

AN ALTERNATING SUM OF THE FLOOR FUNCTION OF SQUARE ROOTS

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ABSTRACT. We show that the alternating sum of the floor function of $\sqrt{jn}$, with j ranging from 1 to n , has an easy evaluation for all odd integers $n \geq 1$. This is in contrast to known non-alternating sums of the same type which hold only for a class of primes. The proof is elementary and was suggested by an AI model. To put this result in perspective, we also prove an asymptotic expression for the analogous sum without the floor function.

1. INTRODUCTION

The famous two-volume “Problems and Theorems in Analysis” by Pólya and Szegő contains the following curious identity: if $p \equiv 1 \pmod{4}$ is a prime, then

$$(1.1) \quad \sum_{j=1}^{\frac{p-1}{4}} \left\lfloor \sqrt{jp} \right\rfloor = \frac{p^2 - 1}{12},$$

where the *floor function* of $x \in \mathbb{R}$ is the integer $\lfloor x \rfloor$ defined by $\lfloor x \rfloor \leq x < \lfloor x \rfloor + 1$. Further remarks on this identity can be found in Section 4 below.

It is a natural question to ask whether there are any similar results for *alternating* sums of the type (1.1). While no such identity seems to exist for the summation limit $(p-1)/4$, numerical experiments led us to the following identity.

Theorem 1.1. *For any odd integer $n \geq 1$ we have*

$$(1.2) \quad \sum_{j=1}^n (-1)^{j+1} \left\lfloor \sqrt{jn} \right\rfloor = \frac{n+1}{2}.$$

This result is surprising for at least two reasons. First, it holds for *all* odd $n \geq 1$, and not just for primes of a certain form, as is the case in (1.1). Second, using standard methods from analytic number theory, we can prove the following estimate, valid for any odd integer $n \geq 1$:

$$(1.3) \quad \sum_{j=1}^n (-1)^{j+1} \sqrt{jn} = \frac{n}{2} + C\sqrt{n} + \frac{1}{8} + O\left(\frac{1}{n}\right) \quad (n \rightarrow \infty),$$

where $C \simeq 0.3801$ is a constant that can be explicitly expressed as an infinite series.

Comparing (1.3) with (1.2), we see that by putting $\sqrt{jn}$ between the floor brackets, the error term $C\sqrt{n} + 1/8 + O(1/n)$ turns into the surprisingly neat constant $1/2$.

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It is the main purpose of this paper to prove the identity (1.2); this is done in Section 2. In Section 3 we prove the estimate (1.3), and we conclude this paper with a few remarks in Section 4.

2. PROOF OF THEOREM 1.1

The following proof was suggested by the AI model *Gemini* [5]. It was then simplified and rewritten by us.

Proof of Theorem 1.1. We rewrite the positive integer $\lfloor \sqrt{jn} \rfloor$ as a sum and then change the order of summation, obtaining

$$(2.1) \quad \sum_{j=1}^n (-1)^{j+1} \lfloor \sqrt{jn} \rfloor = \sum_{j=1}^n (-1)^{j+1} \sum_{k=1}^{\lfloor \sqrt{jn} \rfloor} 1 \\ = \sum_{k=1}^n \sum_{j=\lceil k^2/n \rceil}^n (-1)^{j+1},$$

where we have used the fact that $k \leq \sqrt{jn}$ is equivalent to $j \geq k^2/n$. Next, when $\lceil k^2/n \rceil$ is odd, then the first summand in the inner sum on the right of (2.1) is 1, followed by an equal number of summands -1 and 1 . When $\lceil k^2/n \rceil$ is even, the summands all cancel each other, and therefore the inner sum is 1 when $\lceil k^2/n \rceil$ is odd and is 0 otherwise. Hence we have with (2.1),

$$(2.2) \quad \sum_{j=1}^n (-1)^{j+1} \lfloor \sqrt{jn} \rfloor = \#\{1 \leq k \leq n \mid \lceil k^2/n \rceil \text{ is odd}\}.$$

where as usual $\#A$ denotes the cardinality of a finite set A . When $k = n$, then $\lceil k^2/n \rceil = n$ is odd. Next, we note that

$$\left\lceil \frac{(n-k)^2}{n} \right\rceil = \left\lceil n - 2k + \frac{k^2}{n} \right\rceil = n - 2k + \left\lceil \frac{k^2}{n} \right\rceil.$$

Since n is odd, $\lceil (n-k)^2/n \rceil$ and $\lceil k^2/n \rceil$ have opposite parities, and for $1 \leq k \leq (n-1)/2$, they are exactly all the remaining elements of the set in (2.2). So finally, by (2.2) we have

$$\sum_{j=1}^n (-1)^{j+1} \lfloor \sqrt{jn} \rfloor = 1 + \frac{n-1}{2} = \frac{n+1}{2},$$

which was to be shown. $\square$

To conclude this section, we briefly explain an alternative approach to a proof of Theorem 1.2 which may be of interest in its own right.

The summand for $j = n$ in (1.2) is clearly 1, and we consider the differences

$$(2.3) \quad d_n(\ell) := \left\lfloor \sqrt{2\ell n} \right\rfloor - \left\lfloor \sqrt{(2\ell-1)n} \right\rfloor, \quad 1 \leq \ell \leq \frac{n-1}{2}.$$

Then the statement of Theorem 1.1 is equivalent to

$$(2.4) \quad \sum_{\ell=1}^{\frac{n-1}{2}} d_n(\ell) = \frac{n-1}{2} \quad (n \text{ odd}).$$

The approach in question is now best explained by the following example. Let $n = 33$. Table 1 shows the distribution of the values of $d_n(\ell)$.

ℓ	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
$d_{33}(\ell)$	3	2	2	1	1	0	1	0	1	0	0	1	1	1	1	1

Table 1: $d_{33}(\ell)$ for $1 \leq \ell \leq 16$.

Here the value $d_{33}(1) = 3$ corresponds to the two consecutive zeros for $\ell = 10$ and 11, while $d_{33}(2) = 2$ corresponds to $d_{33}(8) = 0$ and $d_{33}(3) = 2$ to $d_{33}(6) = 0$. This behavior is typical and is made more explicit in the following statement.

Conjecture 2.1. *Let $n \geq 1$ be an odd integer, and suppose that $\delta := d_n(\lambda) \geq 2$ for some $\lambda \geq 1$. Then each such λ corresponds to $\delta - 1$ consecutive integers $\ell_\lambda, \dots, \ell_\lambda + \delta - 2$ such that $d_n(\ell_\lambda + j) = 0$ for $j = 0, \dots, \delta - 2$. Furthermore, the sets of these zero values are disjoint.*

This conjecture implies (2.4) and thus Theorem 1.1 since each of the sequences of zeros is filled in by the “surplus” given by the corresponding value of δ .

Our as yet incomplete proof of Conjecture 2.1 relies on a sequence of rather complicated technical lemmas. In view of the above complete proof of Theorem 1.1 suggested by the AI model *Gemini*, we did not pursue this any further.

3. PROOF OF (1.3)

Along with proving the estimate (1.3), we are going to show that the constant C is given by

$$(3.1) \quad C = 1 + \sqrt{2} \sum_{k=1}^{\infty} \frac{(-1)^{k+1}}{(2k-1)8^k} \binom{2k}{k} \zeta(k - \tfrac{1}{2}),$$

where $\zeta(s)$ is the Riemann zeta function. We begin by rewriting

$$(3.2) \quad \begin{aligned} \sum_{j=1}^n (-1)^{j+1} \sqrt{jn} &= \sqrt{n} - \sqrt{n} \sum_{m=1}^{\frac{n-1}{2}} \left(\sqrt{2m} - \sqrt{2m+1} \right) \\ &= \sqrt{n} - \sqrt{n} \sum_{m=1}^{\frac{n-1}{2}} \sqrt{2m} \left(1 - \sqrt{1 + (2m)^{-1}} \right). \end{aligned}$$

We now use the binomial theorem, which in the special case of power $1/2$ can be written as

$$(3.3) \quad \sqrt{1-x} = \sum_{k=0}^{\infty} \binom{2k}{k} \frac{x^k}{(1-2k)4^k}, \quad |x| < 1;$$

see, e.g., [6, (1.104)]. With this identity we get

$$(3.4) \quad 1 - \sqrt{1 + (2m)^{-1}} = \sum_{k=1}^{\infty} \frac{1}{2k-1} \binom{2k}{k} \left(\frac{-1}{8m} \right)^k,$$

and after changing the order of summation we have

$$(3.5) \quad \sum_{m=1}^{\frac{n-1}{2}} \sqrt{2m} \left(1 - \sqrt{1 + (2m)^{-1}} \right) = \sqrt{2} \sum_{k=1}^{\infty} \frac{(-1)^k}{(2k-1)8^k} \binom{2k}{k} \sum_{m=1}^{\frac{n-1}{2}} \frac{1}{m^{k-\frac{1}{2}}}.$$

We now use the following well-known estimate for the partial sums of $\zeta(s)$:

$$(3.6) \quad \sum_{m=1}^N \frac{1}{m^s} = \zeta(s) + \frac{N^{1-s}}{1-s} + \frac{1}{2N^s} + O\left(\frac{1}{N^{s+1}}\right);$$

see, e.g., [4, pp. 114f]. We use (3.6) with $N = \frac{n-1}{2}$ and $s = k - \frac{1}{2}$. Then with (3.1) and (3.5) the first term on the right of (3.6) immediately gives $1 - C$.

To deal with the second term on the right of (3.6), we separate the summands for $k = 1$ and $k = 2$ in (3.5), obtaining

$$(3.7) \quad \begin{aligned} & \sqrt{2} \sum_{k=1}^{\infty} \frac{(-1)^k}{(2k-1)8^k} \binom{2k}{k} \cdot \frac{\left(\frac{n-1}{2}\right)^{\frac{3}{2}-k}}{\frac{3}{2}-k} \\ &= \sqrt{2} \cdot \frac{-2}{8} \cdot \frac{\sqrt{\frac{n-1}{2}}}{1/2} + \sqrt{2} \cdot \frac{6}{3 \cdot 8^2} \cdot \frac{1}{-\frac{1}{2}\sqrt{\frac{n-1}{2}}} + O\left(n^{-3/2}\right) \\ &= -\frac{1}{2}\sqrt{n-1} - \frac{1}{8} \cdot \frac{1}{\sqrt{n-1}} + O\left(n^{-3/2}\right) \\ &= -\frac{1}{2}\sqrt{n} + \frac{1}{4\sqrt{n}} - \frac{1}{8\sqrt{n}} + O\left(n^{-3/2}\right), \end{aligned}$$

where in the last line we have used the facts that

$$\sqrt{n-1} = \sqrt{n} \left(1 - \frac{1}{2n}\right) + O\left(n^{-3/2}\right), \quad \frac{1}{\sqrt{n-1}} = \frac{1}{\sqrt{n}} + O\left(n^{-3/2}\right).$$

Similarly, the third term on the right of (3.6), together with (3.5), gives

$$(3.8) \quad \begin{aligned} & \sqrt{2} \sum_{k=1}^{\infty} \frac{(-1)^k}{(2k-1)8^k} \binom{2k}{k} \cdot \frac{1}{2} \cdot \left(\frac{1}{2n}\right)^{\frac{1}{2}-k} \\ &= \sqrt{2} \cdot \frac{-2}{1 \cdot 8} \cdot \frac{\sqrt{2}}{2\sqrt{n-1}} + O\left(n^{-3/2}\right) = -\frac{1}{4\sqrt{n}} + O\left(n^{-3/2}\right). \end{aligned}$$

Finally, the O -term in (3.6) is easily seen to also be $O(n^{-3/2})$. Altogether, (3.5) with (3.7) and (3.8) then give

$$\sum_{m=1}^{\frac{n-1}{2}} \sqrt{2m} \left(1 - \sqrt{1 + (2m)^{-1}}\right) = 1 - C - \frac{\sqrt{n}}{2} - \frac{1}{8\sqrt{n}} + O\left(n^{-3/2}\right).$$

Substituting this identity into (3.2), we obtain the desired estimate (1.3).

4. FURTHER REMARKS

1. Pólya and Szegő attribute the identity (1.1) to Bouniakowski [1], whose name is better known in its English transliteration Bunyakovsky. In [7] the identity (1.1) is the last of several exercises that use the technique of counting lattice points (Part 8, Problem 20). A more recent alternative proof was given by Shirali [8].

While the identity (1.1) does not hold for primes $p \equiv 3 \pmod{4}$, there is in fact an evaluation in this case which involves the class number of the imaginary quadratic field $\mathbb{Q}(\sqrt{-p})$. This has been proved in the forthcoming paper [2], along with several other related results, all extending (1.1).

2. Since the summands in the identity (1.2) have little or no arithmetic structure, we cannot expect any classical inversion formula, such as Möbius inversion, to apply here. However, the curious identity

$$(4.1) \quad \left\lfloor \sqrt{k} \right\rfloor = \sum_{d=1}^k \lambda(d) \left\lfloor \frac{k}{d} \right\rfloor,$$

which appears in [3, p. 50], could be considered an inversion of the identity (1.2). Here $\lambda(n)$ is the well-known Liouville function, defined by

$$\lambda(n) = (-1)^{\Omega(n)},$$

where $\Omega(n)$ is the number of all prime divisors of n , counting multiplicities. We have not been able to use the identity (4.1) in the study of sums such as (1.2).

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