{1}{2}|Df|(I)$$

as one characterizing centered jump-type discontinuities on I .

In contrast, for affine functions, the Poincaré-Wirtinger quotient attains the smaller constant $\frac{1}{4}$ at every scale. Namely,

$$\text{osc}(f, I) = \frac{1}{4}|Df|(I) \quad \text{for all intervals } I \subset \subset (a, b). \quad (1)$$

Motivated by the understanding of the fine properties of BV functions through Poincaré quotients (see [1, 3, 4]), Bonicatto, Del Nin, and the first author proposed in [4, Question 5] that monotone functions satisfying Eq. (1) should be affine. The goal of this note is to give a positive answer to a more general version this question. Indeed, without assuming the monotonicity, we prove the following:

Theorem 1. *Let $f : (a, b) \rightarrow \mathbb{R}$ be a locally integrable function satisfying Eq. (1). Then, f is affine.*

In fact, we prove a more general statement of the same result:

Theorem 2. *Let $f : (a, b) \rightarrow \mathbb{R}$ be a locally integrable function and suppose that the function*

$$\{I \subset \subset (a, b) \text{ open interval}\} \rightarrow \mathbb{R} : I \mapsto \text{osc}(f, I),$$

extends to a positive Borel measure μ on (a, b) .

Then, $\mu = \frac{1}{4}|Df|$ and f is affine.

2 Bulding blocks of the proof

For $a, b \in \mathbb{R}$ with $a < b$ we write $\mathcal{K}_{a,b} \subset 2^{(a,b)}$ to denote the family of all open, nonempty and compactly contained sub-intervals of (a, b) . We write $|I|$ to denote the length of an interval $I \subset \mathbb{R}$.

2.1 Invariance under affine transformations

Let $f : (a, b) \rightarrow \mathbb{R}$ be locally integrable. Consider affine non constant transformations $L, F : \mathbb{R} \rightarrow \mathbb{R}$, and suppose that F maps the interval (a', b') onto (a, b) . By applying the area formula and the chain rule, we find that the function

$$\tilde{f}(x) = LfF(x), \quad x \in (a', b'),$$

satisfies

$$\text{osc}(\tilde{f}, I) = |L'| \cdot \text{osc}(f, F(I))$$

and

$$|D\tilde{f}|(I) = |L'| \cdot |Df|(F(I))$$

for all $I \in \mathcal{K}_{a',b'}$.

Consequently, f satisfies equation Eq. (1) on (a, b) if and only if $\tilde{f}$ satisfies Eq. (1) on (a', b') . This property will be used repeatedly throughout the text.

2.2 Lipschitz regularity of solutions

The following lemma shows that the statements contained in Theorem 1 and Theorem 2 are equivalent, and that any function satisfying the assumptions of either must be locally Lipschitz.

Lemma 1. *Let $f : (a, b) \rightarrow \mathbb{R}$ be locally integrable. The following are equivalent:*

1. *f satisfies Eq. (1),*
2. *the function*

$$\mathcal{K}_{a,b} \rightarrow \mathbb{R} : I \mapsto \text{osc}(f, I)$$

extends to a positive Borel measure $\mu \in \mathcal{M}_+((a, b))$.

Moreover, if f satisfies the second property, then f is locally Lipschitz.

Remark 1. *The only measure μ for which the second property may hold is $\mu = \frac{1}{4}|Df|$.*

Proof. That 1 $\implies$ 2 is a mere observation: Indeed, if f satisfies Eq. (1), then the fact that f is locally integrable implies that $\frac{1}{4}|Df|$ is a Radon measure extending the oscillation map over intervals.

To show that 2 $\implies$ 1 and the conditional Lipschitz regularity, we divide the proof into steps:

Step 1. First, we show that $f \in BV_{\text{loc}}((a, b))$ with $\frac{1}{4}|Df| \leq \mu$. It suffices to show that

$$\frac{1}{4}|Df|(J) \leq \mu(J) < \infty \quad \text{for all } J \in \mathcal{K}_{a,b}. \quad (2)$$

Indeed, since μ is locally finite (f is locally integrable) we deduce that $f \in BV_{\text{loc}}((a, b))$ and since $|Df|$ is also a measure it follows that $\frac{1}{4}|Df| \leq \mu$ as measures.

The proof of Eq. (2) can be inferred from [5, Th. 2.1] using convexity as in [7, Prop. 5.1]. For the reader's benefit, we give next a rigorous sketch of the proof. Assume first that $f \in C^1((a, b))$. For any $x \in (a, b)$, one computes

$$\frac{1}{4}|f'(x)| = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \operatorname{osc}(f, (x - \varepsilon/2, x + \varepsilon/2)).$$

Since $J \subset\subset (a, b)$, the limit is uniform for $x \in J$. Let $\mathcal{F}_{J,\varepsilon}$ denote a decomposition of J into pairwise disjoint intervals, of length between ε and 2ε (this exists, if ε is smaller than $|J|$). One checks

$$\frac{1}{4} \int_J |f'(x)| dx \leq \lim_{\varepsilon \rightarrow 0} \sum_{I \in \mathcal{F}_{J,\varepsilon}} \operatorname{osc}(f, I) \leq \mu(J).$$

For $\delta > 0$ small and $f \in L^1_{\text{loc}}((a, b))$ we get $f_\delta \in C^\infty((J)_\delta)$, where f_δ is a standard δ -scale mollification of f and $(J)_\delta = \{x \in \mathbb{R} : \operatorname{dist}(x, J) < \delta\}$. Since $\mathcal{F}_{J,\varepsilon}$ has finite cardinality, it follows that $g \mapsto \sum_{I \in \mathcal{F}_{J,\varepsilon}} \operatorname{osc}(g, I)$ is a convex map from $L^1(J)$ to $\mathbb{R}$. Hence, by Jensen's inequality

$$\sum_{I \in \mathcal{F}_{J,\varepsilon}} \operatorname{osc}(f_\delta|_J, I) \leq \sup_{|y| < \delta} \sum_{I \in \mathcal{F}_{J,\varepsilon}} \operatorname{osc}(f(\cdot + y), I) \leq \mu((J)_\delta).$$

Here, in passing to the last inequality we used that $\{I - y\}_{I \in \mathcal{F}_{J,\varepsilon}}$ is a family of pairwise disjoint intervals. Letting $\varepsilon \rightarrow 0$, for any $\delta > 0$ sufficiently small, we obtain

$$\frac{1}{4} \int_J |f'_\delta|(x) dx \leq \mu((J)_\delta).$$

Subsequently letting $\delta \rightarrow 0$, we get $f_\delta \rightarrow f$ in $L^1(J)$ and by the L^1 -lower semicontinuity of the total variation we discover that

$$\frac{1}{4}|Df|(J) \leq \liminf_{\delta \rightarrow 0} \frac{1}{4} \int_J |f'_\delta|(x) dx \leq \limsup_{\delta \rightarrow 0} \mu((J)_\delta) = \mu(\bar{J}) < \infty.$$

This proves Eq. (2) and that $f \in BV(J)$ for any $J \in \mathcal{K}_{a,b}$ with $\mu(\partial J) = 0$. The case for general $J \in \mathcal{K}_{a,b}$ follows from this and the fact that both $|Df|$ and μ are measures.

Step 2. We observe that $\mu \leq \frac{1}{2}|Df|$ as measures. Indeed, the Poincaré–Wirtinger inequality yields

$$\mu(I) = \operatorname{osc}(f, I) \leq \frac{1}{2}|Df|(I) \quad \text{for all } I \in \mathcal{K}_{a,b}.$$

The Borel regularity of μ yields that $\mu \leq \frac{1}{2}|Df|$ as measures.

Step 3. We prove that f is locally Lipschitz. Since f is a function with locally bounded variation, it follows from the embedding $BV(I) \rightarrow L^\infty(I)$ for $I \subset \subset \mathbb{R}$ that $f \in L^\infty_{\text{loc}}((a, b))$. In particular,

$$M_I := \|f\|_{L^\infty(I)} < \infty \quad \text{for all } I \in \mathcal{K}_{a,b}.$$

Now, fix $a < c < d < b$ and let us write $I_x := (c, x)$ for $x \in (c, d]$. By the fundamental theorem of calculus, the function $r : (c, d] \rightarrow \mathbb{R}$ given by

$$r(x) := \int_{I_x} f(s) ds,$$

is absolutely continuous and differentiable almost everywhere with

$$\begin{aligned} \frac{d}{dx} r(x) &= \frac{d}{dx} \left(\frac{1}{x-c} \int_c^x f(s) ds \right) \\ &= -\frac{1}{(x-c)^2} \int_c^x f(s) ds + \frac{1}{x-c} f(x) = \frac{f(x) - r(x)}{|I_x|}. \end{aligned} \quad (3)$$

Moreover, $\sup_{x \in (c,d)} |r(x)| \leq M_{(c,d)}$. From Eq. (3) we deduce that for every $c' \in (c, d)$ the function r is Lipschitz on $[c', d]$, with a Lipschitz constant that depends on c, c', d .

Now, consider the function $h : (c, d) \times [-M_{I_d}, M_{I_d}] \rightarrow \mathbb{R}$ given by

$$h(x, m) := \int_c^x |f(s) - m| ds$$

and notice that h is Lipschitz, as the concatenation of Lipschitz maps. For almost every $(x, m) \in (c, d) \times [-M_{I_d}, M_{I_d}]$ the fundamental theorem of calculus and the chain rule for Lipschitz maps give

$$\begin{aligned} \partial_x h(x, m) &= |f(x) - m| \leq 2M_{I_d}, \\ \partial_m h(x, m) &= \mathcal{L}^1(\{f < m\} \cap I_x) - \mathcal{L}^1(\{f > m\} \cap I_x) =: R(I_x, m). \end{aligned} \quad (4)$$

Since $R(I_x, m) \leq |I_x|$, it follows that $\text{Lip}(h) \leq 2M_{I_d} + |I_d|$.

We define $\omega : (c, d] \rightarrow \mathbb{R}$ as the oscillation

$$\omega(x) := \text{osc}(f, I_x),$$

and observe that

$$\text{osc}(f, I_x) = \frac{1}{x-c} \cdot h(x, r(x)). \quad (5)$$

Once again, for any $c' \in (c, d)$ it follows from the chain rule that ω is Lipschitz on $[c', d]$, with Lipschitz constant $C_{c,c',d}$ depending solely on $c, c',$ and d . The estimate $|Df| \leq 4\mu$ and the assumption yield

$$\begin{aligned} \frac{|Df|((x-\varepsilon, x+\varepsilon))}{2\varepsilon} &\leq 4 \frac{\mu(x-\varepsilon, x+\varepsilon)}{2\varepsilon} = 4 \frac{\text{osc}(f, I_{x+\varepsilon}) - \text{osc}(f, I_{x-\varepsilon})}{2\varepsilon} \\ &= 4 \frac{\omega(x+\varepsilon) - \omega(x-\varepsilon)}{2\varepsilon} \leq 4C_{c,c',d} < \infty, \end{aligned}$$

for all $x \in (c', d)$ and every $0 < \varepsilon < \text{dist}(x, \partial(c', d))$. This shows that

$$\limsup_{r \rightarrow 0} \frac{|Df|((x-r, x+r))}{2r} \leq 4C_{c,c',d} < \infty \quad \text{for all } x \in (c', d).$$

Since the interval $(c, d) \subset \subset (a, b)$ and $c' \in (c, d)$ were chosen arbitrarily, the Lebesgue–Besicovitch differentiation theorem yields the existence of $g \in L^1_{\text{loc}}((a, b))$ with

$$\|g\|_{L^\infty(I)} \leq 4C_I < \infty \quad \forall I \in \mathcal{K}_{a,b}$$

and such that

$$Df = g\mathcal{L}^1 \quad \text{as measures on } (a, b).$$

In particular, $f \in W^{1,\infty}_{\text{loc}}((a, b))$ with $f' = g$. This proves that f is locally Lipschitz on (a, b) .

Step 4. We show that $\mu = \frac{1}{4}|Df|$. We know that $\mu \ll |Df| \ll \mathcal{L}^1$. If we can prove that

$$\frac{\mu}{|Df|}(x) = \lim_{r \rightarrow 0} \frac{\mu((x-r, x+r))}{|Df|((x-r, x+r))} \leq \frac{1}{4} \quad (6)$$

for $\mathcal{L}^1$ -almost every $x \in (a, b)$, the Besicovitch differentiation theorem and the Radon–Nykodym theorem yield the chain of inequalities

$$\frac{1}{4}|Df| \leq \mu = \frac{\mu}{|Df|} |Df| \leq \frac{1}{4}|Df| \quad \text{as measures on } (a, b).$$

It follows that all inequalities must be equalities and hence $\mu = \frac{1}{4}|Df|$.

It remains to prove Eq. (6). The proof can be directly obtained from the results contained in [4], but we give a self-contained proof for reader's benefit: Since $\mu \ll |Df| \ll \mathcal{L}^1$, we may assume that $x_0 \in \text{spt}(|Df|)$ for otherwise there is nothing to show (this is used in the conclusion). Let $x_0 \in (a, b)$ be a Lebesgue continuity point of f' . Shortening $I_r := (x_0 - r, x_0 + r)$ and letting $g(x) := f(x) - f(x_0) - f'(x_0)(x - x_0)$, we deduce from Poincaré's inequality that

$$\frac{1}{2r} \int_{I_r} |g - (g)_{I_r}| dx \leq \frac{1}{2} \int_{I_r} |f' - f'(x_0)| dx \rightarrow 0 \quad \text{as } r \rightarrow 0.$$

Since $g - (g)_{I_r} = f - (f)_{I_r} - f'(x_0)(x - x_0)$, it follows that

$$\limsup_{r \rightarrow 0} \frac{1}{2r} \int_{I_r} |f - (f)_{I_r} - f'(x_0)(x - x_0)| dx = 0.$$

Therefore,

$$\begin{aligned} \lim_{r \rightarrow 0} \frac{\mu(I_r)}{2r} &= \lim_{r \rightarrow 0} \frac{1}{2r} \int_{I_r} |f(x) - (f)_{I_r}| dx \\ &= \lim_{r \rightarrow 0} \frac{1}{2r} \int_{I_r} |f'(x_0)(x - x_0)| dx \\ &= |f'(x_0)| \lim_{r \rightarrow 0} \frac{1}{4r^2} \int_{I_r} |x - x_0| dx = \frac{1}{4} |f'(x_0)|. \end{aligned}$$

Since almost every $x_0 \in (a, b) \cap \text{spt}(|Df|)$ is a Lebesgue continuity point of f' and at such points it holds $\lim_{r \rightarrow 0} 2r|f'(x_0)|/|Df|(I_r) = 1$, this concludes the proof of Eq. (6).

This finishes the proof. $\square$

2.3 Necessary Conditions

Based on the preceding observations, we assume that $f : (a, b) \rightarrow \mathbb{R}$ satisfies Eq. (1) and is locally Lipschitz with a weak derivative $f' \in L_{\text{loc}}^\infty((a, b))$. We also recall from Eq. (5) that, for any given $(a', b') \in \mathcal{K}_{a,b}$,

$$(a', b') \rightarrow \mathbb{R} : x \mapsto \text{osc}(f, (a', x))$$

is Lipschitz (with Lipschitz constant depending on a', b'). For $a < x < y < b$, we write

$$m_{x,y} := (f)_{(x,y)} = \frac{1}{y-x} \int_x^y f(t) dt.$$

We also define

$$R(I) := |\{f < (f)_I\} \cap I| - |\{f > (f)_I\} \cap I|, \quad I \in \mathcal{K}_{a,b}.$$

2.3.1 One-Sided Variations on Intervals

Lemma 2. *If $f : (a, b) \rightarrow \mathbb{R}$ is locally integrable and satisfies Eq. (1), then:*

1. *For any $x \in (a, b)$ and almost any $y \in (x, b)$ we have*

$$\frac{1}{4}|f'(y)| = -\frac{\text{osc}(f, (x, y))}{y-x} + \frac{|f(y) - m_{x,y}|}{y-x} + \frac{R((x, y))}{y-x} \cdot \frac{f(y) - m_{x,y}}{y-x}, \quad (7)$$

similarly for any $y \in (a, b)$ and almost any $x \in (a, y)$ we have

$$\frac{1}{4}|f'(x)| = -\frac{\text{osc}(f, (x, y))}{y-x} + \frac{|f(x) - m_{x,y}|}{y-x} + \frac{R((x, y))}{y-x} \cdot \frac{f(x) - m_{x,y}}{y-x} \quad (8)$$

(equivalently, both hold for $\mathcal{L}^2$ -almost any (x, y) such that $a < x < y < b$).

2. *If y is a (Lebesgue) continuity point of $y \mapsto R((x, y))$ then y is a (Lebesgue) continuity point of $|f'|$.*
3. *For any $x \in (a, b)$ and any $y \in (x, b)$ we have*

$$\text{osc}(f, (x, y)) \leq 2|f(y) - m_{x,y}|.$$

Proof. The argument used to prove 1 is similar to the one in Step 3 of the proof of Lemma 1. We pick x, y such that $a < x < y < b$, and differentiate the equation $\frac{1}{4}|Df|((x, y)) = \text{osc}(f, (x, y))$ in y . For any fixed $x \in (a, b)$, differentiating in y we obtain

$$\frac{d}{dy} \frac{1}{4}|Df|((x, y)) = \frac{|f'(y)|}{4}$$

for almost any $y \in (x, b)$. In turn, the computation in Eq. (3) and the continuity of f give

$$\frac{d}{dy} m_{x,y} = \frac{f(y) - m_{x,y}}{y - x} \quad (9)$$

for all $y \in (x, b)$. We let

$$h(x, y, m) := \int_x^y |f(s) - m| ds$$

and observe that it is locally Lipschitz, with $\partial_y h(x, y, m) = |f(y) - m|$. Further, for any $m \in \mathbb{R}$ such that $|\{f = m\} \cap (x, y)| = 0$, we also have

$$\partial_m h(x, y, m) = |\{f < m\} \cap (x, y)| - |\{f > m\} \cap (x, y)|.$$

By the chain rule for Lipschitz functions, for any fixed x and any $y \in (x, b)$, with $|\{f = m_{x,y}\} \cap (x, y)| = 0$, it holds

$$\begin{aligned} \frac{d}{dy} \text{osc}(f, (x, y)) &= \frac{d}{dy} \frac{h(x, y, m_{x,y})}{y - x} \\ &= - \frac{\text{osc}(f, (x, y))}{y - x} + \frac{|f(y) - m_{x,y}|}{y - x} \\ &\quad + \frac{R((x, y))}{y - x} \cdot \frac{f(y) - m_{x,y}}{y - x}. \end{aligned} \quad (10)$$

We will show below that

$$\partial_y m_{x,y} = 0 \text{ for a.e. } y \in (x, b) \text{ such that } |\{f = m_{x,y}\} \cap (x, y)| > 0. \quad (11)$$

The vanishing of $\partial_y m_{x,y}$ has the following implications. First, together with Eq. (9), it implies $f(y) = m_{x,y}$. As a result, the last two terms in Eq. (10) vanish. In particular, Eq. (10) holds provided we show that $\partial_y h(x, y, m_{x,y}) = 0$. We verify that the latter is also a consequence of $\partial_y m_{x,y} = 0$. Indeed, on the one hand,

$$\begin{aligned} |h(x, y, m_{x,y}) - h(x, y, m_{x,y'})| &\leq \text{Lip}(h(x, y, \cdot)) |m_{x,y} - m_{x,y'}| \\ &= o_{x,y}(|y - y'|). \end{aligned}$$

On the other hand, the fundamental theorem of calculus yields

$$\begin{aligned} h(x, y, m_{x,y'}) - h(x, y', m_{x,y'}) &= \int_y^{y'} \partial_t h(x, t, m_{x,y'}) dt \\ &= \int_y^{y'} |f(t) - m_{x,y'}| dt \\ &\leq \int_y^{y'} |f(t) - m_{x,y}| + o(|y - y'|) dt. \end{aligned}$$

Since f is continuous with $f(y) = m_{x,y}$, this entails

$$|h(x, y, m_{x,y'}) - h(x, y', m_{x,y'})| = o_{x,y}(|y - y'|).$$

Gathering these two estimates we deduce

$$\frac{d}{dy} m_{x,y} = 0 \quad \Rightarrow \quad \frac{d}{dy} h(x, y, m_{x,y}) = 0.$$

We conclude that Eq. (11) conveys the validity of Eq. (10) for a.e. $y \in (x, b)$.

In order to prove Eq. (11), we observe that for any $\alpha \in \mathbb{R}$ one has $\partial_y m_{x,y} = 0$ almost everywhere on $\{y : m_{x,y} = \alpha\}$. At the same time, there are at most countably many $\alpha \in \mathbb{R}$ such that $|(a, b) \cap \{f = \alpha\}| > 0$. Since the countable union of negligible sets is negligible, we conclude that $\partial_y m_{x,y} = 0$ almost everywhere on $\{y : |\{f = m_{x,y}\} \cap (x, y)| > 0\}$. This concludes the proof of Eq. (11) and therefore of Eq. (7).

The argument for the other endpoint is identical, using $\partial_x h(x, y, m) = -|f(x) - m|$ and $\partial_x m_{x,y} = (m_{x,y} - f(x))/(y - x)$ leads to Eq. (8) (alternatively, one applies Eq. (7) to $g(s) := -f(b + a - s)$).

To prove 2, we observe that all terms on the right-hand side of Eq. (7), except possibly for $y \mapsto R((x, y))$, are continuous on (x, b) . Therefore, a point $y \in (x, b)$ is a (Lebesgue) continuity point of $|f'|$ if and only if it is a (Lebesgue) continuity point of $R((x, y))$. The sought assertion then follows from the fact that f is Lipschitz.

To prove 3, we observe that by definition $|R(I)| \leq |I|$, so the conclusion for a.e. $y \in (x, b)$ follows immediately from the fact that the left-hand side of Eq. (7) is nonnegative. The assertion for all $y \in (x, b)$ follows from the fact that both sides of the sought inequality are continuous on (x, b) as a function of y .

This finishes the proof. $\square$

2.3.2 Monotonicity

Lemma 3. *If $f : (a, b) \rightarrow \mathbb{R}$ is locally integrable and satisfies Eq. (1), then one (and only one) of the following conditions holds for any $a < x < y < b$:*

1. $f(y) \neq m_{x,y}$,

2. f is a constant on (x, y) .

Proof. Suppose that $f(y) = m_{x,y}$. By Lemma 2, we have $\text{osc}(f, (x, y)) = 0$, which can only occur if f is constant on (x, y) . $\square$

Corollary 1 (Monotonicity). *If $f(x) = f(y)$ for some $x, y \in (a, b)$ with $x < y$, then f is constant on (x, y) . In particular, f is monotone.*

Proof. We argue through a contradiction argument by assuming that f is non-constant on (x, y) . In this situation it holds $\min_{[x,y]} f < m_{x,y} < \max_{[x,y]} f$. By Lemma 3, $f(y) \neq m_{x,y}$. Up to multiplication by a sign, we may assume that

$$f(y) = f(x) < m_{x,y} \leq M := \max_{[x,y]} f. \quad (12)$$

We may find $x_0 \in (x, y)$ satisfying $f(x_0) = M$. Let $r(t) := m_{x,t}$, so that $r(x_0) \leq f(x_0)$, and $r(y) > f(y)$. By the mean value theorem, we may find $y_0 \in [x_0, y)$ satisfying $f(y_0) = r(y_0)$. Lemma 3 implies that f is constant on (x, y_0) and therefore $f(x) = f(x_0) = M$, contradicting Eq. (12). $\square$

3 Regularity of solutions

3.1 BV-regularity of the derivative

An immediate consequence of the monotonicity and the optimality equations for $|f'|$, is the fact that f' is a function with locally bounded variation.

Proposition 1. *If $f : (a, b) \rightarrow \mathbb{R}$ is locally integrable and satisfies Eq. (1), then $f' \in BV_{\text{loc}}((a, b))$. In particular, the left and right (classical) derivatives of f exist at every $x \in (a, b)$.*

Proof. Due to monotonicity, we may assume without loss of generality that f is non-decreasing and hence that $f' \geq 0$ almost everywhere. It suffices to show that $f' \in BV_{\text{loc}}((x, b))$ for any $x \in (a, b)$. We use Eq. (7) and prove that each term is in $BV_{\text{loc}}((x, b))$. First, $y \mapsto \text{osc}(f, (x, y))$ is locally Lipschitz, and hence in BV_{loc} . The functions $y \mapsto f(y)$ and $y \mapsto m_{x,y}$ are non-decreasing and hence in BV_{loc} . Further,

$$R((x, y)) = |(x, y) \cap \{f < m_{x,y}\}| + |(x, y) \cap \{f \leq m_{x,y}\}| - (y - x),$$

and since the first two terms are non-decreasing in y , also $R((x, \cdot)) \in BV_{\text{loc}}$. Since $f' = |f'|$ is the sum of these three terms, we obtain $f' \in BV_{\text{loc}}((x, b))$, as desired.

We recall that functions of bounded variation of one variable possess left and right semicontinuous representatives (see [2, Theorem 3.28]). We can use this to show that the limits

$$f'(x_-) := \lim_{y \rightarrow x_-} \frac{f(x) - f(y)}{x - y} \quad \text{and} \quad f'(x_+) := \lim_{y \rightarrow x_+} \frac{f(x) - f(y)}{x - y}$$

exist for every $x \in (a, b)$.

Indeed, let g be the left continuous representative of f' and observe that

$$\lim_{\varepsilon \rightarrow 0} \frac{f(x) - f(x - \varepsilon)}{\varepsilon} = \lim_{\varepsilon \rightarrow 0} \int_{x-\varepsilon}^x f'(s) ds = g(x).$$

We conclude that $f'(x_-)$ exists and equals $g(x)$. The proof for $f'(x_+)$ is analogous. $\square$

3.2 C^1 regularity of solutions

We now use the existence of one-sided derivatives to prove that f is differentiable everywhere. In fact, we prove that f is continuously differentiable.

Proposition 2. *If $f : (a, b) \rightarrow \mathbb{R}$ is locally integrable and satisfies Eq. (1), then f is continuously differentiable on (a, b) .*

Proof. Let $x \in (a, b)$. Without loss of generality we may assume that

$$(-1, 1) \subset (a, b), \quad f' \geq 0, \quad x = 0 \quad \text{and} \quad f(0) = 0.$$

The existence of one-sided derivatives implies that

$$f(s) = \chi_{(-1,0)} \alpha s + \chi_{(0,1)} \beta s + o(s), \quad s \in (-1, 1), \quad (13)$$

where $\alpha := f'(0_-)$, $\beta := f'(0_+)$ are the left and right derivatives of f at $x = 0$. Our goal is to show that f is differentiable, i.e., $\alpha = \beta$.

We shorten $m_\varepsilon := m_{-\varepsilon, \varepsilon}$. Since f is nondecreasing, we obtain $f(-\varepsilon) \leq m_\varepsilon \leq f(\varepsilon)$. Further, integrating Eq. (13),

$$4m_\varepsilon = f(-\varepsilon) + f(\varepsilon) + o(\varepsilon) \text{ for every } \varepsilon \in (0, 1). \quad (14)$$

Re-writing Eq. (7) and Eq. (8) with $I_\varepsilon := (-\varepsilon, \varepsilon)$ yields, for almost every $\varepsilon \in (0, 1)$, the equations

$$\begin{aligned} \frac{1}{4} f'(\varepsilon) &= -\frac{\text{osc}(f, (-\varepsilon, \varepsilon))}{2\varepsilon} + \frac{f(\varepsilon) - m_\varepsilon}{2\varepsilon} + \frac{f(\varepsilon) - m_\varepsilon}{2\varepsilon} \cdot \frac{R(I_\varepsilon)}{2\varepsilon}, \\ \frac{1}{4} f'(-\varepsilon) &= -\frac{\text{osc}(f, (-\varepsilon, \varepsilon))}{2\varepsilon} + \frac{m_\varepsilon - f(-\varepsilon)}{2\varepsilon} + \frac{f(-\varepsilon) - m_\varepsilon}{2\varepsilon} \cdot \frac{R(I_\varepsilon)}{2\varepsilon}. \end{aligned}$$

Subtracting the second from the first one, we deduce that

$$\frac{f'(\varepsilon) - f'(-\varepsilon)}{4} = \frac{f(\varepsilon) + f(-\varepsilon) - 2m_\varepsilon}{2\varepsilon} + \frac{f(\varepsilon) - f(-\varepsilon)}{2\varepsilon} \cdot \frac{R(I_\varepsilon)}{2\varepsilon} \quad (15)$$

for almost every $\varepsilon \in (0, 1)$. By Eq. (14), the first term on the RHS equals $\frac{m_\varepsilon}{\varepsilon} + o(1)$. In order to evaluate the second term, we need to compute $R(I_\varepsilon)$. We first recall, from Eq. (13) and Eq. (14), that

$$m_\varepsilon = \frac{f'(\varepsilon) - f'(-\varepsilon)}{4} + o(\varepsilon) = \frac{\beta - \alpha}{4} \varepsilon + o(\varepsilon).$$

If $\alpha = \beta$, we are done. If not, $\varepsilon \mapsto m_\varepsilon$ is, for small ε , injective, therefore for almost every (sufficiently small) ε the set $\{f = m_\varepsilon\}$ is a null set. By monotonicity of f , this set is an interval, therefore for almost every ε it contains at most a single point. By continuity of f , there is a unique $t_\varepsilon \in I_\varepsilon$ such that $f(t_\varepsilon) = m_\varepsilon$. In particular, $R(I_\varepsilon) = 2t_\varepsilon$. If $\alpha < \beta$ then for small ε we have $m_\varepsilon > 0$, so that $t_\varepsilon > 0$, and inserting in Eq. (13) leads to

$$t_\varepsilon = \frac{\beta - \alpha}{4\beta} \varepsilon + o(\varepsilon)$$

for almost all ε . Inserting this into Eq. (15) gives us:

$$\frac{\beta - \alpha}{4} = \frac{\beta - \alpha}{4} + \frac{\beta + \alpha}{2} \frac{t_\varepsilon}{\varepsilon} + o(1).$$

Rearranging terms and inserting the value of t_ε this becomes

$$0 = \frac{\beta + \alpha}{2} \cdot \frac{\beta - \alpha}{4\beta} + o(1),$$

which, since α and β are nonnegative, implies $\alpha = \beta$. If $\beta < \alpha$ instead, a similar calculation yields:

$$t_\varepsilon = \frac{\beta - \alpha}{4\alpha} \varepsilon + o(\varepsilon),$$

and the conclusion follows in the same manner.

This argument proves that f is differentiable everywhere on (a, b) . In particular, the fact that $f'(x_-) = f'(x_+)$ shows that Df' is non-atomic. By the classical theory BV functions of one variable (see, e.g., [2, Theorem 3.28]), it follows that f' is continuous on (a, b) and hence f is continuously differentiable there too. $\square$

3.3 Partial smoothness of solutions

Once we have shown solutions are continuously differentiable, we can easily bootstrap their regularity through the optimality condition in Eq. (7). In particular, we show next that solutions of Eq. (1) are smooth:

Proposition 3 (Partial smoothness). *Let $f : (a, b) \rightarrow \mathbb{R}$ be a locally integrable function satisfying Eq. (1). Suppose that $f'(x_0) \neq 0$ for some $x_0 \in (a, b)$. Then, f is smooth in an open neighborhood of x_0 .*

Proof. Recalling that f is of class C^1 , we may assume $f' > 0$ on $I = (a', b') = (x_0 - \varepsilon, x_0 + \varepsilon) \subset\subset (a, b)$ for some $\varepsilon = \varepsilon(x_0) > 0$.

Let $k \geq 0$ be an arbitrary nonnegative integer. We proceed by induction on the order of differentiability: it suffices to show that if $f \in C^k(I)$, then $f \in C^{k+1}(I)$. The base case, $k = 1$, follows from Proposition 2.

Assume that $f \in C^k(I)$. By the inverse function theorem, f is invertible on I with a C^k inverse f^{-1} . Casting into Eq. (7) the fact that $f(x) > m_{a',x}$ for every $x \in I$, we can express $f'(x)$ as

$$\frac{f'(x)}{4} = -\frac{\text{osc}(f, (a', x))}{x - a'} + \frac{f(x) - m_{a',x}}{x - a'} + \frac{f(x) - m_{a',x}}{x - a'} \cdot \frac{R((a', x))}{x - a'}.$$

Both sides are continuous, therefore they are equal everywhere. Let us analyze the regularity of the terms in the right-hand side. Since f is of class C^k , it follows that the second term is of class C^k as well. On the other hand, the fact that f is invertible on I implies that the third term is also of class C^k . Indeed, since

$$\begin{aligned} |\{f < m_{a',x}\} \cap (a', x)| &= f^{-1}(m_{a',x}) - a', \\ |\{f > m_{a',x}\} \cap (a', x)| &= x - f^{-1}(m_{a',x}), \end{aligned}$$

it follows that $R((a', x))$ can be written as sum of an affine transformation and $x \mapsto 2f^{-1} \circ m_{a',x}$; the latter being a C^k map.

To deal with the regularity of the first term, we write

$$\begin{aligned} (x - a') \text{osc}(f, (a', x)) &= \int_{a'}^x |f(s) - m_{a',x}| ds \\ &= \int_{a'}^{f^{-1}(m_{a',x})} (f(s) - m_{a',x}) ds + \int_{f^{-1}(m_{a',x})}^x (m_{a',x} - f(s)) ds, \end{aligned}$$

which by the same argument is a C^k map.

This shows the right-hand side is of class C^k on the interval I , and hence $f \in C^{k+1}(I)$. This finishes the proof. $\square$

4 Proof of the main result

4.1 Affine rigidity under smoothness

Here, we show that Theorem 1 holds under the additional assumption that f is smooth and strictly monotone:

Proposition 4. *If $f : (a, b) \rightarrow \mathbb{R}$ is a smooth function satisfying Eq. (1) and $f' \neq 0$ in (a, b) , then f is affine.*

Proof. Let k be a positive integer, which we shall fix later in the proof.

First, let $x_0 \in (a, b)$. In the following we let $\varepsilon > 0$ be a small positive real and consider the interval $I_\varepsilon := (x_0 - \varepsilon, x_0 + \varepsilon) \subset\subset (a, b)$. Since Eq. (1) is invariant under the composition with affine transformations, we may henceforth assume that $f(x_0) = 0$ and $f' > 0$. Provided that ε is sufficiently small, the k th order Taylor series expansion of f exists on I_ε and satisfies

$$f(x_0 + t) = \sum_{j=1}^k A_j t^j + O(t^{k+1}), \quad t \in (-\varepsilon, \varepsilon), A_1 \neq 0.$$

Computing the average as a function of ε we obtain

$$(f)_{I_\varepsilon} = g(\varepsilon) := \sum_{j=2}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{even}}(j) + O(\varepsilon^{k+1}),$$

where $\chi^{\text{even}} : \mathbb{Z} \rightarrow \{0, 1\}$ is the indicator function of the even integers $2\mathbb{Z}$. Here, the odd powers on the right-hand side disappear because the average on I_ε of any odd function is zero. By the strict monotonicity of f on I_ε for sufficiently small ε , the equation $f(x_0 + s) = (f)_{I_\varepsilon}$ has a unique solution for $s \in (-\varepsilon, \varepsilon)$, which we shall denote by ρ . It obeys

$$\sum_{j=1}^k A_j \rho^j + O(\rho^{k+1}) = \sum_{j=2}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{even}}(j) + O(\varepsilon^{k+1}). \quad (16)$$

Therefore ρ is of order ε^2 . Indeed, letting $k \geq 3$ we obtain

$$\rho = \frac{A_2}{3A_1} \varepsilon^2 + O(\varepsilon^4). \quad (17)$$

Notice that $I_\varepsilon \cap \{f > (f)_{I_\varepsilon}\} = (x_0 + \rho, x_0 + \varepsilon)$. Therefore, casting the expressions for f and $(f)_{I_\varepsilon}$ above and using that for any interval I

$$\int_I |f - (f)_I| dx = 2 \int_{I \cap \{f > (f)_I\}} (f - (f)_I) dx = 2 \int_{I \cap \{f < (f)_I\}} ((f)_I - f) dx$$

yields

$$\begin{aligned} \int_{I_\varepsilon} |f - (f)_{I_\varepsilon}| dx &= 2 \int_{x_0 + \rho}^{x_0 + \varepsilon} (f - (f)_{I_\varepsilon}) dx \\ &= 2 \int_{x_0 + \rho}^{x_0 + \varepsilon} f dx - 2(\varepsilon - \rho)(f)_{I_\varepsilon}. \end{aligned}$$

The oscillation $h_\varepsilon := \text{osc}(f, I_\varepsilon)$ can therefore be expressed as

$$h_\varepsilon = \sum_{j=1}^k \frac{A_j}{j+1} \left(\varepsilon^j - \frac{\rho^{j+1}}{\varepsilon} \right) - (1 - \frac{\rho}{\varepsilon}) \sum_{j=2}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{even}}(j) + O(\varepsilon^{k+1}).$$

Inserting Eq. (16) into this expression we get

$$\begin{aligned} h_\varepsilon &= \sum_{j=1}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{odd}}(j) - \frac{\rho}{\varepsilon} \sum_{j=1}^k \left(\frac{A_j}{j+1} \rho^j - \frac{A_j}{j+1} \varepsilon^j \chi^{\text{even}}(j) \right) + O(\varepsilon^{k+1}) \\ &= \sum_{j=1}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{odd}}(j) - \frac{\rho}{\varepsilon} \sum_{j=1}^k \left(\frac{A_j}{j+1} \rho^j - A_j \rho^j \right) + O(\varepsilon^{k+1}) \\ &= \sum_{j=1}^k \frac{A_j}{j+1} \varepsilon^j \chi^{\text{odd}}(j) + \frac{\rho}{\varepsilon} \sum_{j=1}^k \frac{j A_j}{j+1} \rho^j + O(\varepsilon^{k+1}), \end{aligned}$$

where $\chi^{\text{odd}} = 1 - \chi^{\text{even}}$. At this point we choose $k = 4$, and use the expansion for ρ in Eq. (17) to get

$$h_\varepsilon = \frac{A_1}{2}\varepsilon + \left(\frac{A_3}{4} + \frac{A_2^2}{18A_1}\right)\varepsilon^3 + O(\varepsilon^5),$$

which (using the monotonicity of f on I_ε) by assumption is to be compared with

$$\frac{1}{4}|Df|(I_\varepsilon) = \frac{f(\varepsilon) - f(-\varepsilon)}{4} = \frac{1}{2} \sum_{j=1}^k A_j \varepsilon^j \chi^{\text{odd}}(j) + O(\varepsilon^{k+1}).$$

Therefore, for $k = 4$ we obtain

$$\frac{A_1}{2}\varepsilon + \left(\frac{A_3}{4} + \frac{A_2^2}{18A_1}\right)\varepsilon^3 = \frac{A_1}{2}\varepsilon + \frac{A_3}{2}\varepsilon^3 + O(\varepsilon^5).$$

Since this holds for every sufficiently small ε , we deduce that $9A_3A_1 = 2A_2^2$. Inserting the Taylor coefficient rule $A_j = f^{(j)}(x_0)/j!$, this translates into

$$3f'(x_0)f'''(x_0) = (f''(x_0))^2.$$

It follows that f' satisfies the ordinary differential equation

$$3g(t)g''(t) = (g'(t))^2 \quad \text{for all } t \in (a, b).$$

This equation has two types of solutions: constants and functions of the form

$$g(x) = A(x - B)^{3/2}$$

for any $A, B \in \mathbb{R}$. From this, monotonicity and smoothness we deduce that f must either be affine or of the form

$$f(x) = A(x - B)^{\frac{5}{2}} + C, \quad A \neq 0, B \notin (a, b), C \in \mathbb{R}.$$

We claim that f cannot be of the latter form. Indeed, due to the invariance of Eq. (1) under affine transformations on the domain and co-domain, the claim is equivalent to observing that $x^{\frac{5}{2}}$ is not a solution of Eq. (1) on any interval (α, β) with $0 < \alpha < \beta$. We show that if the function $f(x) = x^s$ solves Eq. (1) on some interval (α, β) with $0 < \alpha < \beta$ then s cannot be $5/2$. We can assume $s > 0$. Our assumption means that the function

$$\begin{aligned} \varphi(a, b) &:= \frac{f(b) - f(a)}{4} - \frac{2}{b-a} \int_a^{x(a,b)} f(x(a, b)) - f(z) dz, \quad \text{where} \\ x(a, b) &:= \left(\frac{1}{b-a} \int_a^b f(z) dz \right)^{1/s}, \end{aligned} \tag{18}$$

vanishes for any a, b with $0 < \alpha < a < b < \beta$. Since the integral of a real-analytic function is also real-analytic, it follows that both x and φ are real-analytic on $0 < a < b$. We obtain $\varphi = 0$ on $0 < a < b$, and, by continuity, also on $0 \leq a < b$. Computing

$$\begin{aligned} x(0, b) &= \frac{b}{(1+s)^{1/s}}, \\ \varphi(0, b) &= \frac{1}{4}b^s - \frac{2}{b} \left[x(0, b)^{1+s} - \frac{x(0, b)^{1+s}}{1+s} \right] = b^s \left[\frac{1}{4} - \frac{2s}{(1+s)^{2+1/s}} \right], \end{aligned} \quad (19)$$

one checks that $8s = (1+s)^{2+1/s}$ is not solved by $s = 5/2$ (but it is, of course, solved by $s = 1$).

We conclude that f must be affine. $\square$

Endowed with the full C^1 -regularity of solutions (Proposition 2), the partial smoothness of solutions on the set of points with non-vanishing derivative (Proposition 3) and the validity of Theorem 1 for smooth maps with non-zero derivative (Proposition 4), we can now prove the main result:

Theorem 1. *Let $f : (a, b) \rightarrow \mathbb{R}$ be a locally integrable function satisfying Eq. (1). Then, f is affine.*

Proof. Let $U \subset (a, b)$ be the set of points where f' is nonzero. Since f is C^1 on (a, b) , U is an open set. We distinguish two cases: a) If U is empty, then $f' \equiv 0$ on (a, b) , and hence f is constant; b) If U is non-empty, then it is the union of disjoint open intervals. Let I be one such interval. By Proposition 4, f is affine on I . Since f' is continuous (Proposition 2) on (a, b) , and constant and non-zero on I , it follows that $f' \neq 0$ on ∂I and hence I must be the entire interval (a, b) . In either case, f is affine. $\square$

The proof of Theorem 2 follows directly from Theorem 1 and Lemma 1.

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